

B(2005)

## **TRANSFORMATIONS OF WOOD AND WOOD-LIKE POLYMERS**

### **A NEW THEORY OF:**

Solidification, Nucleation, Glass transition,  
Annealing, Diffusion, Rouse/Zimm spectra,  
Power law, Reaction order, etc.

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### **Appendix B: Explanation of annealing by molecular kinetics and derivation of the WLF-equation**

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This Appendix has expired and the theory is published in:  
 B(2010) “Theoretical derivation of the WLF- and annealing equations” in:  
 Journal of Non-Crystalline Solids 356 (2010) p 394–399



## 1. Introduction and justification

Strength and time dependent deformation of materials only can be explained by the physical and chemical processes, thus by statistical mechanics and reaction kinetics [1], and as shown here, the in [2] developed new equilibrium theory of deformation kinetics explains all aspects as creep, damage, aging, annealing [3], nucleation, transformations as glass transition, rubber behavior, diffusion, etc. by the same constitutive equation. The consequence is that the contradictory phenomenological models as the free volume model for glass-transition, the instability model of nucleation, the tunneling model of activation and the extrapolated flexible chain model, with non-existent linear visco-elastic relaxation spectra for rubber behavior and creep of materials, etc., are rejected.

It further is shown that wood does not show any transformation up to the very high temperatures where decomposition starts and is in the glass-state, even at these high temperatures.

It is evident for wood, as a glassy and crystalline polymer, that time dependent behavior can not be explained and described by flexible chain models of dilute solutions (rubber theory) or by other Newtonian visco-elastic models (as is proposed). Linear visco-elasticity does not exist and also for real rubbers the “rubber theory” does not apply because this phenomenological model is not right as shown in [4] and here in appendix A.

Regarding the models that are proposed for wood the following can be stated:

- The, in literature, proposed phenomenological multi-transitions model, based on a spectrum of transformations, cannot exist because the response below a transformation cannot interact or contribute to the response above that transformation, and thus a spectral interaction cannot exist. Thus, also the spectral, parabolic, form of the loss tangent can not exist. In fact, a constant value of the loss tangent is measured for all structural materials like wood (see fig. 3.8). The chosen Cole-Cole-circle (or parabola) for the loss tangent represents in general not real, but idealized (non-existent) material behavior. As known from literature, this plot only can partly and roughly represent phenomenological, the response in the zone of glass-rubber transition to disappearing stiffness of rubbers and solutions and thus may roughly represent the low frequency end of the glass-transition zone of a lightly cross-linked rubber or gel, showing the best such a broad curved loss compliance (see e.g. fig. 2.6.4 of Appendix A). Thus, the Cole-Cole plot is not able to represent the glass- state and the leather- state (which is perhaps possible at high loading and temperature) of wet saturated wood. The not existent multi Cole-Cole-parabolic logarithmic decrements are introduced to indicate the supposed separate glass-transitions of e.g. cellulose, hemicelluloses and lignin in wood, as stated in [16] and related publications. However, wood behaves like a copolymer and can only show one glass transition (see e.g. fig. 5.1) and not the transitions of the separated wood components and the multi-glass-transitions model or multi-Cole-Cole plot thus has no theoretical and physical background and should be forgotten.
- The same applies for the “Tammann-Hesse law”, being identical to the empirical nucleation and step-growth equation of the liquid-solid phase transformation, and thus can not be proposed to be the generalization to all kinds of transformations, as is done. Other transformations don’t show the property changes like the liquid-solid transformation and no transformation needs infinite energy, (according to the empirical Tammann-Hesse law), to reach equilibrium. This choice of liquid-like behavior can not be right for structural polymers like wood, but also the Tammann-Hesse equation itself, thus the chosen empirical nucleation model, appears to be theoretically not right, as is shown here in 2.5 and 2.6. This transformation model further is based on a first order transformation equation (the nucleation of the liquid-solid phase), although it is proposed in all publications to apply for glass-transitions, what are second order transfor-

mations (depending on other physical parameters than the first order transformations). Therefore this “Tammann-Hesse law” also can not replace the WLF-equation of glass transition, as is proposed, also because the WLF-equation is not a replaceable empirical equation any more, but is a theoretical expression, based on the exact explanation of certain flow behavior near glass-transition as is derived by the equilibrium theory of reaction kinetics, see [2] and [3] or here in Appendix B.

- Similar remarks apply for the in [16] proposed phenomenological Zimm model and other models of dilute solutions, that have nothing to do with transformations and nothing to do with the physical behavior of wood that is not a solution.
- This also applies for the introduced solutions model of paper science, what is identical to the well-known theoretical “regular solutions” model, where the transition between two different phases is based on the change of the lattice coordination number, what is the change of the way of packing of the atoms, and thus on the change of the enthalpy and thus is based on a first order transformation. This wrongly is used to estimate the glass transition temperature because a glass transition is a second order transition (showing no jump of enthalpy).
- Because the models above are not able to fit data, the power law fit is used. The power law is shown here to give the first two terms of an expansion around some measured value by any formula (see 2.5.3). The power law thus may represent any model, when it is applied in a limited range of the variable around the measurement, or in this case, in a relatively small time range around a mean time value. Thus, the power law cannot be applied for extrapolation of behavior to longer times as wrongly is done for creep, etc. Because of the lack of a theoretical meaning and because of the impossibility to predict behavior, even not of a single test-procedure, the power law fitting procedure, is useless. The “power law” is the oldest fitting formula of time dependent behavior, used before 1800, and is as old as the first publication of this subject and appears to be not rejectable although this law predicts the physical impossible infinite rate at the start, while there always is a delay time with a negligible rate at the start of transport phenomena, and predicts a (physically impossible) unlimited increase with time, thus is, in principle, not able to fit total any test-result.

In the following chapter, the untenable phenomenological models of transformations will be discussed and replaced by the exact theory of reaction kinetics, to make a real explanation and prediction of time dependent behavior possible. This leads to a new theory of solidification, nucleation, glass transition, annealing, diffusion, Rouse and Zimm and other spectra, power law, reaction order, etc.

## 2. General aspects of transformations

### 2.1. Introduction

As discussed above, the description of the mechanical behavior of glasses like wood still is based in literature, on extrapolations of not valid transformation models of soft and liquid-like materials. It thus is necessary to discuss general possible behavior of materials in relation to wood using the exact theory. This exact theory is shown to explain all phenomena and fits the data of tests, done on the same specimen, thus on the same structure, with a correlation close to one.

For the discussion of the applied transformation models, a general discussion is necessary of phase transformations what are changes of the characteristics and physical properties of materials on alteration of the external constraints such as pressure and temperature due to changes of the microstructure. Such a transformation involves a considerable atomic rear-

rearrangement so that the required structural and compositional changes can occur. Homogeneous transformations show compositional changes and no structural changes. Wood-like polymers, on the contrary, do not show compositional changes at a transformation, but only structural changes in the side-bond structure (as will be discussed in 2.2). Thus, models based on typical homogeneous behavior cannot be applied to wood.

## 2.2. Heterogeneous transformations

Heterogeneous transformations occur at interfaces and are initiated at microscopically small volumes of the product phase. This is known as nucleation. Nucleation may occur at quenched-in crystal defects or at foreign particles. The process of growth, following after nucleation, involves growth of the nuclei by thermal activated long-range or short-range diffusion and transfer processes at the interface, or may involve a martensitic transformation, showing no compositional change, but a small change of the configuration, caused by a high internal driving force. Typical heterogeneous transformations are:

- liquid-solid transformations like crystallization and melting;
- solid-solid transformations that may follow the common behavior of thermal activation, or may occur by activation due to a very high internal stress as martensitic transformation.

The martensitic transformation will not be discussed here because it does not occur in wood and wood-products. There even is no indication of such a transformation at the lowest temperatures (where the side bonds are strong and don't flow). Even when a martensitic configuration may exist in wood, the elementary crystalline fibrils in wood of 3 nm are too small to make martensitic nucleation possible because this is below the critical dimension to make it possible to build up high enough internal stresses for this transformation.

The common thermal activated transformations, at lower stresses, are distinguished into processes showing a short-range transport, like the polymorphic transformation, the massive transformation, order-disorder reaction, and recrystallization, and into processes showing a long or medium range transport like the eutectoid reaction, cellular reaction, precipitation and coarsening.

The transformations with a short-range transport of atoms do not show major compositional changes. The polymorphic transformations, (from one equilibrium structure to the other), in metals and ceramics, only show the nucleation and growth of a new lattice into the product phase. Also, the massive transformation only shows a change of the crystal structure and no change of the composition. The same applies for the order-disorder reaction showing the nucleation and growth of the ordered phase in the disordered phase, and for recrystallization involving the creation of a strain free lattice at the expense of its, e.g. by cold work, strained lattice (or for wood, involving the re-extension of the crystallites).

Because the (infinite) long wood-polymers only may show structural changes by secondary side bond breaking, transformations by long-range transport of atoms are not possible.

Transformations of wood thus only may show a comparable behavior in some aspects with the transformations showing a short-range transport, like the massive transformation or recrystallization, with the exclusion again of the short-range transformations needing a free transport of atoms like the order-disorder reaction.

Because all transformations are diffusive, diffusion, as general common behavior, should be discussed first.

## 2.3. Derivation of the right diffusion equations for the different cases

Polymers like wood may show diffusion of whole sections of chains and not of molecules because there is no covalent bond breaking and bond formation that provides chain break-

ing and chain extension. Diffusion in solids the best can be discussed by the simple example of diffusion of interstitial atoms in metals where no doubt is possible about the mechanism. The interstitial atoms jump from one interstitial position to a neighbouring one. Consider a set of parallel atomic planes of interplanar distance  $\lambda$ , having a concentration gradient of diffusing particles along the x-axis perpendicular to the atomic planes. The probability of an interstitial atom to jump in any direction per second is denoted here by  $p$ . Because the concentration of interstitials is small,  $p$  can be considered independent of the concentration. The probability for a jump in forward direction will be denoted by  $f_p$  and the number of diffusing particles per unit area, on the plane located at  $x$ , by  $n(x)$ . By expansion at time  $t$ :

$$\begin{aligned} n(x + \lambda) &= n(x) + (\partial n / \partial x) \lambda + 0.5(\partial^2 n / \partial x^2) \lambda^2 + \dots \\ n(x - \lambda) &= n(x) - (\partial n / \partial x) \lambda + 0.5(\partial^2 n / \partial x^2) \lambda^2 - \dots \end{aligned} \quad (2.3.1)$$

At instant  $t + \delta t$ , where  $\delta t \ll 1/p$ , the increase  $\delta n$  of the number of particles on the plane at  $x$  is, for a one-dimensional flow, equal to the number of particles jumping from  $(x + \lambda)$  into  $x$ , minus the number of particles jumping away from plane  $x$ . Thus, using eq.(2.3.1):

$$\delta n(x) = \delta(n(x + \lambda) - n(x)) = f_p \delta t (n(x + \lambda) - n(x)) \approx f_p \delta t (\partial n / \partial x) \lambda, \text{ or:}$$

$$\partial n / \partial t = D \partial n / \partial x \quad (2.3.2)$$

This is Fick's first law, representing a forward reaction only. The equation only is able to describe a part of the process that is far out of equilibrium. More general is the reaction in forward and backward direction that also may contain the equilibrium state. Then  $\delta n$  is given by the number of particles jumping from  $(x - \lambda)$  into  $x$ , plus the number of particles jumping from  $(x + \lambda)$  into  $x$ , minus the number of particles jumping away from plane  $x$ .

Thus according to eq.(2.3.1):

$$\delta n(x) = \delta(n(x + \lambda) + n(x - \lambda) - 2n(x)) = f_p \delta t (\partial^2 n / \partial x^2) \cdot \lambda^2, \text{ or:}$$

$$\partial n / \partial t = f_p \lambda^2 \cdot (\partial^2 n / \partial x^2) = D (\partial^2 n / \partial x^2) \quad (2.3.3)$$

what is Fick's second law. In eq.(2.3.3),  $f$  is determined by the geometry of the lattice. For instance, in the b.c.c. lattice of iron it is possible from 2/3 of the interstitial positions to jump in forward or in backward direction and from the positions in which this is possible, one of the four possible directions is a forward or a backward jump. Thus:

$$f = (2/3) \cdot (1/4) = 1/6 \text{ and in that case: } D = (\lambda^2/6) \cdot p.$$

The jump probability  $p$  is determined by the probability of a particle to have a sufficient high thermal energy to overcome the resistance from the other atoms when moving from one interstitial position to the other, thus when moving from one minimum potential energy position to the other against an intermediate energy barrier. Thus the probability  $p$  of having the energy  $G'$  at  $T$  degree Kelvin is:

$$p = \nu \cdot \exp(-G'/kT)$$

in which  $\nu$  is the frequency of vibration or the number of attempts to cross the barrier per second and  $G'$  is the activation energy or the height of the potential energy barrier and  $kT$  is the mean vibrational energy of the particles. Thus:

$$D = (\lambda^2/6) \cdot \nu \cdot \exp(-G'/kT) = (\lambda^2/6) \cdot \nu \cdot \exp(-H/kT + S'/k) \quad (2.3.4)$$

where  $S'$  in  $G' = H' - TS'$  is the entropy difference between the interstitial position and the activated state halfway between two interstitial positions at the top of the barrier and  $H'$  is the activation enthalpy for these atomic jumps.

Eq.(2.3.3) is used to describe diffusion e.g. of water in wood, ([2], pg. 102). The equation is based on a small (only one term of the expansion) chemical potential gradient and a negligible driving force (random walk of the jumping elements). For the general case, the differences in forward and backward reactions due to any possible driving force should be regarded. Then eq.(2.3.3) becomes:

$$\begin{aligned}\delta n(x) &= \delta(n(x+\lambda) + n(x-\lambda) - 2n(x)) = f \cdot \delta t \cdot [(p_f - p_b) \cdot \lambda \cdot \partial n / \partial x + (p_f + p_b) \cdot \lambda^2 \cdot (\partial^2 n / \partial x^2) / 2] \\ \text{or: } \partial n / \partial t &= f \cdot v \cdot \exp(-H'/kT + S'/k) \cdot [\exp(\Delta E'/kT) - \exp(-\Delta E'/kT)] \cdot \lambda \cdot \partial n / \partial x + \\ &+ (\exp(\Delta E'/kT) + \exp(-\Delta E'/kT)) \cdot \lambda^2 \cdot \partial^2 n / \partial x^2 / 2 \\ \text{or: } \partial n / \partial t &= f \cdot v \cdot \exp(-H'/kT + S'/k) \cdot [2 \cdot \sinh(\Delta E'/kT) \cdot \lambda \cdot \partial n / \partial x + \\ &+ \cosh(\Delta E'/kT) \cdot \lambda^2 \cdot \partial^2 n / \partial x^2]\end{aligned}\quad (2.3.5)$$

For high driving forces this is:

$$\begin{aligned}\partial n / \partial t &= f \cdot v \cdot \exp(-H'/kT + S'/k) \cdot [\exp(\Delta E'/kT) \cdot \lambda \cdot \partial n / \partial x + \exp(\Delta E'/kT) \cdot \lambda^2 \cdot \partial^2 n / \partial x^2 / 2] \\ \text{or: } \partial n / \partial t &\approx f \cdot v \cdot \exp(-H'/kT + S'/k) \cdot \exp(\Delta E'/kT) \cdot \lambda \cdot \partial n / \partial x\end{aligned}\quad (2.3.6)$$

And for low driving forces, e.g. in Newtonian like liquids, eq.(2.3.5) becomes:

$$\partial n / \partial t = f \cdot v \cdot \exp(-H'/kT + S'/k) \cdot [2 \cdot (\Delta E'/kT) \cdot \lambda \cdot \partial n / \partial x + \lambda^2 \cdot \partial^2 n / \partial x^2] \approx \quad (2.3.7)$$

$$\begin{aligned}&\approx f \cdot v \cdot \exp(-H'/kT + S'/k) \cdot [2 \cdot (\Delta E'/kT) \cdot n \cdot \lambda / L + n \cdot \lambda^2 / L^2] \approx \\ &\approx n \cdot (2f\lambda/hL) \cdot \exp(-H'/kT + S'/k) \cdot \Delta E'\end{aligned}\quad (2.3.8)$$

when the gradient:  $\lambda/L \ll 2\Delta E'/kT$  as can be the case at transformations, showing a jump of the activation energy of  $2 \cdot \Delta E'$  outside the transition temperature.

When  $\Delta E' \rightarrow 0$ , near equilibrium, eq.(2.3.7) becomes:

$$\partial n / \partial t = f \cdot v \cdot \exp(-H'/kT + S'/k) \cdot (\lambda^2 \cdot \partial^2 n / \partial x^2),$$

showing the right value of D of eq.(2.3.3) of:  $D = f \cdot n \cdot \lambda^2 \cdot \exp(-H'/kT + S'/k)$ .

It is seen that eq.(2.3.3) only applies when processes are possible at near zero driving forces, when there is a concentration gradient and no transformation.

The reaction, in a heterogeneous system, can be interface controlled, what means that the process is governed by molecular diffusion at the interface. When, at the other hand, in a heterogeneous system, the reaction at an interface is very fast, diffusion through the material towards that interface is slower and is determining. Then the activation energy for the process at the interface shows a value equal to the activation energy for the determining diffusion through the material.

At the interface the gradient  $\partial n / \partial x$  of e.g. eq.(2.3.2) is due to the reduction of n to zero within a thin layer L, adjacent to the interface giving  $\partial n / \partial x = n/L$  and eq.(2.3.2) gets the form of the mono-molecular forwards reaction:

$$\partial n / \partial t = C \cdot n \quad (2.3.9)$$

with  $C = D/L$ . The same follows from eq.(2.3.3) with  $C = D/L^2$ , if a curved gradient is assumed to exist, that is approximated by a parabola in the thin layer:

$$n_x = n x^2 / 2L^2 \quad \text{or} \quad \partial^2 n_x / \partial x^2 = n / L^2.$$

However the diffusion equation applies for small gradients and the lower order term  $\lambda^2 \cdot \partial^2 n_x / \partial x^2$  disappears in a thin layer ( $\lambda \ll 1$ ) and eq.(2.3.5) will become:

$$\begin{aligned}\partial n / \partial t &= f \cdot v \cdot \exp(-H'/kT + S'/k) \cdot 2 \cdot \sinh(\Delta E'/kT) \cdot \lambda \cdot \partial n / \partial x = \\ &= v \cdot (2 \cdot f \cdot \lambda / L) \cdot n \cdot \exp(-H'/kT + S'/k) \cdot \sinh(\Delta E'/kT) = \\ &= v \cdot n \cdot \exp(-H'/kT + S'/k) \cdot \sinh(\Delta E'/kT) =\end{aligned}$$

$$\text{Thus: } \partial n / \partial t = C' \cdot n \cdot \sinh(\Delta E'/kT), \quad (2.3.10)$$

identical to the general reaction equation of equilibrium theory. The overall behavior always can be given in the form of eq.(2.3.10) of a first order reaction depending on the local concentration near the interfaces. This concentration follows from measuring the reaction rate. Processes in wood and structural materials thus follow the elementary reaction equa-

tion what is further discussed below in 2.4.

## 2.4. Transformation kinetics

### 2.4.1. General aspects of reaction kinetics

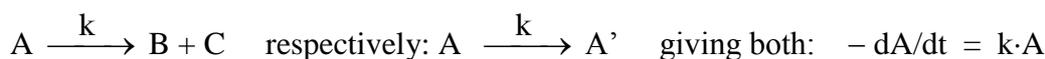
Mostly a reaction equation is given in the beginning and end states of the reacting materials thus as a sum of the amounts of reactants turning to the sum of amounts of products. In such a reaction equation the reaction order is not known but regarding this equation as if it is a true equation in molecules, an empirical reaction order is obtained. For instance the rate of the turnout of the product P by the reaction of the reactants A and B is:

$$dP/dt = kA^nB^m \quad (2.4.1.1)$$

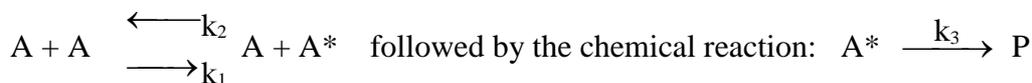
where n and m are empirical values. When this equation does not give the molecular reality of n molecules A and m molecules B, it should not be used because it will not apply in all circumstances. The reaction equation thus should be given at the molecular level where n and m are numbers of reacting molecules. At the molecular level, there always are many successive elementary reaction-steps with intermediary products and the reaction equation of this mechanism should be given in the determining elementary step with the slowest rate. In a thin gas, molecules will collide with each other and thrown back like elastic balls and some of them will have higher speeds by the collisions than others and are energized and as such even may get such a high speed that they are called to be activated giving a reaction at the collision by a change of the electron structure and kernel rearrangement. Because 2 molecules are involved in the collision the reaction can be expected to be of the second order or bimolecular. For instance:



It is not very probable that in a thin gas, 3 molecules will collide at the same time, in the right directions and reaction orders of 3 and more are not very probable. The order 3 is more probable in a liquid as a result of two successive bimolecular reactions within a very short time. Mostly however first order reactions occur at higher concentrations as will be shown below. The occurrence of first order reactions is evident for elementary reaction steps of decomposition and of isomerization having the form of:



In general, the order follows from the mechanism of a collision equilibrium:



where A\* is an activated molecule. Now both reactions will have the rate of the slowest determining step. Thus the rate of the shift of the equilibrium  $dA^*/dt$  is equal to the rate of the product formation  $dP/dt$  or:

$$k_1 \cdot A^2 - k_2 \cdot A \cdot A^* = k_3 \cdot A^* \quad \text{or:} \\ A^* = \frac{k_1 A^2}{k_3 + k_2 A} \quad \text{and} \quad dP/dt = k_3 \cdot A^* = \frac{k_3 k_1 A^2}{k_3 + k_2 A} \quad (2.4.1.3)$$

For small concentrations A in dilute solutions or gasses,  $k_2 \cdot A \ll k_3$  and the rate:

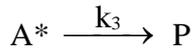
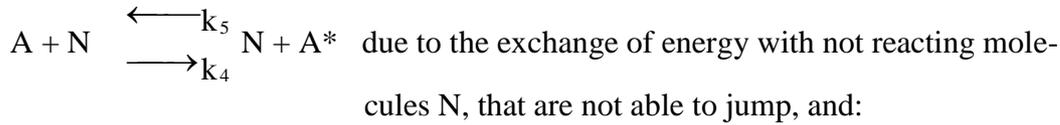
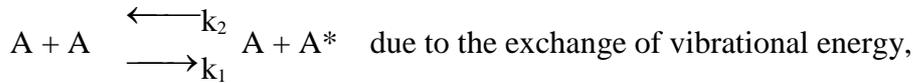
$$dP/dt = k_1 \cdot A^2 \quad \text{follows the second order reaction.}$$

At higher concentrations A, as is the case in solids,  $k_2 \cdot A \gg k_3$  and the reaction rate:

$$dP/dt = (k_3k_1/k_2) \cdot A \quad (2.4.1.4)$$

shows a first order reaction.

For solids, because of the high density, also for relative small concentrations a first order reaction equation occurs as can be seen from the following mechanism.



In total there is no accumulation of the intermediate product  $A^*$  and:

$$dA^*/dt = 0 = k_1 \cdot A^2 - k_2 \cdot AA^* + k_4 \cdot AN - k_5 \cdot NA^* - k_3 \cdot A^* \quad \text{or:}$$

$$A^* = \frac{k_1 A^2 + k_4 AN}{k_3 + k_2 A + k_5 N} \quad (2.4.1.5)$$

and for smaller values of A and always high values of N is:  $A^* \approx (k_4/k_5) \cdot A$  and:

$$dP/dt = k_3 A^* \approx (k_3 k_4 / k_5) \cdot A \quad (2.4.1.6)$$

and there is a first order rate equation for solids similar to eq.(2.4.1.4). And also when  $k_3$  is not much smaller than  $k_5 \cdot N$ , there is a first order reaction.

When  $k_3$  is much higher than  $k_5 \cdot N$ , is:

$$dP/dt = k_3 A^* \approx k_4 \cdot AN \quad (2.4.1.7)$$

also a first order reaction in A, because N can be regarded to be constant. Mostly diffusion, eq.(2.4.1.7) is determining and not the chemical reaction at the interface, eq.(2.4.1.6).

Because of the applied constant boundary conditions, transformations occur at a constant rate. This steady state also occurs when the number of sites for the reaction is constant as for instance for the reaction of gases at the boundary of a glowing wire. Determining for diffusion in solids is the number of free spaces, where molecules may jump in. This number of holes like lattice defects, dislocations, etc., can be constant following from the minimum energy of formation of these holes. This constant number of holes  $A_0$  will be divided among A and  $A^*$  in the last mechanism and eq.(2.4.1.5) becomes with  $A_0 = A + A^*$  or  $A = A_0 - A^*$ :

$$A^* = \frac{k_1 A^2 + k_4 AN}{k_3 + k_2 A + k_5 N} \approx \frac{k_4 N (A_0 - A^*)}{k_3 + k_5 N} \quad \text{or:} \quad A^* = \frac{k_4 N A_0}{k_4 N + k_5 N + k_3} \quad (2.4.1.8)$$

giving a rate of:

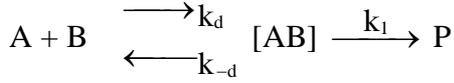
$$dP/dt = k_3 \cdot A^* \approx [k_3 k_4 / (k_5 + k_4)] \cdot A_0 \quad \text{or:} \quad dP/dt \approx k_4 \cdot N A_0, \text{ similar to eq.(2.4.1.6)}$$

and eq.(2.4.1.7), however, showing zero order reactions.

The first order reactions thus may reach a steady state, explaining the quasi zero order reactions of transformations. At the end of the reaction, near equilibrium, when  $A_0$  is not limiting any more, the reaction again becomes of the first order.

For liquids, the behavior is a bit more complicated. The molecules do not move free, as in a thin gas, but interact with their neighbours and collide many times against their neighbours (about 150 times at 293 K) before diffusing away to the next spot where the same will be repeated. If the activation energy is low, a few collisions will lead to a reaction and

the speed of the reaction is determined by the speed of diffusion. When the activation energy is high, diffusion is not limiting any more for the speed of product formation and the speed of the reaction is lower and thus determining. The mechanism is:



Again the speed of the shift of the equilibrium is equal to the speed of the product formation or:

$$v = k_d \cdot AB - k_{-d} \cdot [AB] = k_1 \cdot [AB] \quad \text{or:} \quad [AB] = \frac{k_d AB}{k_1 + k_{-d}} \quad \text{and} \quad v = dP/dt = k_1 [AB] \quad \text{or:}$$

$$v = \frac{k_1 k_d AB}{k_1 + k_{-d}} \quad (2.4.1.9)$$

leading to a second order reaction.

When  $k_1 \gg k_{-d}$ , diffusion is determining or:

$$v = k_d \cdot AB \quad (2.4.1.10)$$

and when  $k \ll k_{-d}$ , the chemical reaction is determining or:

$$v = (k_1 k_d / k_{-d}) \cdot AB \quad (\approx k_1 AB) \quad (2.4.1.11)$$

Mostly diffusion is determining and eq.(2.4.1.10) will apply. However, wood is not a liquid with free moving molecules that may show a second order reaction and a mechanism with an intermediate product (given above by [A,B]). Transformation models of wood thus should not be based, as done, on extrapolation of models of dilute liquid solutions and thin gasses with higher order reactions because the behavior then cannot be made consistent.

As mentioned before, transformations are analyzed by using the empirical equation, eq.(2.4.1.1). This equation can be written in general:

$$-- \frac{1}{a} \cdot \frac{dA}{dt} = k A^{n_a} B^{n_b} C^{n_c} \quad (2.4.1.12)$$

With the ratio of the initial concentrations:  $A_0 : B_0 : C_0 = a : b : c$ , and with a conversion of X, is:  $A = A_0 - X$ ;  $B = (A_0 - X)(b/a)$ ; and  $C = (A_0 - X)(c/a)$ , and substitution of these values in eq.(2.4.1.12) gives:

$$-- \frac{1}{a} \cdot \frac{dA}{dt} = k (A_0 - X)^{n_a} \cdot \left(\frac{b}{a} (A_0 - X)\right)^{n_b} \cdot \left(\frac{c}{a} (A_0 - X)\right)^{n_c} = k' (A_0 - X)^{n_t},$$

where  $n_t = n_a + n_b + n_c$ . Thus in general applies:

$$-- \frac{dA}{dt} = k'' A^n \quad (2.4.1.13)$$

The solution of this equation is for  $n = 1$ :  $\ln\left(\frac{A_0}{A}\right) = k'' t$

$$\text{and for } n \neq 1: \quad k'' t = \frac{1}{n-1} \cdot ((1-y)^{1-n} - 1) \cdot \frac{1}{A_0^{n-1}}$$

With:  $A = A_0 \cdot (1 - y)$ , with  $y =$  fractional conversion, the solution is at a certain value of  $y$ :

$$k'' t_y = \frac{1}{n-1} \cdot ((1-y)^{1-n} - 1) \cdot \frac{1}{A_0^{n-1}} \quad (2.4.1.14)$$

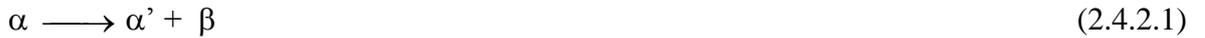
$$\text{or: } \log(t_y) = \log(f(n, k'', y)) - (n-1) \cdot \log(A_0) \quad (2.4.1.15)$$

making it possible to determine the order “n” of the “reaction” at regarding a constant value of y, doing tests with mutual different values of  $A_0$ . However, as will be shown later, this experimental value of  $n = n_a + n_b + n_c \approx 1$  applies for all processes in wood. This lowest overall order  $n = 1$ , shows that there is one speed determining step and that there are no mechanisms with intermediate products. Further, the slightly lower value of the order than one, at higher concentrations, indicates that series reactions are acting (and not concurrent reactions).

Based on these results it is possible and convenient to obtain general solutions of the often complex reactions of the transformations by a sinus series expansion of the potential energy surface (as is discussed in [2]). Based on the symmetry conditions of the orthogonal components there is a not changing, thus steady state, intermediate concentration in the successive steps causing a behavior like one elementary symmetrical reaction for each component [2].

#### 2.4.2. Kinetics of phase transformations

A number of phases may be involved in a transformation and may interact in many ways. Typical transformations are given by the following overall phase changes, written in symbols of the phases:



where the matrix  $\alpha$  and the product phase  $\alpha'$  have the same structure but different compositions. While the  $\beta$  phase nucleates and grows, solutes drain out of the matrix until  $\alpha$  becomes  $\alpha'$ ;



that may represent a change of the bond structure;



where the product consist of two phases which nucleate and grow as a composite.

On quenching an alloy the precipitation reaction eq.(2.4.2.1) may occur. During this transformation, considerable movement of atoms must take place so that a new lattice is created in place of the old one and the solute is redistributed in order to create the composition difference between the phases.

Eq.(2.4.2.2) may represent a polymorphic transition, showing no compositional changes. Atomic movements are still required for the creation of the new lattice.

Eq.(2.4.2.3) may represent an eutectoid transformation. This reaction requires diffusion and partitioning of elements and may show several processes at the same time with comparable activation energies. Considerable atomic movements are required to achieve the differences in structure and changes in composition.

As discussed before, only the first order reaction according to the type of transformation similar to eq.(2.4.2.2) is possible for wood polymers. In general may apply in this case, for different probabilities of jumps in forwards and backwards directions, the first order reaction equation:

$$\begin{aligned} \frac{\partial N}{\partial t} &= C_f N_f - C_b N_b = (kT/h) \cdot [N_f \cdot \exp(-E_f/kT) - N_b \cdot \exp(-E_b/kT)] = \\ &= \frac{2kT}{h} \cdot \sqrt{N_f \cdot N_b} \cdot \exp\left(-\frac{E_f + E_b}{2kT}\right) \cdot \sinh\left(\frac{E_b - E_f + kT \cdot \ln(N_f / N_b)}{2kT}\right) \end{aligned} \quad (2.4.2.4)$$

$\partial N / \partial t = 0$  at equilibrium. Thus  $\sinh(x) = 0$  or  $x = 0$ . Thus at equilibrium is:

$$N_{fe} / N_{be} = \exp((E_f - E_b)/kT) \quad (2.4.2.5)$$

and eq.(2.4.2.4) can be written:

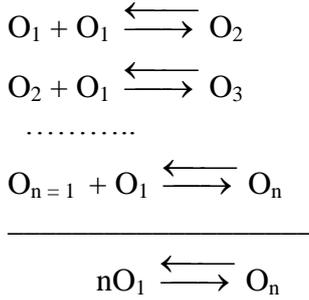
$$\frac{\partial N}{\partial t} = \frac{kT}{h} \cdot \sqrt{N_{fe} \cdot N_{be}} \cdot \exp\left(-\frac{E_f + E_b}{2kT}\right) \cdot \left(\frac{N_f}{N_{fe}} - \frac{N_b}{N_{be}}\right) \quad (2.4.2.6)$$

In the classical “steady-state” model for nucleation, grow of the embryo follows from successive reactions and thus from the addition of a large number of equations, eq.(2.4.2.6), and only the first and last value of N remain, giving  $N_f = N_{fe}$  and  $N_b = 0$ . According to the classical model,  $N_b = 0$  at the critical size of the embryos because then they are not in equilibrium and spontaneously grow into the stable product phase. For  $N_b = 0$ , eq.(2.4.2.6) turns to eq.(2.4.2.7). This however only is possible for high values of the driving force. Then  $x$  is high in  $\sinh(x)$  and thus:  $\sinh(x) \approx \exp(x)/2$  and eq.(2.4.2.4) becomes:

$$\partial N / \partial t \approx (kT/h) \cdot N_f \cdot \exp(-E_f/kT) \quad (2.4.2.7)$$

showing only a forward reaction ( $N_b = 0$ ). An implication of the classical steady-state model of nucleation, eq.(2.4.2.7) thus is, that there are high driving forces and the classical model thus can not apply in general also for low driving forces or for behavior near equilibrium.

The same applies for the classical “equilibrium model” of nucleation. According to this model embryos are formed as a result of a large number of bimolecular reactions:



giving for equilibrium:  $\exp((E_b - E_f)/kT) = (N_n/N_t)/(N_1/N_t)^n \approx (N_n/N_t) \approx N_n/N_1$ ,

because:  $N_t = N_1 + \sum N_n \approx N_1$  and thus:  $(N_1/N_t)^n \approx 1^n \approx 1$ .

Thus whatever the reaction order is ( $2^{nd}$  order partial, or  $n^{th}$  order total), the reaction behaves like a first order reaction with a low concentration of the product, the embryo,  $N_n \ll N_1$ , and thus with:  $(E_f - E_b) \gg kT$ . Thus, also the classical equilibrium model of nucleation implies high driving forces and high activation energies at nucleation in order to explain the occurring first order reaction.

For a general description, the nucleation mechanism should show growth of the grains by diffusion at the grain boundaries and thus also should follow the diffusion requirements (in stead of the questionable condition  $N_b = 0$  of the classical model). At diffusion the same sites are involved in forwards and backwards jumps, thus:  $N_b = N_f = N_t$  and eq.(2.4.2.4) becomes:

$$\frac{dN}{dt} = \frac{2kT}{h} \cdot N_t \cdot \exp\left(-\frac{E_f + E_b}{2kT}\right) \cdot \sinh\left(\frac{E_b - E_f}{2kT}\right) \quad (2.4.2.8)$$

In this equation is:  $E_f = E_f' - \sigma V_f$  and  $E_b = E_b' + \sigma V_b$ , where  $\sigma$  is the local stress on the sites and  $V_f$  and  $V_b$  are the activation volumes.

For an isotropic material, or an orthotropic material like wood in the main directions, there is no difference in positive and negative flow and positive or negative shear-strength etc. and:  $\sigma_f V_f = \sigma_b V_b = \sigma V$ .

Also for an anisotropic material, expansion of the activation energy surface may be symmetrical with respect to the activation work term  $\sigma V$ , [2], and no distinction is possible whether a non-symmetrical process, eq.(2.4.2.8), is acting or different symmetrical processes are active. Eq.(2.4.2.8) thus becomes for each process:

$$\frac{dN}{dt} = \frac{2kT}{h} \cdot N_t \cdot \exp\left(-\frac{E_f' + E_b'}{2kT}\right) \cdot \sinh\left(\frac{E_b' - E_f' + 2\sigma V}{2kT}\right) \quad (2.4.2.9)$$

This rate is zero when  $\sinh(x) = 0$ , thus when  $x = 0$ . Thus:  $2\sigma_0 V = E_f' - E_b'$  and the sinh-term in eq.(2.4.2.9) becomes:  $\sinh[(\sigma - \sigma_0) \cdot V / kT]$ . Thus, the process starts when the stress is above  $\sigma_0$ . For a stress below  $\sigma_0$ , the equilibrium concentration according to eq.(2.4.2.5) applies and  $dN/dt = 0$ .

For phase transformations, there is a chemical potential and the corresponding  $\sigma_0$ , as driving force, is positive and the sinh-term in eq.(2.4.2.9) is, when no external stress  $\sigma$  is applied and the internal stress, as usual, is negligible:

$$\sinh\{((\sigma_0 + \sigma) \cdot V) / (kT)\} \approx \sinh[(\sigma_0 \cdot V) / (kT)].$$

A phase transformation of a single component system cannot be caused by a compositional gradient and only the strain-energy gradient by an applied external stress determines the flux. Then  $E_f' = E_b' = E'$  and eq.(2.4.2.9) becomes:

$$\frac{dN}{dt} = \frac{2kT}{h} \cdot N_t \cdot \exp\left(-\frac{E'}{kT}\right) \cdot \sinh\left(\frac{\sigma V}{kT}\right) \quad (2.4.2.10)$$

as applies for creep of materials by self-diffusion [2].

When there are structural changes,  $N_t$  in eq.(2.4.2.10) is not constant and as discussed in [2] at 3.5, the concentration term in the equation is more general:

$$N_a \cdot 2\lambda A / \lambda_1$$

where  $2\lambda$  is the jump distance of the activated unit;  $A$ , the cross-section of that unit;  $\lambda_1$  the distance between the activated sites, and  $N_a$ , the number of these sites per unit area. Then  $N_a / \lambda_1 = N_t$  is the number of activated elements per unit volume. The activation volume is:  $V_a = 2\lambda A$ , and the work by the stress  $f$  on the unit is:  $fV_a / 2 = f\lambda A$ .

The equivalent work by the part of the mean technical macro stress  $\sigma$  that acts at the site is  $\sigma$  times the unit area thus is:

$$\sigma \cdot 1 \cdot 1 \cdot \lambda = N_a f \cdot \lambda A \quad \text{or: } f \cdot \lambda A = \sigma \lambda / N_a = \sigma V,$$

where  $V = \lambda / N_a$  is used in eq.(2.4.2.9) and eq.(2.4.2.10).

With  $C = (2kT/h) \cdot \exp(-E'/kT)$ , eq.(2.4.2.10) is general, also for structural changes:

$$d(N_a 2\lambda A / \lambda_1) / dt = C \cdot (N_a 2\lambda A / \lambda_1) \cdot \sinh(\sigma \lambda / N_a kT) \quad (2.4.2.11)$$

If the structure  $\lambda A$  is constant, eq.(2.4.2.11) is analogous to eq.(2.4.2.10):

$$dN_t / dt = C \cdot N_t \cdot \sinh(\sigma \lambda / N_a kT)$$

and when the maximal concentration of sites is reached:  $N_t = N_{tm}$  (or  $N_a$  is constant and  $\lambda_1$  is minimal) this equation becomes (as eq.2.4.1.8):

$$dN_t / dt = C \cdot N_{tm} \cdot \sinh(\sigma \lambda / N_a kT),$$

showing a constant rate. In eq.(2.4.2.11),  $A$  and  $1/\lambda_1$  are mathematically the same in the equation and  $A$  can be taken to be constant, as is mostly the case, and when not, any change of  $A$  can be given by an equivalent change of  $1/\lambda_1$  and eq.(2.4.2.11) becomes:

$$d(N_a \lambda / \lambda_1) / dt = C \cdot (N_a \lambda / \lambda_1) \cdot \sinh(\sigma \lambda / N_a kT) \quad \text{or:}$$

$$d \ln(N_a) / dt + d \ln(\lambda) / dt + d \ln(1 / \lambda_1) / dt = C \cdot \sinh(\sigma \lambda / N_a kT) \quad (2.4.2.12)$$

It appears that each parameter, or each term at the left side of the equation, may dominate at different time ranges. Writing this equation like:

$$d \ln(N_a) / dt + d \ln(\lambda) / dt - C \cdot \sinh(\sigma \lambda / N_a kT) = - d \ln(1 / \lambda_1) / dt,$$

it is seen that the right and left side of the equation have different variables and there should be a separation constant  $C_1$ . This constant however will be small because  $d(\ln(1/\lambda_1))/dt = C_1$  can be about zero in some time range (e.g. in the delay time). Thus the change of  $1/\lambda_1$  will be due to a separate process and need not to occur in combination with the change of the other 2 variables in the same equation and eq.(2.4.2.12) thus splits into two equations eq.(2.4.2.13) and (2.4.2.14):

$$d(\ln(1/\lambda_1)) / dt = d \ln(N_a' / \lambda_1) / dt = C' \cdot \sinh(\sigma' \lambda' / N_a' kT) \quad (2.4.2.13)$$

$$\text{and: } d \ln(N_a) / dt + d \ln(\lambda) / dt = C \cdot \sinh(\sigma \lambda / N_a kT) \quad (2.4.2.14)$$

with constant  $\lambda'$  and  $N_a'$  in eq.(2.4.2.13).

Eq.(2.4.2.14) applies when the left hand side of the equal sign is positive, as is the term at the right hand side. When the left hand side is negative, a minus sign should be used before the term at the right hand side. This means that absolute values of the variables should be used. Eq.(2.4.2.14) also can be written:

$$- d \ln(1/N_a) / dt + d \ln \lambda / dt = C \cdot \sinh(\sigma \lambda / N_a kT) \quad \text{or:}$$

$$d \ln(\lambda / N_a) / dt + d \ln |\lambda| / dt = C \cdot \sinh(\sigma \lambda / N_a kT) \quad \text{or:}$$

$$|d \ln(\lambda / N_a) / dt| = C \cdot \sinh(\sigma \lambda / N_a kT) = |- d \ln(N_a / \lambda) / dt| \quad (2.4.2.15)$$

and it is seen that mathematically  $N_a$  and  $1/\lambda$  are the same variables and often one can be regarded to be constant while any change can be accounted by a change of the other parameter.

$d(N_a' / \lambda_1) / dt$  and  $d(N_a / \lambda) / dt$  of eq.(2.4.2.13) and (2.4.2.15) are relative increases of the number of sites and may replace the concentration rate  $dN/dt$  of eq.(2.4.2.10).

A further simplification of eq.(2.4.2.10) can be made for high values of  $E' \gg kT$ . Then the temperature dependent term  $kT/h$  can be replaced by a constant one and can be written as:  $(kT_d/h) \cdot (T/T_d)$ , where  $T_d$  is the Debye temperature or some other mean temperature and:  $kT_d/h$  is the Debye frequency  $\nu_d$ , or some other mean frequency  $\nu$ ,  $T/T_d$  can be written as:

$$T/T_d = \exp(-\ln(T_d/T)) = \exp(-\ln(1 + (T_d - T)/T)) \approx \exp(-(T_d - T)/T) \quad \text{and because:}$$

$E' = H' - S'T$ , the term  $\exp(-E'/kT)$  becomes:

$$\frac{T}{T_d} \cdot \exp\left(-\frac{E'}{kT}\right) \approx \exp\left(-\frac{H' + kT_d - S'T - kT}{kT}\right) \approx \exp\left(-\frac{H'' - S''T}{kT}\right)$$

and because  $kT_d \ll H'$  and  $k \ll S'$ , the enthalpy  $H'$  and entropy  $S'$  need hardly be corrected when  $kT/h$  is replaced by  $\nu_d$  or by a chosen mean value  $\nu$ . Eq.(2.4.2.10) thus becomes:

$$\frac{dN}{dt} = 2vN_t \cdot \exp\left(-\frac{E'}{kT}\right) \cdot \sinh\left(\frac{\sigma\lambda}{kTN_a}\right) \quad (2.4.2.16)$$

For the usually described transformations, the driving forces are mostly very low near the equilibrium temperature. For instance for grain growth this is two orders lower than that for precipitate coarsening or that of recrystallization by cold working or of polymorphic transformations (per one  $^{\circ}\text{C}$ ) or of solidification or melting (per degree C) and this group of driving forces is again 3 orders lower than that for diffusion in solid solutions (being  $0.7 \cdot RT = 1.4 \text{ kcal/mol}$  for dilute solutions at 1000 K) what again can be one order lower than the driving force for some chemical reactions like the formation of inter-metallic compounds or 2 orders lower than that of a chemical reaction like e.g. oxidation. At the low transformation stresses (and driving forces), the behavior becomes quasi Newtonian because:  $\sinh(\varphi\sigma) \approx \varphi\sigma$  and the rate is about linear dependent on the driving force or stress  $\sigma$ . In general, Newtonian behavior only is possible for small spherical molecules (see appendix A). Then, and because of a high concentration of vacancies at the temperatures near “melting”, this concentration is not any longer proportional to the initial stress as in the non-linear case. Further, not only the stress, but also the activation volume is small at the occurring vacancy mechanism.

For low values of the driving force:  $E_b - E_f \ll 2kT$ , eq.(2.4.2.8) becomes:

$$\frac{dN}{dt} = \frac{E_b - E_f}{h} \cdot N_t \cdot \exp\left(-\frac{E_f + E_b}{2kT}\right) = \frac{2\Delta E}{h} \cdot N_t \cdot \exp\left(-\frac{E'}{kT}\right) \quad (2.4.2.17)$$

with  $E_b = E' + \Delta E$  and  $E_f = E' - \Delta E$ , or becomes analogous to eq.(2.4.2.10):

$$\frac{dN}{dt} = \frac{2\sigma v N_t}{h} \cdot \exp\left(-\frac{E'}{kT}\right) = \frac{2\sigma\lambda}{h\lambda_1} \cdot \exp\left(-\frac{E'}{kT}\right) \quad (2.4.2.18)$$

because  $\sigma v = \sigma\lambda/N_a$  and  $N_t$  per unit volume is comparable with  $N_a$  per unit area divided by the distance  $\lambda_1$  or:  $N_t = N_a/\lambda_1$  (see [2] or above).

The last 2 equations thus only may apply (at measurable rates) for some (melting) crystalline materials (of round molecules) and not for the long molecules in wood.

The rate  $dN/dt$  of eq.(2.4.2.18) is constant at constant stress and temperature, showing that  $\lambda_1$  is constant and also  $\lambda$  is constant or can be taken to be constant because any variation in  $\lambda$  cannot be distinguished from the variation of  $1/N$  in the equation. Eq.(2.4.2.13) thus may apply for high loading.

The chemical force may act in the same way as an applied stress:

$$(E_b' - E_f') \cdot N_t = \Delta E' \cdot N_t / N_a = \Delta E' / \lambda_1 = 2\sigma_c \lambda N_t / N_a = 2\sigma_c \lambda / \lambda_1,$$

and also eq.(2.4.2.17) has a constant rate. The chemical force is due to e.g. differences in the crystal structure and composition of the parent and product phases. The influence of the stress, due to the elastic strain energy by the accommodation of the differences in the specific volumes of the parent and product phases and the mismatches at the interfaces, mostly is small in the tests and  $\sigma$  of eq.(2.4.2.18):

$\sigma = \sigma_e + \sigma_c \approx \sigma_c$ , nearly is caused by the chemical force  $\sigma_c$  alone.

For higher values of the driving force:  $E_b - E_f \gg 2kT$ , eq.(2.4.8) and eq.(2.4.2.10) are:

$$\frac{dN}{dt} = vN \cdot \exp\left(-\frac{E'}{kT}\right) \cdot \exp\left(\frac{\Delta E}{kT}\right) \quad (2.4.2.19)$$

with:  $\Delta E = \Delta E' / N = \sigma_c \lambda / N$ .

## 2.5. Nucleation

Wood polymers do not show spherulites or folded molecules and thus will not show nucleation as a barrier to growth or decomposition. However, the discussion of nucleation and a derivation of a new right theory is necessary, not only for the other nucleating polymers, but also because in a RILEM-proposal, the wrong and impossible classical nucleation equation of solidification (needing infinite energy to obtain equilibrium) is regarded to be the basic equation for all transformations and even for all time dependent behavior (like creep). The derivation of the right theory thus is important, also to show that nucleation is just a common example of a structural change process, thus follows the kinetic theory of all transport processes.

The discussion of the classical model of nucleation and the derivation of new exact theory as correction, is given now in B(2011)

### 2.5.1. Parameter estimation and explanation of the empirical relations

All phase transformations need transport of atoms or molecules through the material by diffusion what is determining for the rate of the process. In principle, the molecules jump from free space to the adjacent free space in the direction of the surface of the new phase. What means that the free spaces move in the opposite direction. The study, in general, of the possible movements of these free spaces, as vacancies and dislocations, will give the information on the kinetics of transformations and especially on the possible forms of the activation volume parameter.

The diffusion flux is caused by the chemical potential gradient due to the composition gradient or may be due to a strain-energy gradient. Because of the similar effect and the possible interaction with stress, the negative gradient of the chemical potential may be regarded as a chemical force on the molecules that can be aided or opposed by the internal and applied stresses. For a single-component system, there is no compositional gradient and the net flux is entirely due to the stresses. Because of the necessary movement of spaces, the mechanisms are the same for phase transformation as melting and for flow by stress, and for stress-rupture and for creep and self-diffusion, as follows from the same activation enthalpy and entropy of all these processes. The easy obtained data of self-diffusion (like creep) thus may give information on the mechanism of the solid-liquid phase transformation. The displacements of the free spaces can be measured indirectly, by measuring creep or relaxation, but often also can be measured directly by measuring the jump of the spaces due to a stress pulse [1]. The following empirical equations, applying mostly only in a limited range of stresses, are used to describe the mobility of the free spaces as dislocations etc.:

The power law equation:

$$v = v_0 \cdot \left( \frac{\tau}{\tau_0} \right)^n \quad (2.5.3.1)$$

and the nucleation equation, based on the classical nucleation model (see 2.5.1):

$$v = C_1 \cdot \exp \left( - \frac{D}{\tau} \right) \quad (2.5.3.2)$$

where  $v$  is the free space velocity and  $\tau$  is the applied stress. The exact theoretical transport kinetics equation can be given in the form:

$$v = 2C_2 \cdot \sinh(\varphi\tau) \approx C_2 \cdot \exp(\varphi\tau) \quad (2.5.3.3)$$

for high stresses.

In the following fig. 2.5.4, measurements are given that follow these equations. Fig. c follows the exact eq.(2.5.3.3) and cannot be represented by the other 2 equations. Fig. b follows eq.(2.5.3.2) and fig. a follows the power law eq.(2.5.3.1).

To explain and compare the empirical equations, the following derivation is made.

### 2.5.2. Derivation of the power law.

Any function  $f(x)$  always can be written in a reduced variable  $x/x_0$

$$f(x) = f_1(x/x_0)$$

and can be given in the power of a function:

$$f(x) = f_1(x/x_0) = [\{f_1(x/x_0)\}^{1/n}]^n \text{ and expanded into the row:}$$

$$f(x) = f(x_0) + \frac{x-x_0}{1!} \cdot f'(x_0) + \frac{(x-x_0)^2}{2!} \cdot f''(x_0) + \dots$$

giving:

$$f(x) = \left[ \{f_1(1)\}^{1/n} + \frac{x-x_0}{x_0} \frac{1}{n} \{f_1(1)\}^{1/n-1} \cdot f'(1) + \dots \right]^n = f_1(1) \cdot \left( \frac{x}{x_0} \right)^n$$

$$\text{when: } (f_1(1))^{1/n} = (f_1(1))^{1/n-1} \cdot f_1'(1)/n \quad \text{or: } n = f_1'(1)/f_1(1)$$

$$\text{where: } f_1'(1) = [\partial f_1(x/x_0)/\partial(x/x_0)] \text{ for } x = x_0 \quad \text{and } f_1(1) = f(x_0)$$

$$\text{Thus: } f(x) = f(x_0) \cdot \left( \frac{x}{x_0} \right)^n \quad \text{with } n = \frac{f_1'(1)}{f_1(1)} = \frac{f'(x_0)}{f(x_0)} \quad (2.5.3.4)$$

It is seen from this derivation of the power law, eq.(2.5.3.4), using only the first 2 expanded terms, that the equation only applies in a limited range of  $x$  around  $x_0$ .

Using this approach on eq.(2.5.3.2) gives:

$$v = C_1 \cdot \exp\left(-\frac{D}{\tau}\right) \approx v_0 \cdot \left(\frac{\tau}{\tau_0}\right)^{D/\tau_0} \quad (2.5.3.5)$$

and using this approach on eq.(2.5.3.3) gives:

$$v = C_2 \cdot \exp(\phi\tau) \approx v_0 \cdot \left(\frac{\tau}{\tau_0}\right)^{\phi\tau_0} \quad (2.5.3.6)$$

Thus within a short range of stresses around  $\tau_0$  there is no difference in fits according to eq.(2.5.3.1), eq.(2.5.3.2) or eq.(2.5.3.3) by the use of the same power law.

This can be seen in fig. 2.5.5 a, b and c, at high stress, where, for the same tests on Ge, in a limited high stress range, fitting is possible according to all 3 equations

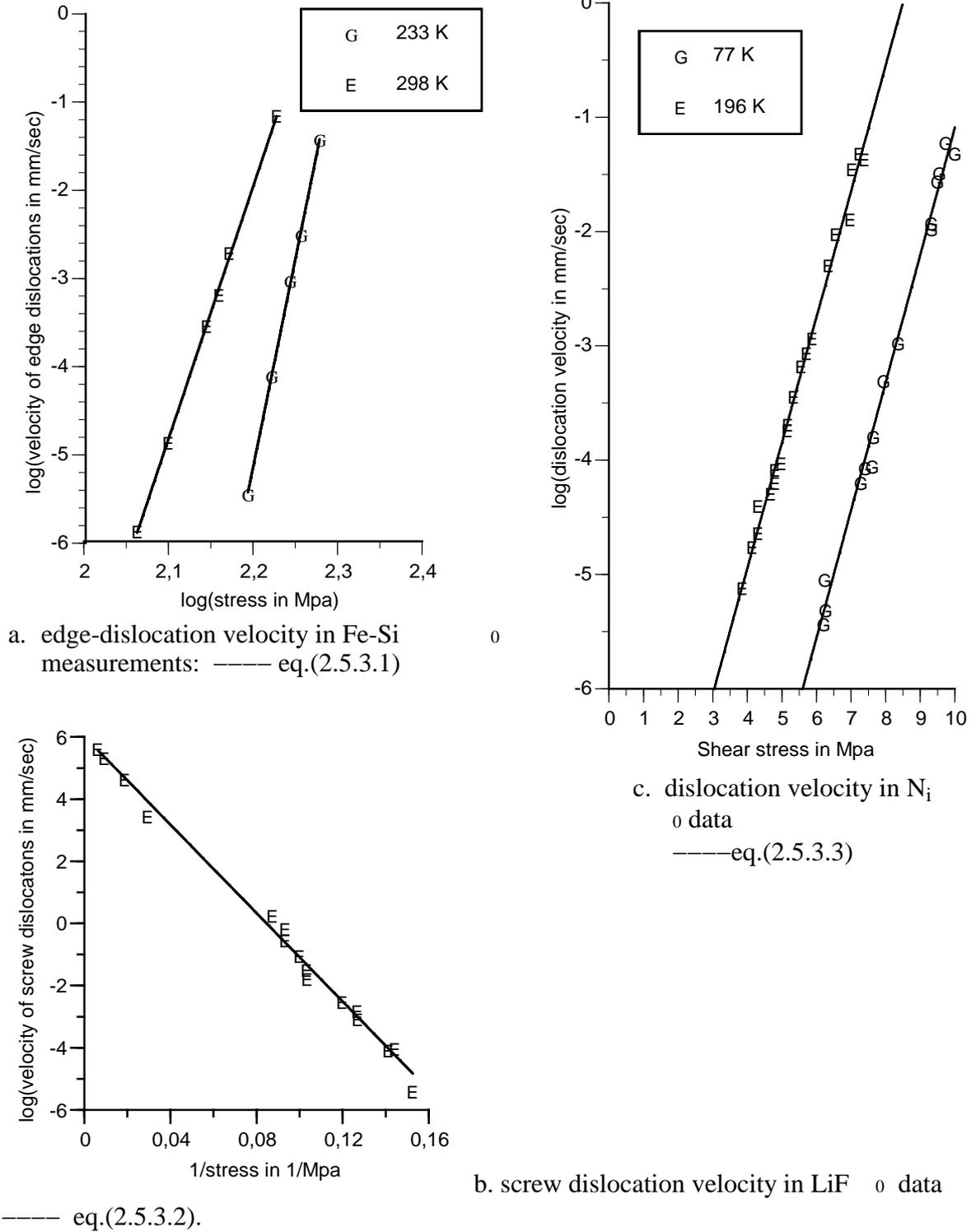


Fig. 2.5.4. The stress dependence of dislocation velocity in metals [1]

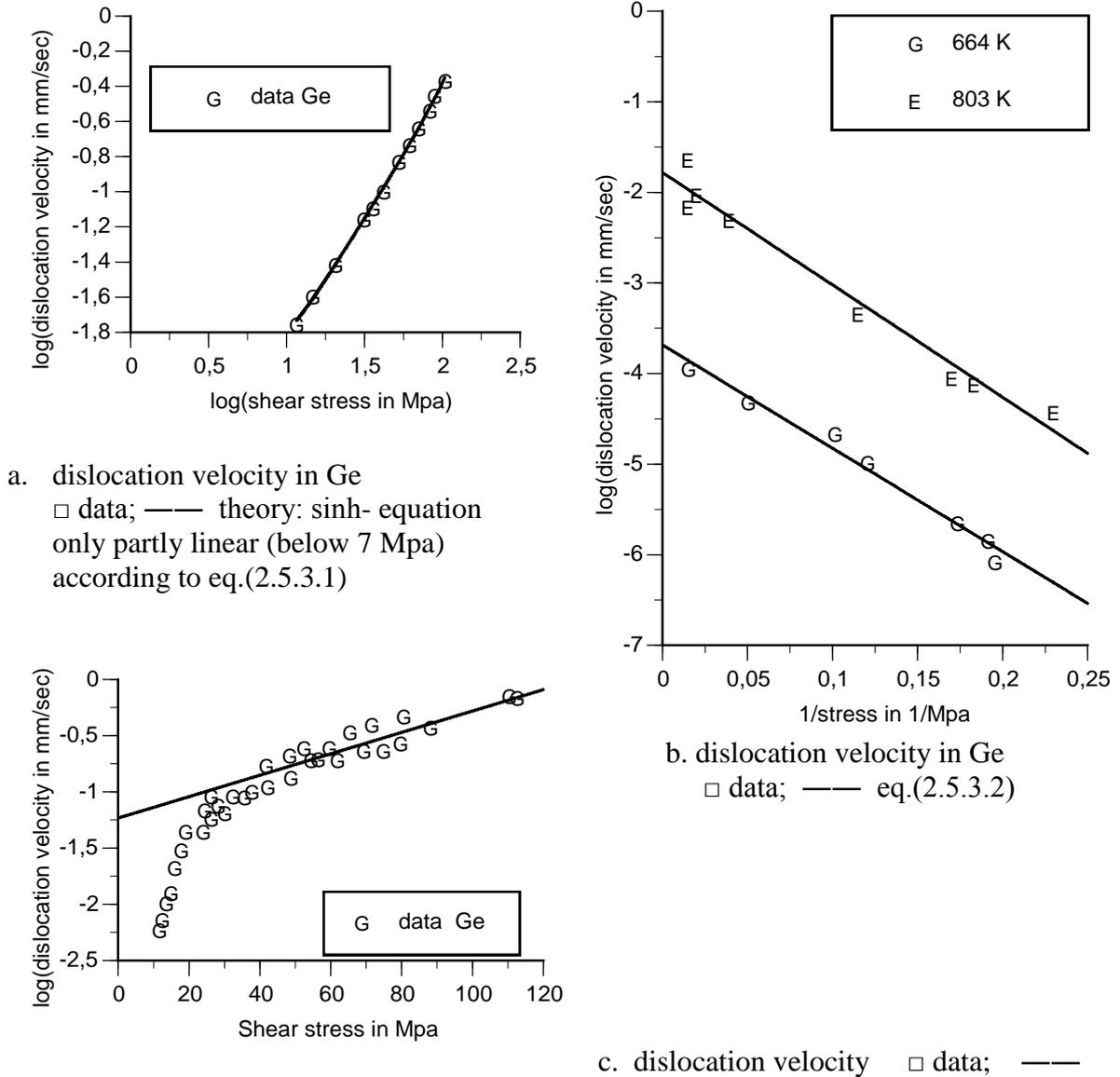
eq.(2.5.3.1) to (2.5.3.3).

The power  $n$  of eq.(2.5.3.1) can be found from the slope of the double log-plot:

$$\ln(v) = \ln(v_0) + n \cdot \ln(\tau/\tau_0) \tag{2.5.3.7}$$

$n = d\ln(v)/d\ln(\tau/\tau_0)$  and, according to eq.(2.5.3.5) to eq.(2.5.3.7):  $n = D/\tau_0 = \varphi\tau_0$ , and comparison is possible of the constants of the empirical equations with the parameter  $\varphi$  of the exact equation.

When over a long range of stresses, eq.(2.5.3.2) applies and the semi log-plot of  $\ln(v)$  against  $1/\tau$  shows a constant slope - D, then the parameter of the exact equation  $\varphi$  is according to:  $\varphi\tau_0 = D/\tau_0$ , equal to  $\varphi = D/\tau_0^2$ . This parameter of the nucleation equation will be shown to be right at 2.6 for the nucleation mechanism of the liquid-solid transformation. The semi log-plot of the exact equation, eq.(2.5.3.3) is with  $\varphi = D/\tau_0^2$ :



eq.(2.5.3.3), linear at higher stresses.

Fig. 2.5.5. Examples of stress dependency of the dislocation velocity [1].

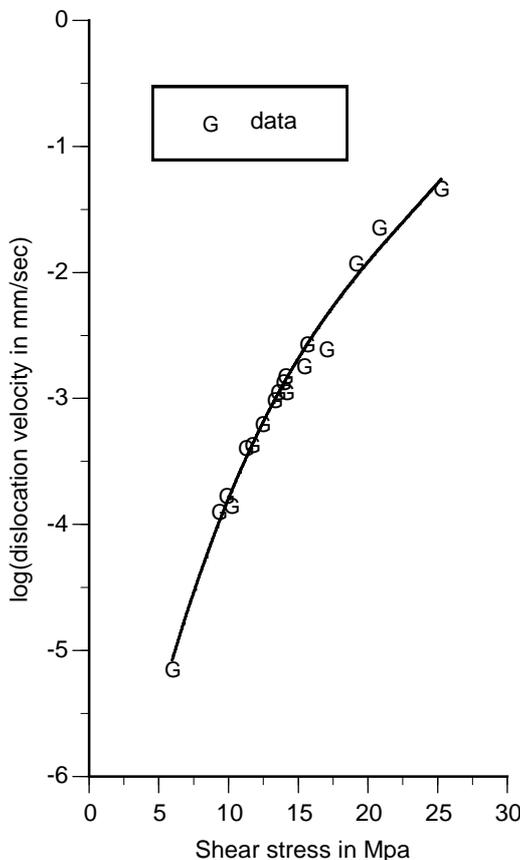
$$\ln(v) = \ln(C_2) + \varphi\tau = \ln(C_2) + D\tau/\tau_0^2 \tag{2.5.3.8}$$

Because the dislocation mobility tests are done with stress pulses that are long enough to get steady state velocities the applied stress  $\tau$  is equal to the initial applied stress  $\tau_0$  and

eq.(2.5.3.8) becomes equal to eq.(2.5.3.2) what thus is the equation of the collection of all different pulse tests with different  $\tau_0$  and has no meaning for one test. Eq.(2.5.3.8) shows that for stress relaxation (for one test, thus not for stress pulse tests) there will be a straight-line  $\ln(v) - \tau$  - plot and not a  $\ln(v) - 1/\tau$  - plot, what is verified by experiments in a sufficient wide stress range. This means that the classical nucleation model (of nucleation of mobile segments by overcoming of point defects) or models like crystal matrix drag (two-dimensional kink-motion model) and even quantum mechanical tunneling mechanisms, all according to eq.(2.5.3.2), are not right and should be rejected because of the evidence of the different behavior at self-diffusion in creep and stress-relaxation experiments that fully can be explained by the activation volume parameter  $\varphi$  of the exact molecular transport kinetics theory that explains all aspects of time dependent behavior. In the same way as for the nucleation equation, that shows a special value of  $\varphi$ , the power law behavior, when it applies over a long range of stresses, represents a mechanism with a special property of the activation volume parameter  $\varphi$ . The constant slope  $n$  of the double log-plot of  $\ln(v)$  against  $\ln(\tau)$  of eq.(2.5.3.1), given in fig. 2.5.4 a, and 2.5.6 a, is equal to  $\varphi\tau_0$  and the experimental verification of the constancy of  $\varphi\tau_0$  is shown in figure 2.5.6 c. The mechanism with this property of  $\varphi$  is found in many materials as in BCC, FCC and HPC metals and non-metallic crystals and also in e.g. concrete and wood. It was shown in [2], that this property of the activation volume  $\varphi$ , causes the stress-time equivalence and because in wood also in this case the activation volume is independent of the temperature, the time-temperature equivalence also applies for this mechanism.

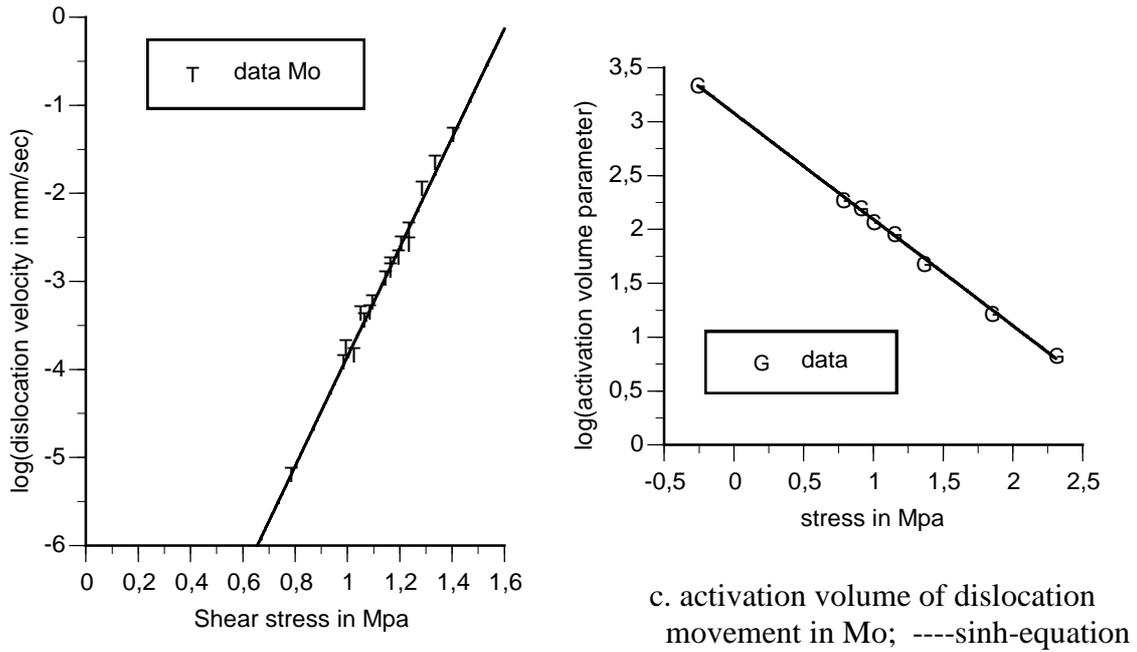
With the special value of  $\varphi = n/\tau_0$ , eq.(2.5.3.3) becomes:

$$\ln(v) = \ln(C_2) + \varphi\tau = \ln(C_2) + n\cdot\tau/\tau_0 \quad (2.5.3.9)$$



and the semi-log-plot of  $\ln(v)$  against  $\tau$  ( $= \tau_0$ ) now shows a slope of  $n/\tau_0$  that is different for every pulse test value of  $\tau$  ( $= \tau_0$ ) in the plot, thus a curved line, given in fig. 2.5.6 b. It follows also from eq.(2.5.3.9) that:  $d\ln(v)/d\ln(\tau) = \tau \cdot \ln(v)/d\tau = \tau \cdot n/\tau_0$ . This is:  $\tau_0 \cdot n/\tau_0 = n$  for the pulse tests collection of the dislocation mobility tests, where each applied stress  $\tau$  is equal to the initial applied stress  $\tau_0$ . Only in this case the constant value  $n$  of the slope of the double log-plot may exist in a wide range, as measured (see fig.2.5.4 a). At the same time, for the stress-relaxation tests, (that is one test with one  $\tau_0$ ) at high stresses, the straight semi log-plot:  $\ln(v) - \tau$  - plot applies according to eq.(2.5.3.9), what thus is no contradiction but is fully explained here by the exact theory by the other type of loading.

Fig. 2.5.4.  
b. dislocation velocity in Mo  
□ data; ——— low stress sinh-equation



a. activation volume of dislocation movement in Mo:  $\square$  data; — theory, eq.(2.5.3.1)

Fig. 2.5.6. Dislocation movement in Mo [1].

Fig.2.5.4. c. shows that also a mechanism exists with a constant value of  $\varphi$  in eq.(2.5.3.3). This does not only apply for polycrystalline material like Ni, but also occurs in other materials and in wood, for instance in a species with a wavy grain, as is measured by Kingston and Clark in their molecular kinetics research.

The empirical laws only apply for high stresses because at low stresses there is no measurable mobility of dislocations etc.

## 2.6. Liquid-solid transformations

As known, crystallization is the formation of crystalline solids from liquids. It occurs by nucleation of crystals and the growth of the nucleated particles. Because the theory is extended in B(2011), this Section 2.6 is scratched here.

## 2.7. Short range diffusion

Because the (infinite) long wood-polymers only show structural changes by side bond breaking and not by breaking of primary bonds, transformations by long-range transport of atoms are not possible in wood. The transformations are determined by interface processes and only may show a short-range like transport. Examples are given in 2.2. Although these transformations apply for “Newtonian” substances, similar behavior is sometimes expected to be possible for polymers like wood, as also implicitly follows from the use of eq.(2.7.4) and eq.(2.8.7). This thus has to be discussed. The short-range transformations show changes in the structure and no compositional changes. They occur by nucleation and diffusional growth. The interface controlled growth follows the kinetic equation as given before in e.g. eq.(2.5.2.22) and eq.(2.6.1):

$$\frac{dR}{dt} = 2\nu R_c \cdot \exp\left(-\frac{E'}{kT}\right) \cdot \sinh\left(\frac{\Delta E'}{kT}\right) \approx \frac{2R_c}{h} \cdot \exp\left(-\frac{E'}{kT}\right) \cdot \Delta E' \quad (2.7.1)$$

The growth rate then is constant at a fixed temperature and each dimension of the growing particle of the product phase increases linearly with time. Following [5], the transformation can be regarded in the time interval  $0 < \tau < t$ . The increase of the number of nuclei per unit volume during the time  $d\tau$  is:  $(dN/dt) \cdot d\tau$  where  $dN/dt$  is the constant rate of homogeneous nucleation per unit volume. When the isotropic growth is not restrained by other particles, each nucleus, occurring at  $\tau$ , grows in the time interval  $\tau$  and  $t$  into a sphere of radius:  $(dR/dt) \cdot (t - \tau)$ . The extended volume  $V_e$  of all the nuclei thus is:

$$V_e = \int_0^t \frac{4\pi}{3} \left( \frac{dR}{dt} \right)^3 \cdot (t - \tau)^3 \frac{dN}{dt} d\tau = \frac{\pi}{3} \cdot \frac{dN}{dt} \cdot \left( \frac{dR}{dt} \right)^3 \cdot t^4 \quad (2.7.2)$$

When the growing particles impinge on each other, a common boundary is formed and the growth over this boundary stops while the growth continues in the other directions. At an increase of time  $dt$ , the increase of the volume  $dV$  is only possible in the untransformed part. Hence:  $dV = dV_e \cdot (1 - V)$  or upon integration:  $\ln(1 - V) = -V_e$  or with eq.(2.7.2):

$$V = 1 - \exp(-V_e) = 1 - \exp\left(-\pi \frac{dN}{dt} \left( \frac{dR}{dt} \right)^3 \frac{t^4}{3}\right) = 1 - \exp(-k^n t^n) \quad (2.7.3)$$

Calling the extent of the reaction  $Y$ , eq.(2.7.3) becomes:

$$y = 1 - \exp(-k^n t^n) \quad (2.7.4)$$

what is identical to the empirical Johnson-Mehl-Avrami Equation.

Regarded by this derivation thus is not the transient stage of increasing rates, as applies for wood, but only the steady state stage of constant rates  $dN/dt$  and  $dR/dt$  that can not occur for wood and other cross-linked polymers. Also the end stage approaching equilibrium is not regarded and the equation thus is an approximation for homogeneous steady state behavior only.

Transformations initiated by a fixed number of randomly distributed pre-existing nuclei  $N_0$  with a constant and isotropic growth rate have a  $V_e$  of:

$$V_e = N_0 \frac{4\pi}{3} \left( \frac{dR}{dt} \right)^3 \cdot t^3 \quad \text{and thus: } Y = 1 - \exp\left(-4\pi N_0 \left( \frac{dR}{dt} \right)^3 \frac{t^3}{3}\right)$$

and  $n$  of eq.(2.7.4) is  $n = 3$ . In fine-grained materials, nucleation occurs on the randomly orientated grain boundaries and  $n = 4$  in the early stages of the transformation. When the grain boundaries are exhausted, nucleation ceases and there only is growth in one direction perpendicular to the grain boundary and  $n = 1$  at a later stage. There also are other possibilities and possible values of  $n$ , mentioned in literature, are:

For polymorphic transformations and recrystallization  $n = 4$  at homogeneous nucleation and  $n = 3$  for nucleation at pre-existing nuclei. The same values of  $n$  apply at randomly distributed heterogeneous nucleation sites. For nucleation at grain corners  $n = 4$  and at a later stage  $n = 3$  and for grain edge nucleation  $n = 4$  and  $n = 2$  at a later stage due to the two-dimensional growth on grain edges. Also for massive transformations therefore  $n = 2$ . For order-disorder transformations  $n = 3$  for spherical grains of the ordered phase and  $n = 2$  for disc-shaped ordered grains.

Transformations in wood are coupled with the moisture content. At zero moisture content, only damage and decomposition may occur. Swelling is mainly perpendicular to the grain and  $n = 2$  is what maximal can be expected, because there also is no nucleation in wood. However, the moisture content is a linear parameter in the activation energy and volume. This means that the rate equation of a phase change will be volumetric with  $n = 1$ .

For reactions between water molecules between layers the behavior can be approximately Newtonian and is for instance:

$$dV/dt = -C \cdot V \quad \text{or:} \quad \pi \delta d(R^2)/dt = -C \cdot \pi \delta R^2 \quad \text{or:} \quad dR/dt = -C \cdot R/2$$

having as solution:  $R = R_0 \exp(-C \cdot t/2)$ .

Thus as well as the volumetric change as the change of the dimension  $R$  is dependent of  $t$  to the power one, or  $n = 1$ . Also at the start of the process during the delay time the change has this exponential form, what means that  $n = 1$  during the accelerated and decelerated stage of the transient behavior. Because the transformations in wood, as cross-linked polymer can not show a stationary stage,  $n$  is always  $n = 1$ . A measured value of  $n$ , different from 1 means that more processes are acting and the Johnson-Mehl-Avrami equation thus is a meaningless empirical power law equation for transient behavior and the exact method should be used with the tensor method to describe the behavior in all directions. From the separated measurements in the main material directions as well as the off-axis directions, the volumetric descriptions can be given by these unidirectional data.

## 2.8. Explanation of the empirical rate equations

All transformations may fit, at low driving forces, the empirical equation:

$$Y = 1 - \exp(-k^n t^n) \quad (2.8.1)$$

where  $Y$  is the extent of the reaction thus mostly the fraction of transformed material and  $k$  and  $n$  are constants. The explanation of this equation is given in 2.7 and is given by eq.(2.7.4). For transient processes the value  $n$  loses its meaning and eq.(2.8.1) is nothing more than a power law equation. In any case, eq.(2.8.1) can be the basis for the derivation of other empirical equations for low driving forces. After differentiation and elimination of  $t$ , this equation can be seen to follow the differential equation:

$$\frac{dY}{dt} = n \cdot k \cdot (1 - Y) \cdot \left( \ln \left( \frac{1}{1 - Y} \right) \right)^{1 - 1/n} \quad (2.8.2)$$

For small values of  $Y$  is:  $\ln(1/(1 - Y)) = \ln(1 - Y/(1 - Y)) \approx Y/(1 - Y)$  and eq.(2.8.2) becomes:

$$dY/dt = n \cdot k \cdot (1 - Y)^{1/n} \cdot (Y)^{(1 - 1/n)} \quad (2.8.3)$$

For large values of  $Y$  approaching  $Y = 1$  closely, eq.(2.8.2) becomes:

$$dY/dt = n \cdot k \cdot (1 - Y) \quad (2.8.4)$$

because  $\ln(X)/X$  approaches  $1/X$  when  $X$  approaches infinity, and  $X = 1/(1 - Y)$  approaches infinity when  $Y$  approaches 1.

Eq.(2.8.4) is equal to eq.(2.8.3), when  $n = 1$  is inserted. This agrees with the result found in 2.7 that at the end of every process  $n$  should approach  $n = 1$ .

Eq.(2.8.2) can be written:

$$\frac{dY/dt}{(1 - Y)^{(1 - \delta)}} = kn (1 - Y)^\delta \cdot \left( \ln \left( \frac{1}{1 - Y} \right) \right)^{(1 - 1/n)} = kn \left[ (1 - Y)^{\delta/p} \cdot \left( \ln \left( \frac{1}{1 - Y} \right) \right)^{(1 - 1/n)/p} \right]^p$$

and the part between the square brackets can be expanded in the same way as done for eq.(2.5.3.4) giving:

$$\frac{dY}{dt} = \frac{dY'}{dt} \cdot \left( \frac{1-Y}{1-Y'} \right)^{1-\delta} \cdot \left( \frac{Y}{Y'} \right)^p \quad (2.8.5)$$

$$\text{with: } \frac{dY'}{dt} = kn(1-Y') \left( \ln \left( \frac{1}{1-Y'} \right) \right)^{(1-1/n)} \quad \text{and} \quad p = \frac{Y'}{1-Y'} \cdot \left( \delta - \frac{1-1/n}{\ln(1-Y')} \right) \quad (2.8.6)$$

$Y'$  is the value of  $Y$  around which the expansion of the curve is made. It can be seen from eq.(2.8.6) that  $p \approx 1 - 1/n$  when  $Y' \rightarrow 0$ , and  $\delta \rightarrow 0$  when  $Y' \rightarrow 1$ , as found before. As for all power laws, the powers  $p$  and  $1 - \delta$  depend on the part of the curve that is fitted, thus on the choice of  $Y'$ .

It now is shown, that the often used empirical equation eq.(2.8.5), (used with  $Y' = 0.5$ ) that fits the sigmoid curve of the transformations, is the same as eq.(2.8.1).

Equation (2.8.1) also can be written as a power law in time  $t$ , using the same expansion as given for eq.(2.5.3.4):

$$\text{Thus: } 1 - Y = \left( 1 - Y_{t_0} \right) \cdot \left( \frac{t}{t_0} \right)^{-p} \quad (2.8.7)$$

$$\text{With: } p = k^n \cdot t_0^n \cdot n$$

All empirical equations thus are different forms of the same equation eq.(2.8.1), that only applies for Newtonian behavior.

## 2.9. Conclusions about phase transformations

The extrapolation of the qualitative linear rheological models of liquids and soft materials to wood-material, makes a discussion necessary of these models. A theoretical derivation and correction of these models, based on the exact theory of molecular kinetics, thus was first necessary. New theory is derived in chapter 2 about: nucleation and heterogeneous nucleation, (2.5), with the corrected ‘‘Tammann Hesse’’ equation, (2.6), and the explanation of other empirical nucleation equations, (2.5); further about the general diffusion equation of transformations, (2.3); the reaction order, (2.4); the activation volume parameters, (2.5); the power law (2.5); and the empirical power law rate equations, (2.8). As shown in 2, the phase transformation models of liquid-like materials, with proposed linear viscoelastic behavior only may apply for idealized ‘‘Newtonian liquids’’, and thus certainly cannot apply for a glassy and crystalline material like wood. In general, transformations models based on a free transport of structural molecules, can not be used for wood because the (infinite) long wood-polymers only may show structural changes by secondary side bond breaking. For wood only models based on the short range displacements are possible that only may give a structural change at an interface as a heterogeneous transformation. This diffusion at an interface is shown to follow the reaction equation as given in 2.3.

For wood, only diffusive transformations are possible, because the martensitic transformation will not occur in wood- and wood-products. Even when a martensitic configuration may exist in wood, the elementary crystalline fibrils in wood of 3 nm are too small to be able to build up high enough internal stresses for that transformation. A derivation of a general diffusion equation for all kinds of driving forces, eq.(2.3.5), is given in 2.3, showing that Fick’s first and second law are special cases. For wood, diffusion occurs at interfaces and the diffusion equation gets the form of the monomolecular reaction equation, eq.(2.3.10).

It is shown in 2.4 that only first order reactions may occur in wood. A value of the order of one is also measured. The also measured slightly lower value than one indicates that

there is another successive reaction. This second reaction can be regarded to be of zero order, because of the nearly constant reactant.

It is shown in 2.4.2 that the classical steady-state model and classical equilibrium model of nucleation are not right. The right basic equations for diffusion and structural changes are derived in B(2011) and should be used in stead.

As discussed in 2.5.1, the classical nucleation theory is not right and thus also wrong is, the thereupon based “Tammann Hesse”- equation (with its impossible negative driving force and the need of infinite energy to obtain equilibrium) that even is proposed to be the basic equation for all transformations and even for all time dependent behavior (including creep). The right nucleation theory shows that nucleation is just an example of a common structural change process. The other aspects also are derived as e.g. the explanation of heterogeneous nucleation, without needing the non-existent surface stresses in solids of the classical theory, and e.g. the value of  $\Delta S^*/k = 2$  as boundary between the occurrence of a faceted and a diffused interface. It appears that the empirical Tammann Hesse equation, (eq.(2.6.5)), follows from a fit of limited  $\Delta T$  values. When  $\Delta T$  approaches zero this Tammann-equation does not apply but the sinh-form of eq.(2.6.3), showing the  $dR/dt \approx 2CD(\Delta T)/a'$  for small  $\Delta T$  values. It thus is necessary to replace the Tammann-Hesse equation by the exact equation, eq.(2.6.3), that follows from the special form of the activation volume parameter.

The “power law” equation is derived in 2.5.2 from first expanded terms of any equation. Thus, every equation can be written as power law-equation. By using the power law form, it is possible to compare and explain the power value  $n$  of eq.(2.5.3.4) of the different empirical equations with those of the exact equation, eq.(2.5.3.6),  $n = \varphi\tau_0$  to get information on this activation volume parameter. It shows e.g. the special form of  $\varphi\tau_0$  for nucleation, eq.(2.5.3.8) and eq.(2.6.3), etc.

To study properties as activation energy and volume of possible transformations in materials, a study of movement the free spaces (the activated sites) is possible that is the same for self-diffusion, creep, flow, rupture and transformations as melting. Creep and stress pulse experiments show all the possible forms of the activation volume parameter (2.5). Creep tests of wood show comparable values as found for other strong structural materials.

The derivation of the empirical Johnson-Mehl-Avrami equation (see 2.7) shows that this equation only applies for the steady state stage of the transformations and thus can not apply for cross-linked polymers like wood that cannot show a steady state stage, and the equation thus is a meaningless power law equation for wood. Also the other empirical rate equations are shown, in 2.8, to be related to this equation and to apply only for Newtonian materials.

### **3. Thermal analysis of transitions and of decomposition of wood**

#### **3.1. Introduction**

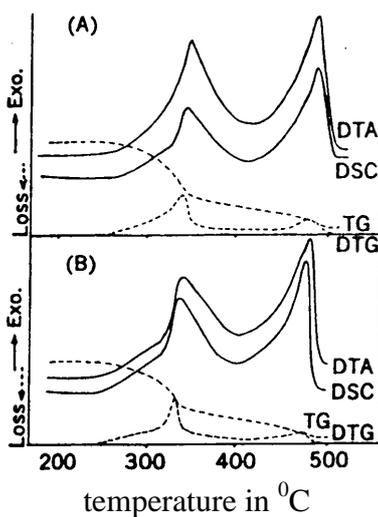
The general equilibrium theory of molecular transport kinetics, derived in [2], applies, by the same equation, for all time dependent processes. Thus applies for the thermo-mechanical behavior as creep and damage etc. [2], due to external and internal stresses and also applies for the processes due to high temperature alone, and due to the chemical or physical driving forces of transformations as for instance of glass-transition ([2] pg. 88), aging, nucleation, annealing [3], etc. This implies, that the incorrect model of a free volume change [3] to explain glass-transition has to be rejected and also the wrong nucleation theory and rubber-theory, [4], etc., as is discussed in chapter 2 and the appendices A and B.

All phenomena are explained precisely by one reaction-equation (correlation close to one for tests on the same specimen), and the differences between the processes are only due to different activation volume parameters.

To find the parameters for transformations, decompositions and some other structural change processes, by thermal analysis, the temperatures should be found where, the driving forces, changes in bonding or molecular arrangements, will cause an aberration on the rate of temperature change of a specimen when the environment is heated (or cooled); see fig. 3.1. The driving force then is caused by a more or less abrupt change in the heat content. Such processes may exist in wood, occurring only at high temperatures after the first transformation and decomposition. At lower temperatures, the temperature-time and stress-time equivalence make it possible to detect other processes in wood that are too slow to be measured at common temperatures. The changes, occurring by these slow chemical reactions (or analogous physical processes), will depend on temperature, history, stress, etc. and are e.g. known as aging effects (see chapter 4).

The techniques used in thermal analysis are:

- the differential thermal analysis (DTA) in which the temperature difference between a substance and a reference material (with known properties and no transitions etc. in that temperature range) is measured as a function of the temperature while both materials are subjected to a controlled temperature program.



DSC, DTA, TG and DTG curves of wood (A Hinoki, B Katsura) measured in air. Heating rate: 10 °C-min. See [12].

g. 3.1.a.

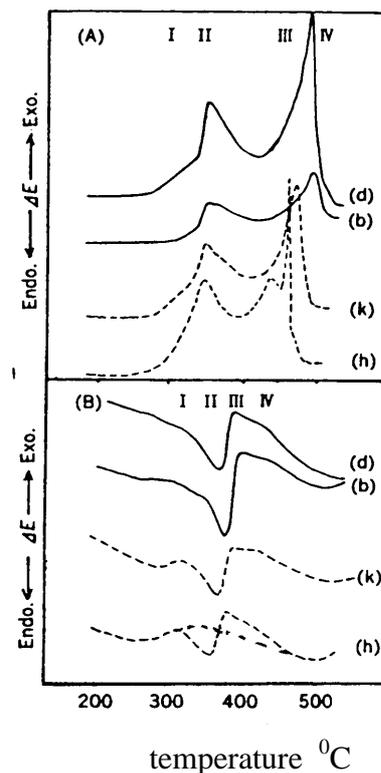


fig. 3.1.b. DSC curves measured in air, A, and in nitrogen B on Hinoki d, Akamatsu b, Buna k, Kusu h.

- the differential scanning calorimetry (DSC), being the same as DTA however instead of the temperature difference, the difference in energy inputs of both materials is measured.

- the thermogravimetry (TG) in which the mass of a substance is measured when subjected to a controlled temperature program (also gas evolution etc. can be measured). The derivative thermogravimetry (DTG) shows the same peaks as the other methods (see fig. 3.1.a).
- the thermodilatometry, in which the dimension of a substance is measured when subjected to the temperature program.
- the thermomechanical (non-oscillatory) and dynamic thermomechanometry where the static modulus and dynamic modulus (with damping) are measured as function of the temperature at a temperature program.
- Other physical properties as sound emission, acoustic wave behavior; optical, electric, magnetic, etc., characteristics also can be used.

### 3.2. Thermogravimetry of wood.

By thermogravimetry, the decomposition at transformations of wood mostly is determined by measuring the weight loss. However there also is a weight loss by drying or disappearance of filler material at heating and there also is bond breaking without weight loss. For wood, therefore TG as well as DSC is used. The enthalpy found and reported by these methods is only indicative because of:

the gas lost; the combustion of these gasses, determining also the reaction within the specimen; the successive and simultaneous reactions, giving overlapping peaks; the assumed wrong equation and driving force and wrong reaction order, (different from order one) for the determination of the activation enthalpy, assuming also that only one process is acting, giving e.g. apparent changing activation parameters with the extend of the reaction; the structural transitions in wood that only are possible after the previous, or at the same time acting decomposition reactions; etc. The peaks further may result from many influences, some of which are characteristic of the tests and sample holder assembly and not of the sample so that the measurements of all laboratories differ from each other. The wide range of reported activation energies, obtained by the different interpretations, equations and devices, thus is not astonishing. The results thus have no meaning unless they agree with the results of the thermo-mechanical method.

Because of the mentioned influence of the reaction of the gasses with air, the measurements in air have no meaning for the parameter estimation of transformations in wood. This should be done in an inert environment as in nitrogen and only these measurements, as given in fig. 3.1.b, thus will be discussed here.

It is seen in fig. 3.1.b that endothermic melting and degradation causes the possibility of exothermic degradation, so that both processes are overlapping. In principle thus only one two-stage process is acting.

Important for the thermogravimetric analysis is the proof in chapter 2, that all transformations follow one or two first order reactions that are not directly dependent on the overall concentration. This is not known and every analysis in literature is based on one reaction of a broken order or of even a higher order than one, giving wrong results. For wood, values close to order one are measured. The remaining small deviation from this order one indicates that another process is acting. In the past (see e.g. [10]) the fit of the data was done as wavy as possible, even following the small steering deviations. The resulting many very small peaks, (crinkles on the main peak), that are also influenced by the used test procedure and equipment of the thermogravimetric tests, were regarded as separate adjacent mechanisms. Mostly out of the many peaks, about 5 peaks were arbitrarily chosen to represent the degradation of: the filler mass and hemicellulose; crystalline cellulose; amorph cellulose; bonding by lignine; lignine products. This arbitrary split of a reaction into many forward reactions, with wrong activation energy parameters, leads to mean-

ingless reaction orders between 0.6 and 4, and non existing enthalpies of the peaks between 38 and 175 kcal/mol. Further, as discussed before, wood is a co-polymer and thus will not show separate transition peaks of the different components. Changing the composition of wood by removing a component then will not result in a disappearance of the peak of that component but will shift the peak of the co-polymer wood to lower temperatures. For instance, the peak of the DTG-curve, follows from the steepest slope of the TG-diagram of fig. 5.1. Wood does not show the peaks (steepest slopes) of the components and the peak of holocellulose (what is wood with removed lignin) shifts to a lower temperature. Thus, possible other peaks are due to enforced testing and damage should not be associated with transitions of components, as is done e.g. in [16] by the multiple transitions model.

Regarding gas evolution of wood powder, the following description can be found in literature [7]:

At high temperatures, there always are chemical reactions also with air causing decomposition and pyrolysis. Below 100 °C (the boundary where bonded water is freed), only drying occurs and chemical reactions in filler material can be neglected up to about 150 °C. At that temperature, there is no disintegration but volatilization of wood extractives and probably of some low molecular weight lignin filler material. Between 150 and 200 °C the gas formation starts of mainly CO<sub>2</sub> and CO, showing carbohydrate disintegration (probably by pyrolysis of filler material and non-structural lignin and hemicellulose). Above 200 °C, organic acids may evolve from the side groups of hemicellulose. Lignin degrades to produce aromatic compounds and a variety of low molecular gases. Above 280 °C the CO<sub>2</sub> and CO production goes down (thus showing the end of a peak) and combustible Carbohydrates (C<sub>n</sub>H<sub>m</sub>) are formed and the reaction becomes exothermic. Above 500 °C dissociation is noticeable by the start of strong hydrogen (H<sub>2</sub>) formation that dominates above 700 °C. However, much higher transition temperatures are found for less degraded test-material than milled powders as follows from fig. 3.1.

### 3.3. Thermogravimetric analysis

The kinetic analysis is based on the general forward reaction equation eq.(2.4.1.12):

$$-\frac{1}{a} \cdot \frac{dA}{dt} = kA^{n_a} B^{n_b} C^{n_c}$$

what is shown there to be, with  $A = A_0 - X$ :

$$-\frac{1}{a} \cdot \frac{dA}{dt} = k'(A_0 - X)^{n_a + n_b + n_c}$$

with the conversion X and thus in general applies:

$$-dA/dt = k''A^n$$

The concentration A in this equation may be replaced by other linearly related variables as pressure or volume during a gas reaction or the loss in weight in a pyrolysis reaction. The equation can be written:

$\ln(-dA/dt) = n \cdot \ln(A) + \ln(k'')$  =  $n \cdot \ln(A) + \ln(v \cdot \exp(-E/kT)) = n \cdot \ln(A) + \ln(v) - E/kT$  and plotting  $\ln(-dA/dt)$  versus  $1/T$  for different temperatures at the same value of A, a straight line plot is obtained with a slope:

$$d(\ln(-dA/dt))/d(1/T) = -E/k,$$

giving the activation energy E. The order n of the reaction is supposed to follow from the slope of the straight line of  $\ln(-dA/dt)$  versus  $\ln(A)$  by:

$$n = d(\ln(-dA/dt))/d(\ln(A)).$$

However, this is not right because also E is dependent of A. As shown before the order of the reaction is always  $n = 1$ .

The basic kinetic transport equation for a forward and backward reaction, e.g. eq.(2.4.2.11), is, with reactant N and  $\lambda A_a$  as activation volume, with constant  $\lambda_1$ :

$$- d(N\lambda A_a)/dt = 2v(N\lambda A_a) \cdot \exp(-E/kT) \cdot \sinh((\Delta E + f_e \lambda A_a)/kT) \quad (3.3.1)$$

For Newtonian liquids, discussed in 2,  $\sinh(x) \approx x$ . For noticeable transformations in wood the driving force is high and  $\sinh(x) \approx \exp(x)/2$  and eq.(3.3.1) becomes:

$$- d(N\lambda A_a)/dt = 2v(N\lambda A_a) \cdot \exp(-E/kT) \cdot \exp((\Delta E + f_e \lambda A_a)/kT) \quad (3.3.2)$$

For a first order transformation there is an enthalpy and entropy change  $\Delta E$  and the work of the (chemical) driving force is:  $\Delta E = \Delta H - T \cdot \Delta S = f \lambda' A_a - f \lambda'' A_a T$ , while the work due to the stress of the surrounding elastic material on the activated site,  $f_e \lambda A_a$ , mostly is negligible. For decomposition at high temperatures, the product phase is determining for the driving force and because work is done at the surface of the product causing a molecular step increase of the product, the work  $f \lambda A_a$  is, integrated, any moment, proportional to the amount of product, thus to the loss of weight, or to the concentration of sites of the product:

$N_0 - N$  per unit volume. Eq.(3.3.2) thus becomes:

$$- d(N\lambda A_a)/dt = 2v(N\lambda A_a) \cdot \exp(-E/kT) \cdot \exp((c \cdot (N_0 - N) \cdot \lambda A_a)/kT) \quad (3.3.3')$$

or because  $N\lambda A_a$  is proportional to the active weight per unit volume  $w$ , this equation becomes general in the relative weight  $W = w/w_0$ :

$$- dW/dt = v \cdot W \cdot \exp(-E/kT) \cdot \exp(c_1 \cdot (1 - W)/kT - c_2 \cdot (1 - W)/k) \quad (3.3.3'')$$

where  $\lambda = \lambda' - \lambda'' T$ , by the enthalpic and entropic component of  $\Delta E$ , is inserted. This equation can be given in the extent of the reaction:  $y = (w_0 - w)/w_0 = 1 - W$ :

$$- d(1 - y)/dt = dy/dt = v \cdot (1 - y) \cdot \exp(-E'/kT) \cdot \exp(c_1 y/kT - c_2 y/k) \quad (3.3.3)$$

With the dynamic method, by heating the specimen at a constant rate, it is possible to detect all existing transition peaks successively.

Heating a specimen at a heating rate  $1/\alpha$ , the time  $t$  of the increase of the absolute temperature from zero to  $T$ , follows from  $t = \alpha T$  and the rate of weight loss is:  $dW/dt = dW/\alpha dT = - dy/\alpha dT$

This leads for the first order reactions in wood to:

$dy/dt = dy/\alpha dT = v \cdot (1 - y) \cdot \exp(-E/kT) \cdot \exp(c_1 y/kT - c_2 y/k)$  or:

$$dy/dT = \alpha v \cdot (1 - y) \cdot \exp(-E/kT) \cdot \exp(c_1 y/kT - c_2 y/k) \quad (3.3.4)$$

At the top of the peaks of the rate plot, thus at the maximal rate, is:  $d^2 y/dT^2 = 0$  or:  $\alpha v \exp(-E/kT) \cdot \exp(c_1 y/kT - c_2 y/k) \cdot [-dy/dT - (1 - y) \cdot d(E/kT)/dT + (1 - y) \cdot d(c_1 y/kT - c_2 y/k)/dT] = 0$ , or:

$$- dy/dT = (1 - y) \cdot d(E/kT)/dT - (1 - y) \cdot d(c_1 y/kT - c_2 y/k)/dT \quad (3.3.5)$$

In this equation is  $d(E/kT)/dT = d((H - ST)/kT) = -H/kT^2$

and:  $d(c_1 y/kT - c_2 y/k)/dT = (c_1/kT) \cdot dy/dT - c_1 y/kT^2 - (c_2/k) \cdot dy/dT$

and eq.(3.3.5) becomes:

$dy/dT \cdot (1 - (1 - y) \cdot c_1/kT + (1 - y) \cdot c_2/k) = (1 - y) \cdot (H/kT^2 - c_1 y/kT^2)$  or:

$$\frac{1 - (1 - y) \cdot c_1/kT + (1 - y) \cdot c_2/k}{H - c_1 y} \cdot v \cdot \exp\left(-\frac{E}{kT} + \frac{c_1 y}{kT} - \frac{c_2 y}{k}\right) = \frac{1}{\alpha k T^2}$$

or in molar quantities, where  $R$  is the gas constant:

$$\ln\left(\frac{1 - (1-y)c_1/R + (1-y)c_2/R}{hN_a(H' - c_1y)} \cdot v \exp\left(\frac{S}{R} - \frac{c_2y}{R}\right)\right) - \frac{H'}{RT} + \frac{c_1y}{RT} = \ln\left(\frac{1}{\alpha RT^2}\right)$$

Because the left term is not noticeable dependent on the peak-temperature  $T$  and on the peak value  $y$ , is:  $c_2T \gg c_1$  and  $c_1 \ll H'$  and  $c_2 \ll S$ , thus is:

$$\ln\left(\frac{1 + (1-y)c_2/R}{hN_a H'} \cdot v \exp\left(\frac{S}{R} - \frac{c_2y}{R}\right)\right) - \frac{H'}{RT} + \frac{c_1y}{RT} = \ln\left(\frac{1}{\alpha RT^2}\right) \quad (3.3.6)$$

and testing at different rates  $\alpha$ , in a sufficient small range of  $1/T$  to neglect the differences of the peak values  $y$  at the different peak-temperatures,  $T$  gives:

$$\frac{d(\ln(1/\alpha RT^2))}{d(1/T)} = -\frac{H - c_1y}{R} \approx -\frac{H}{R} \quad (3.3.7)$$

For wood and wood-products, the term  $c_1y$  in this equation will not have a negligible influence on the mean value  $H$  as is shown below.

In [12], thermogravimetric and differential scanning calorimetry measurements of wood are given (see fig. 3.1). It can be seen from the data in fig. 3.1.b in nitrogen that there is an endothermal melting peak of possible melting and endothermal decomposition of the crystallites, immediately followed by exothermic decomposition that overlaps the melting. The onset of the exothermal process may even start before endothermic melting (as given by curve  $k$  in (B) of fig. 3.1.b) and it is clear that both processes interact and are overlapping making it impossible to locate the true peaks. The shoulders (peak I and IV) have no meaning, not only because the intensity is too small for a noticeable contribution, but because the base-line of the graph is wavy instead of horizontally, as in all other investigations in the past. Thus only peak II and III give the occurring endothermic and exothermic reactions, showing about the same activation energy because of the overlapping. The found enthalpies by the DSC-method,  $H - c_1y$  (eq.(3.3.7)) of the peaks, at about  $y = 1/2$ , are 43 to 49 kcal/mol. The TG-method, did mainly show the second process with lower values of 23 to 30 kcal/mol for the equivalent peaks. All these enthalpy values are comparable with those obtained by thermomechanical measurements at lower temperatures of creep- and strength tests (see e.g. chapter 9.1 of [2]). The lower TG-values of the enthalpy with respect to the second process, measured in [13], is also due to a too high heating rate with respect to the long delay time of the processes.

This second process was found in [13] by more precise (non-dynamic) isothermal thermogravimetric measurements in that temperature region. The measurements were done at different temperatures, in an inert environment, using flowing nitrogen. Eq.(3.3.4) can be written for this case:

$$\ln\left(\frac{dy}{dt}\right) = \ln\left(v(1-y) \exp\left(\frac{S}{k} - \frac{c_2y}{k}\right)\right) - \frac{H - c_1y}{kT} \quad (3.3.8)$$

showing a straight-line plot of  $\ln(dy/dt)$  versus  $1/T$ , at the same conversion:  $y$ , at the different temperatures (see fig. 3.2). Eq.(3.3.8) is always applied in literature with the assumption that  $c_1 = c_2 = 0$ , and not with the order  $n = 1$ , thus with:

**ln(rate of weight loss, dy/dt in mg/min)**

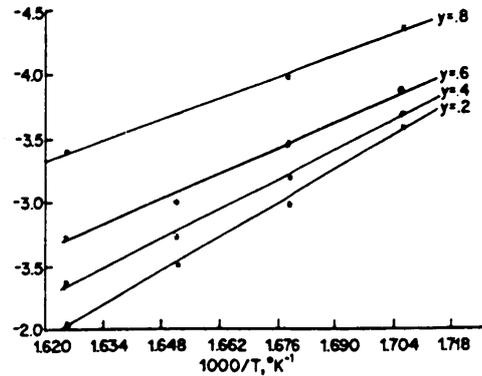


fig. 3.2. Isothermal differential logarithmic plot of rate of weight loss  $dy/dt$  in mg/min. vs inverse temperature for bleached pine kraft pulp [13]

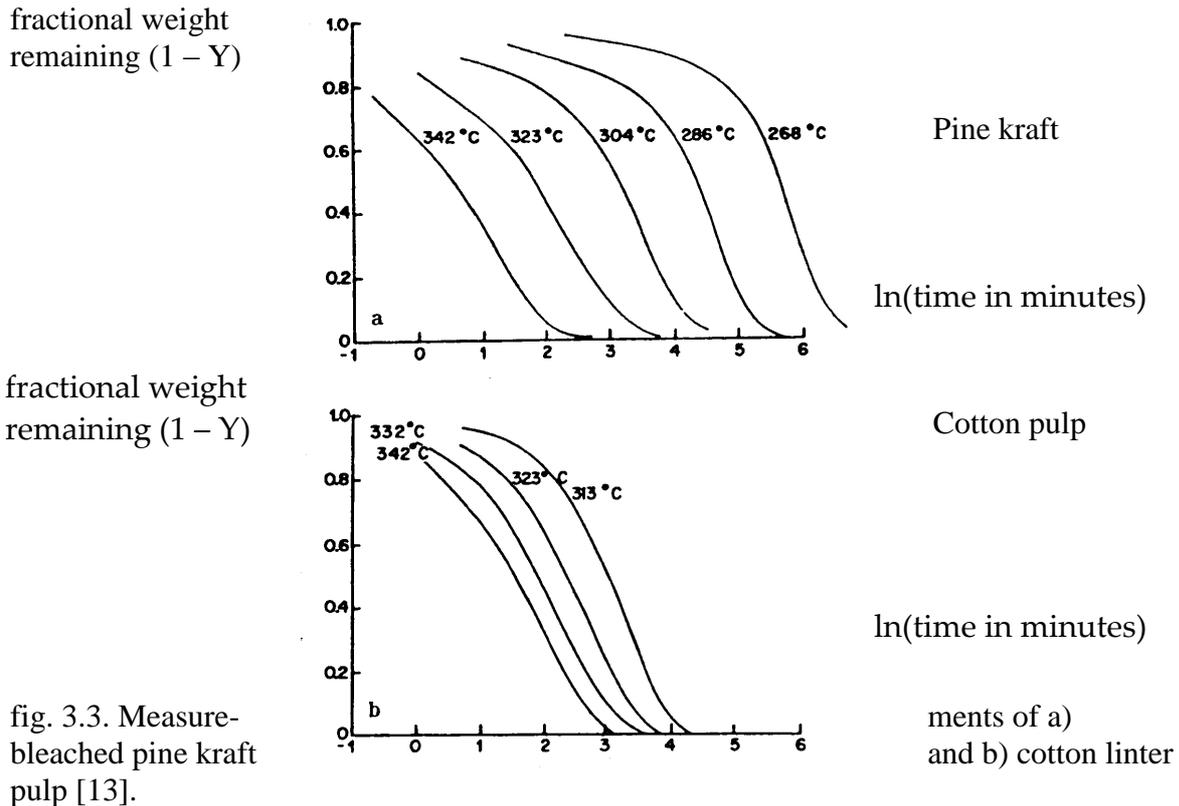
Table 3.1. Measured and theoretical activation energies for cotton linters pulp and for bleached pine kraft.

Extent of the Reaction: y	Activation energy in kcal-mol		
	measured	cotton theory	pine kraft measured
0.1	42.8	39.1	56.3
0.2	36.9	36.9	51.3
0.3	33.9	34.6	45.7
0.4	32.3	32.3	41.9
0.5	29.4	30.0	37.8
0.6	27.5	27.7	36.4
0.7	25.1	25.4	35.4
0.8	23.2	23.2	35.0
0.9	20.2	20.9	35.8

} mean 35.4

$$\ln\left(\frac{dy}{dt}\right) = \ln\left(v \cdot \exp\left(\frac{S}{k}\right)\right) + n \cdot \ln(1-y) - \frac{H}{kT} \quad (3.3.9)$$

what wrongly leads to changing values of H and n and a value of n, different from n - 1. In table 3.1, the measured activation energies according to eq.(3.3.9) for each value of y are given with the theoretical values of eq.(3.3.8) that is drawn through the points  $y = 0.4$  and  $0.8$ . In fig. 3.3 the measurements are given. The good fit of the theory for cotton shows that clearly one process is acting. It can be seen by the kinked lines of pine craft of fig. 3.3a, (even the line of  $342^{\circ}\text{C}$  shows a



kink) that 2 processes are acting. This also is shown in table 3.1, where the dominating process, at the end of the reaction, shows a constant activation energy of 35.4 kcal/mol while the first process has the same properties as for cotton. Further, it also follows from the apparent value of the reaction order  $n$ , when one process is assumed to act instead of two. For bleached pine kraft,  $n$  of eq.(3.3.9) is measured to be:

$$n = 0.39 (0.95) \text{ at } 268.1 \text{ } ^\circ\text{C}$$

$$n = 0.48 (0.84) \text{ at } 285.7 \text{ } ^\circ\text{C} \text{ and}$$

$$n = 0.70 (0.84) \text{ at } 303.7 \text{ } ^\circ\text{C},$$

thus showing at least two processes to be present of order zero and of order one. The values in brackets are for the plots at conversions above 0.6, showing mainly the influence of the second process thus mainly the influence of one process and thus showing the order approaching one. For Cotton linters, the true order  $n = 1$  was measured, showing that only one process is acting.

The solution of the theoretical equation eq.(3.3.8) may show a long delay time, before the process becomes noticeable. It appears that for wood during this delay time an other (first order) process is acting, (that produces the sites of the second process), with a nearly constant reactant, that thus can be regarded as a quasi zero order reaction. This system also was shown, by the thermo-mechanical method in [2], to be present for creep and damage processes at low temperatures.

### 3.4. Powder collapse method

Conclusions, in literature, about “low” transition temperatures of wood and the constituents of wood, as cellulose, lignin and hemicelluloses, are also based on the investigations by the powder collapse method, what thus need to be discussed.

A powder of the material is compressed under constant load in a glass capillary and the thermal softening point is determined as the temperature at which the powder collapses into a solid plug. This temperature is dependent on the applied stress thus is not a real glass

transition temperature. Further, friction of the powder particles is due to formation of a new type of side bonds at the former broken bonds by grinding and the collapse is due to this type of side bonds only. By the isolation of the components of wood, the physical and chemical properties may change strongly. Cellulose is strongly degraded by the common delignifying agents. However by nitration, with help of non-degrading acids, undegraded cellulose nitrate can be obtained. As mentioned before, this gel has, as other cellulosic products, totally different properties from in situ wood cellulose.

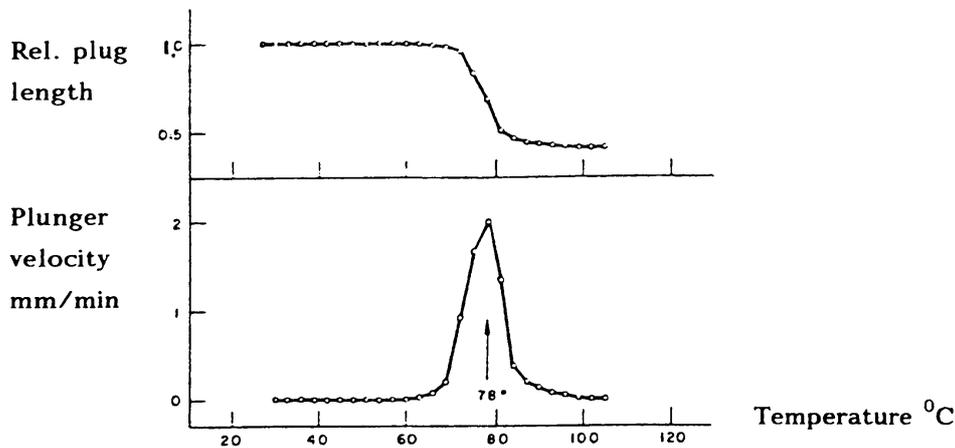


fig. 3.4. Relative plug length and plunger velocity vs. temperature for aspen dioxane lignin (m.c. 7.2 %) in the powder collapse test [11].

Also lignin changes, not only physically, by isolation with solvents. For instance, Periodate lignin (showing still a high softening temperature  $T_s \approx 200^\circ\text{C}$  in the powder collapse test) has lost methoxyl groups. This change also depends on the type of solvent. For instance, liquid ammonia reduces the plastization temperature of isolated lignin from  $+125^\circ\text{C}$  to  $-30^\circ\text{C}$  and enters in the cellulose crystallites modifying the lattice. Milled wood lignin is chemically the closest to native lignin. However, the molecular weight is only 11000 while native lignin has an undetermined high molecular weight.

The low value of the molecular weight of isolated lignin and hemicellulose has influence on the softening temperature. Spruce dioxane lignins (obtained by dioxane as solvent), showing the lowest lignin softening temperature  $T_s$ , shows an increase of this temperature from  $T_s = 126^\circ\text{C}$  at a molecular weight of  $M = 4300$  to  $T_s = 176^\circ\text{C}$  at a molecular weight of  $M = 85000$ . From these powder collapse tests, the following tendency of the influence of  $M$  on  $T_s$  can be derived:

$$T_s \approx 400 + 5.8 \cdot 10^{-4} \cdot M \quad (\text{with } M < 190000 \text{ and } T_s \text{ in degree Kelvin}), \quad (3.4.1)$$

predicting a molecular weight of  $M = 190000$  to be the value, where above the chain length has no further influence on  $T_s$  in the powder collapse tests, because:  $T_s < 510 \text{ K} = 240^\circ\text{C}$ .

This follows from tests on dry wood powder, showing only one softening peak at about  $220$  to  $240^\circ\text{C}$ , the same as for lignin, the lignin-hemicelluloses complex as well as for cellulose. This is confirmed by tests on pulps (cellulose), holocellulose (= cellulose + hemicellulose) and birch wood with removed xylan (thus removed hemicelluloses), showing all the same high value of  $T_s$  in the dry state. The reason of one and the same  $T_s$  for all components and co-polymers is certainly due to the collapse of the grain structure by side-bond failure and degradation of the locally high loaded grain particles by splitting of the OH-side bonds making plastization possible. The high weight loss ( $\sim 35\%$ ) at the high testing

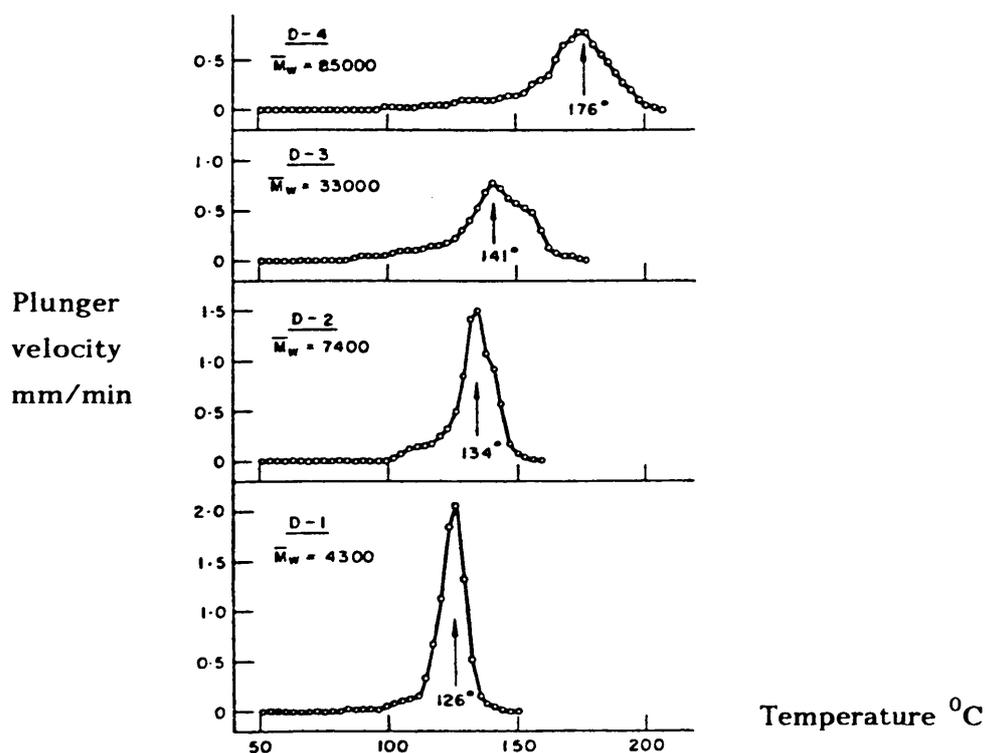


fig. 3.5. Influence of increasing molecular weight on the softening temperature of spruce dioxane lignins [11].

temperatures shows that decomposition is an accompanying phenomenon of this softening. This behavior of the wood powder test agrees with the results discussed later concerning the modulus of elasticity, the strength, the thermal expansion, and the specific heat, all indicating that dry wood does not show a transition up to the high temperature of degradation.

Because from wood extracted lignins and hemicelluloses are polymers with a low molecular weight (m.w.), the moisture dependency of the softening temperature

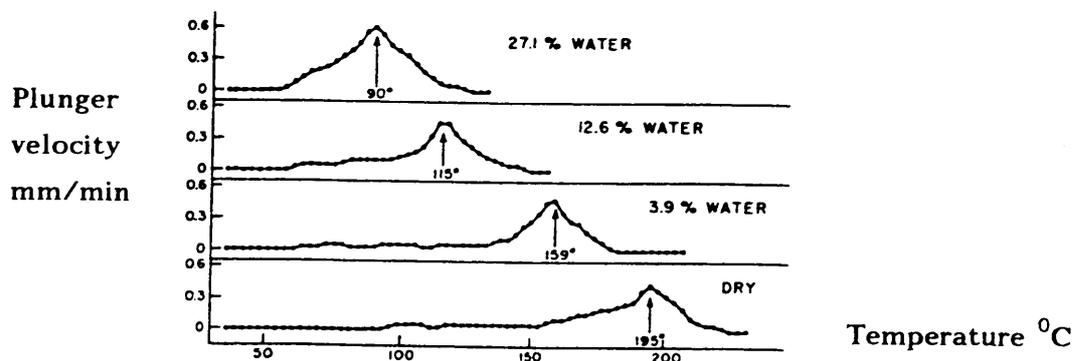


fig. 3.6. Influence of moisture content on the softening temperature of spruce dioxane lignins [11].

$T_S'$  is comparable with all other types of low m.w. polymers giving:

$$T_S' \approx T_S - 550 \cdot \omega \quad (\omega < \omega_S, \text{ for extracted lignins and hemicelluloses}) \quad (3.4.2)$$

explaining the measured low transition temperatures of the components (fig. 3.6). Contrary to the general assumption in literature, the layers containing lignin and hemicelluloses don't show a behavior according to heterogeneous blending, showing the same transition temperatures for the mixtures as for the separate components. If this was the case, the transition temperatures would be 20 °C and

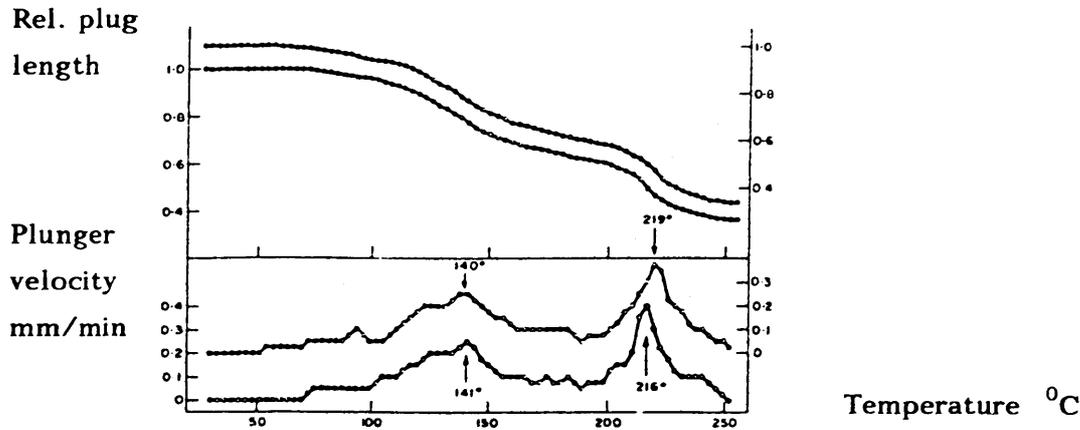


fig. 3.7. Twin curves, of the two softening points in wet spruce wood powder (Relative air humidity above 95%) of the non-structural filler material (140 °C) and of the wood co-polymer (219 °C) [11].

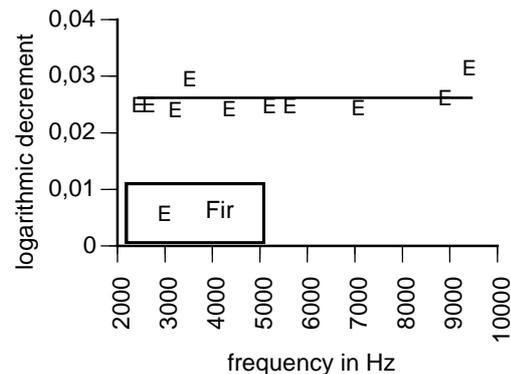
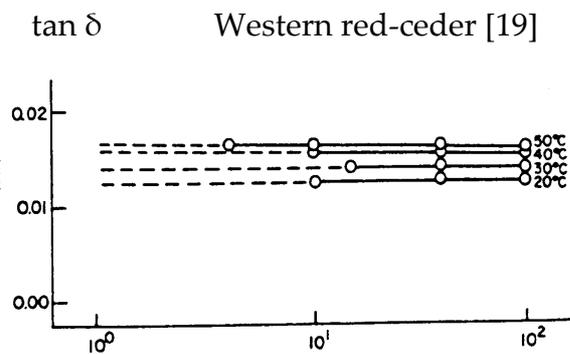
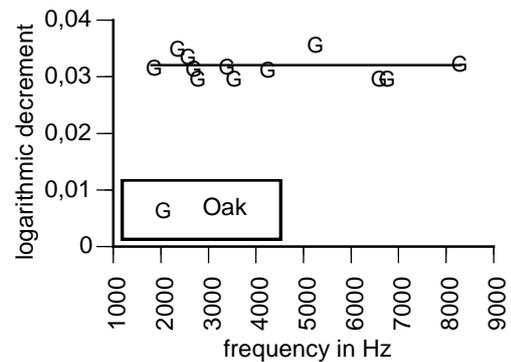
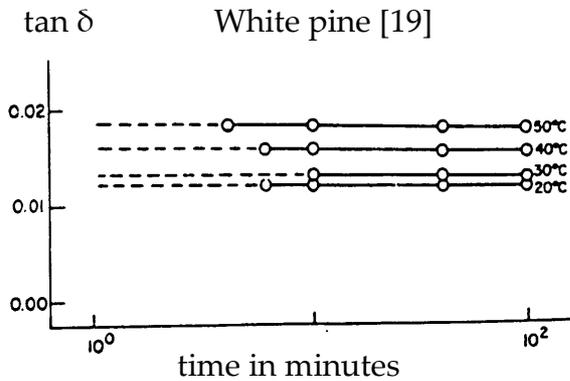
70 °C for wet (resp. hemicellulose- and lignin-) layers and would be 150 °C and 210 °C for the dry state, as measured for these components by N. Takamura and according to eq.(3.4.2) and similar to fig.(3.6). This is not occurring for wet wood powder and also dry wood only shows one transition temperature 220 °C, showing bonded chains to be present in the powder grains. The mixture thus behaves homogeneously similar to solutions, or alloys or copolymers, thus showing bonded lignin and hemicellulose chains. The additional peak at 140 °C of wet spruce powder at 23% m.c. (R.H. of the air above 95%) is due to the moisture dependence of the activation volume of loose filler material, thus due to the relatively short polymers in the powder. This influence is confirmed by tests on wet kraft pulp (cellulose) powder that may show a similar just noticeable transition peak (around 140 °C) as for wood powder, when there is a higher pentosan (a non-structural linear hemicellulose) content. The powder collapse tests show the importance of the molecular weight and moisture content on the softening temperature. Because of the low molecular weight and frictional bonds, the behavior is not comparable with that of solid wood.

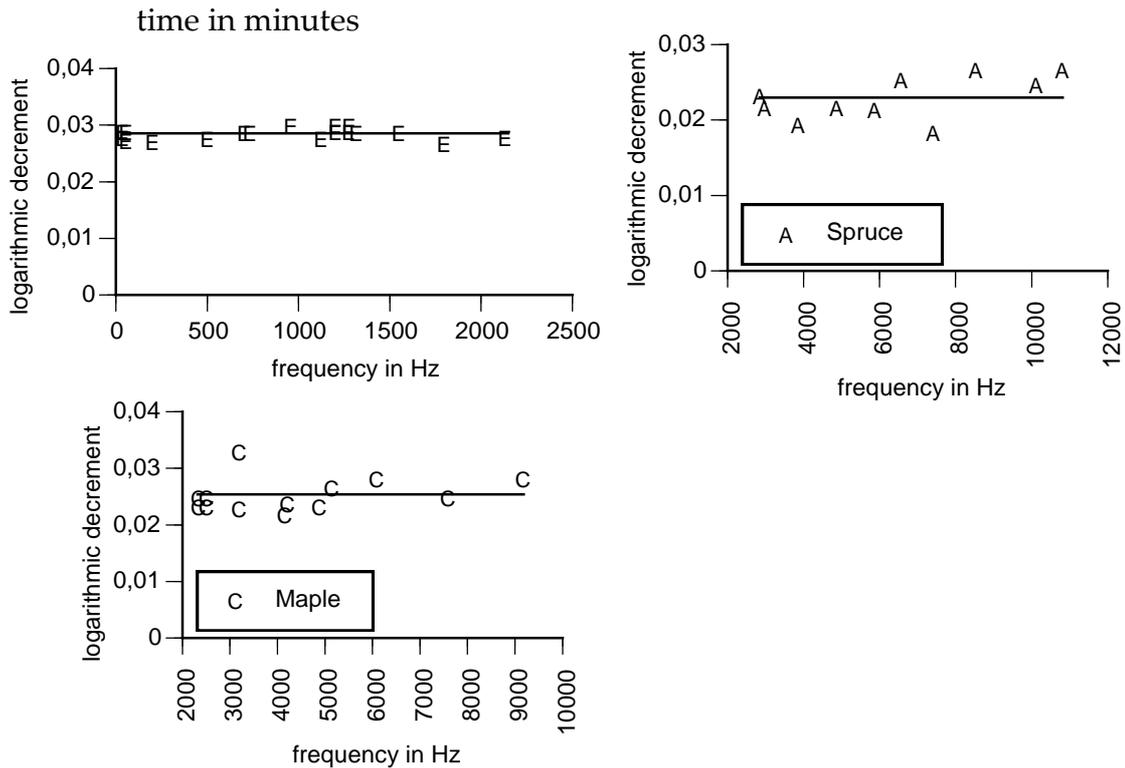
### 3.5. Dielectric properties

Dielectric dispersion, may sometimes give information on the mechanical behavior of materials. For wood however, this is not the case. The dielectric behavior, only may show mechanical properties when the side bonds are dipoles and dipole motion is possible by rotation of side groups. Several polymers for instance of the methacrylate series do show this by the same activation energies of ~ 24 kcal/mol, for dielectric relaxation and for mechanical relaxation. For wood, this is not possible because in cellulose the OH-groups are symmetrical attached and are dielectric neutral. The straight cellulose chains in wood prevent non-symmetrical binding of water, contrary to the cellulose types of plants (cotton, starch, etc.) where this is possible. Lignin also is neutral because of the random orientation of the OH-groups. Dielectric measurements show other processes than found by mechanical testing and the much lower activation energy indicates that it only gives information on the special water-structures at the free surfaces of wood that still are present in dry wood,

and determine only apparent dielectric properties of wood. The dielectric constant of water:  $\epsilon_w = 81$ , while  $\epsilon$  of wood is about:  $\epsilon = 2$ . For the lightest wood species  $\epsilon \approx 1$  and  $\epsilon$  of these species may approach  $\epsilon_w = 81$ , when saturated with water. As expected from the dependency of the activation energy on the moisture content, and as is measured, the logarithmic blending rule applies for the influence of the moisture content on  $\epsilon$ . In the neighbourhood of a peak in the dielectric loss tangent:  $\tan(\delta)$  however, the influence of water is higher than according to this rule, showing an additional resonance behavior, e.g. at the peak in fig. 2 of [16]. This resonance peak, around  $10^7$  Hz is the same for wood, cellulose and lignin (see fig 6.125 of [9]), and is also found in other materials as in paper and rubbers and even in dielectric neutral materials, because ions at grain boundaries and pores may provide with water molecules the same structures as found in wood. This peak disappears when the water is removed and it also disappears in pressed wood, (see fig. 6.123 of [9]), because then the pores are closed by the pressing, reducing the free surfaces. Thus the peak around  $10^7$  Hz has no influence on the mechanical properties and has nothing to do with a transformation, as is stated in [16] and in the mentioned EC and RILEM publications, but is simply the resonance peak at the eigen frequency of the water dipole resonator. This mechanism thus only can be noticed at this high frequency of  $10^7$  Hz. The high frequencies also cause heating of the water by molecular friction due to the oscillations what is used for kiln drying of steam permeable species of wood.

The measured overall dielectric  $\tan(\delta)$ , outside the peak value, of “dry” wood is totally different in the different investigations. In the investigation of Brake and Schutyne of oven dried wood at  $20^\circ\text{C}$ , see fig. 6.123 of [9], a decrease of  $\tan(\delta)$  with increasing frequencies between 10 and  $10^5$  Hz is measured, while the investigations of Kroner (see the older German publication of [9] of real dry wood) there is strong increase of  $\tan(\delta)$  up to the top near  $5 \cdot 10^6$  Hz. In fig. 2 of [16], there is a decrease until  $10^3$  Hz and an increase above  $10^3$  Hz (indicating that this ‘dry’ wood has a m.c. of about 4%. The real, right value of  $\tan(\delta)$  of wood follows from mechanical testing, showing (as common for glasses) a, constant,





**fig. 3.8. Internal friction.**

loss tangent,  $\tan(\delta)$ , and logarithmic decrement  $\Delta$  ( $\approx \pi \cdot \tan(\delta)$ ), at common temperatures, in the whole, technical frequency range of about  $10^{-5}$  to  $10^4$  Hz, (see fig. 3.8), depending on the loading level. Only at very high loading levels, additional damage peaks may occur. This constant value of  $\tan(\delta)$  is explained by the theory (see [2], pg. 96 to 100), as a consequence of the special property of the activation volume. The same property explains the time-stress equivalence of processes in wood.

## 4. Aging of wood

### 4.1. Measured aging

For the structural use of wood, transformations play no role. At common temperatures, loading levels and moisture contents there is no indication of any transformation and there thus also is no aging or change of crystallinity, chemical changes, or change of concentration of flow units (determining creep etc.) during very long times. Long term loaded wood (Hinoki) of old Japanes temples, did show an increase of strength during the first 400 years and then a slow decrease during the next 1000 years, due to a process of increase of crystallinity and a slower process of decomposition of cellulose (see fig. 4.1.). This follows from a piezoelectric shear modulus that shows the same behavior and from the X-ray diffraction patterns being sharper for 350 years than for 8 years old wood and being diffuse for 1400 years old wood, indicating the decrease of crystallinity although the strength and stiffness still was higher than for 8 years old wood. Aging of wood at normal conditions and low stresses thus is extremely slow and the changes at common times are not noticeable. If not neglected, a net strength increase, at low or zero stresses, could be accounted for of about 1 % in 10 years (during the first 400 years) at common temperatures (indicating the common creep value of the activation volume parameter of this kinetic process of  $n = 33$ ).

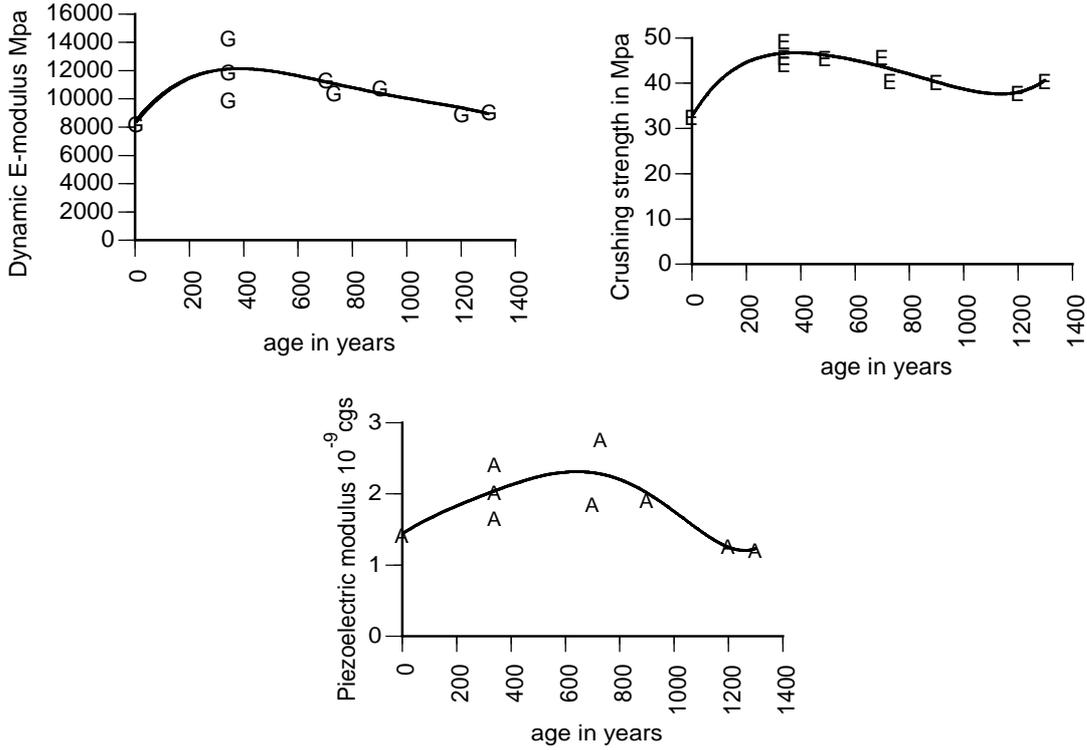


fig. 4.1. Change of strength, dynamic Young's modulus and piezoelectric modulus with time of old Japanese cypress wood [9]

#### 4.2. Measured accelerated aging of wood

Accelerated “aging” tests at high temperatures (115 to 175 °C, at a moisture content of about 5%) are given in [14]. The rate of deterioration of wood, by isothermal heating during some time, was measured by the decrease of weight, the decrease of the modulus of elasticity and the decrease of strength. The decrease of bonds is proportional to the weight loss and the loss of strength. The “strength” or work to failure  $f \cdot \lambda A$ , thus is proportional to the residual bonds thus proportional to the residual weight  $w$  (or energy in the DSC-method) and thus to the concentration of the reactant.

The strength reduction equation, eq.(3.3.2), thus becomes:

$$-\frac{d(N\lambda A)}{dt} = vN\lambda A \cdot \exp\left(-\frac{E'}{kT}\right) \cdot \exp\left(\frac{cN}{kT}\right) \quad (4.1)$$

with  $E' = E - \Delta E$  and  $f \cdot \lambda A = cN$  or in general:

$$-\frac{dW}{dt} = vW \cdot \exp\left(-\frac{E'}{kT}\right) \cdot \exp\left(\frac{c_1 W}{kT} - \frac{c_2 W}{k}\right) \quad (4.2)$$

or given in the extent of the reaction:  $y = (w_0 - w)/w_0 = 1 - W$ :

$$-\frac{d(1-y)}{dt} = \frac{dy}{dt} = v \cdot (1-y) \exp\left(-\frac{E'}{kT}\right) \cdot \exp\left(\frac{c_1(1-y)}{kT} - \frac{c_2(1-y)}{k}\right) \quad (4.3)$$

Integration gives:

$$y = 1 - \frac{E_1^{-1} \left( E_1 (c_1/kT - c_2/k) + vt \exp(-E'/kT) \right)}{c_1/kT - c_2/k} \quad (4.4)$$

where  $E_1$  is the exponential integral and  $E_1^{-1}$  is the inverse of the exponential integral. Eq.(4.4) only applies as long as the driving force is high. Integration of the general sinus-hyperbolicus equation that also applies in the end state gives as solution a row of exponential integrals. However, for very low driving forces a simple approximate solution is possible for fitting and parameter estimation.

Eq.(4.4) shows that damage increase at the start can be very small and may suddenly increase to failure at the end of the lifetime.

For comparison with test results and analysis in literature, an approximate solution of eq.(4.3), called integral method, based on a constant driving force, has to be used. Thus:

$$\int \frac{dy}{1-y} = v \cdot \exp\left(\frac{-E'}{kT}\right) \cdot \exp\left(\frac{c_1(1-y/2)}{kT} - \frac{c_2(1-y/2)}{k}\right) \cdot \int dt$$

where for each value of  $y$ , the mean driving forces  $c_1(1 - y_m)$  and  $c_2(1 - y_m) \cdot T$  are used, according to the always so applied integral method of literature.

Integration of the last equation then gives:

$$-\ln(1-y) = t \cdot v \cdot \exp\left(\frac{-E'}{kT}\right) \cdot \exp\left(\frac{c_1(1-y/2)}{kT} - \frac{c_2(1-y/2)}{k}\right) \quad \text{with } y_m = y/2, \text{ so that:}$$

$$\ln(\ln(1/(1-y))) = \ln(t) + \ln(v \cdot \exp(S/k)) - H/kT - c_2(1-y/2)/k + c_1(1-y/2)/kT$$

This can be written:

$$\text{Log}(t) = \text{log}(\ln(1/(1-y))) - \text{log}(v \cdot \exp(S/k) + (H/kT + c_2(1-y/2)/k - c_1(1-y/2)/kT)/2.3$$

The time  $t$  is in seconds, when written in days  $t_d$ , the equation becomes:

$$\text{Log}(t_d) = -4.94 - \text{log}(v \cdot \exp(S/k)) + \text{log}(\ln(1/(1-y))) + 0.4343c_2(1-y/2)/k + 0.4343(H/k - c_1(1-y/2))/T \quad (4.5)$$

To fit this equation, two measured points  $y = 0.1$  and  $y = 0.5$  of [14] are used:

$$\text{Log}(t_d) = -4.94 - 9.8 + \text{log}(\ln(1/(1-y))) + 2.02(1-y/2) + 0.4343(16736 - 3074(1-y/2))/T \quad \text{or:}$$

$$\text{Log}(t_d) = -14.74 + \text{log}(\ln(1/(1-y))) + 2.02(1-y/2) + (5933 + 667.5 y)/T = C_1 + C_2/T$$

for each value of  $y$ .

From this fit follows:  $H/R = 16736$  or:  $H = 2 \cdot 16.736 = 33.5$  kcal/mol.

The apparent values for  $y = 0.1$  and  $0.5$  are:  $2 \cdot (16.74 - 0.95 \cdot 3.07) = 27.6$  kcal/mol.

resp.  $2 \cdot (16.74 - 0.75 \cdot 3.07) = 28.8$  kcal/mol.

For the loss of weight and reduction of the modulus of elasticity at  $y = 0.05$  (the 95 % survival boundary), activation enthalpies of 28.9 kcal/mol resp. 29.3 kcal/mol follow from the data. The measured values predict a 5% loss of the weight at 30 0 C after 20000 years. For a 5% loss of the modulus, at 30 0 C, 32000 year is predicted, (while this is 1224 days, or

Table 4.1. Measured and theoretical activation energy parameters of wood

y	C <sub>1</sub>		C <sub>2</sub>	
	measured	theory	measured	theory
0.05	13.94	14.06	5925	5966
0.1	13.80	13.80 ----	6000	6000 ---- chosen fit
0.2	13.75	13.57	6063	6067
0.3	13.55	13.47	6150	6134
0.4	13.45	13.42	6202	6200
0.5	13.39	13.39 ----	6267	6267 ---- chosen fit

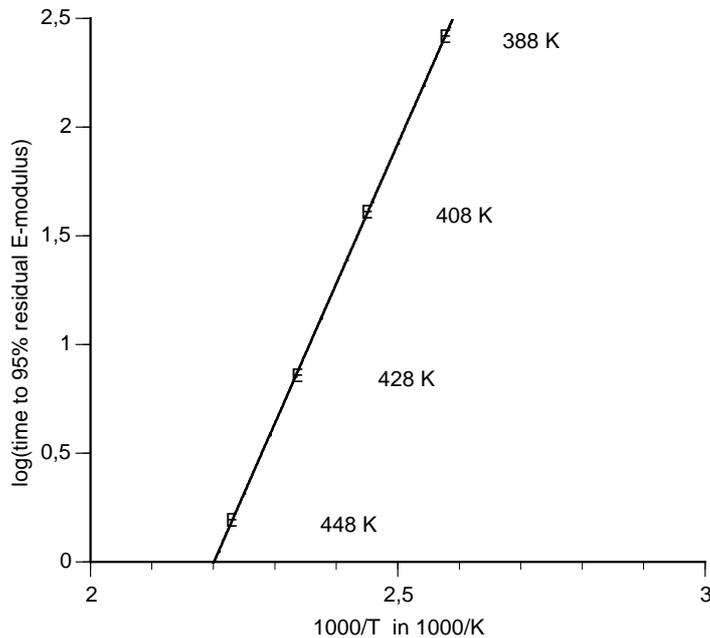


fig. 4.2. Time to attain 95 % residual modulus of elasticity, depending on temperature  $T$  (in degrees Kelvin) of 6 species of each 7 specimen [14]. Similar perfect straight lines, according to eq.(3.2), apply for the residual weight and residual modulus of rupture. 3.35 years at  $100^{\circ}\text{C}$ ).

A 5% loss of the strength will occur in 1300 years at  $30^{\circ}\text{C}$  because of the low activation energy at the start. These reductions, by heating alone as driving force, are orders lower than the reduction found in 4.1, according to the descending branch of aging at normal climatic conditions with changing temperatures and moisture contents and at the common low stress-levels of old buildings. In [10] results of isothermal thermo-gravimetric measurements are given, between  $93.5$  and  $280^{\circ}\text{C}$  with the Arrhenius plot, based on the first order reaction equation  $dW/dt = -kW$ , that may apply outside the delay time. The given plot shows an activation enthalpy of  $28,3$  kcal/mol. Reported for boards is  $29.5$  kcal/mol. These results confirm the found values above of [14].

Also mentioned in [10] is the occurrence of a second process in thick specimens (see fig. 4.3). This process with a higher activation energy than the first process occurs at lower temperatures, showing that there is a high internal stress. The same also was found in [2] (pg. 79) for relaxation at  $40^{\circ}\text{C}$  of wet wood and for the compression strength ([2], pg. 51) and for creep ([2], pg. 54) at room temperature. The same activation energy of about  $41$  kcal/mol was also found by the DSC-method (see 3.3, lowest peak).

Determining for aging, at common temperatures, are the damage processes due to mechanical loading and not the transformations due to the chemical forces. Based on the data of the temples, mentioned above, the 95% lifetime prediction thus should be based on 2 dominating processes due to loading. One process of a strength decrease and one process of strength increase (by the increase of crystallinity due to loading). The high glassy value and the exact fit according to the Arrhenius law, even at higher temperatures ( $115^{\circ}$  to  $175^{\circ}\text{C}$ ) of the modulus of elasticity, shows the main constituents of wood to be, still then, in the glassy state.

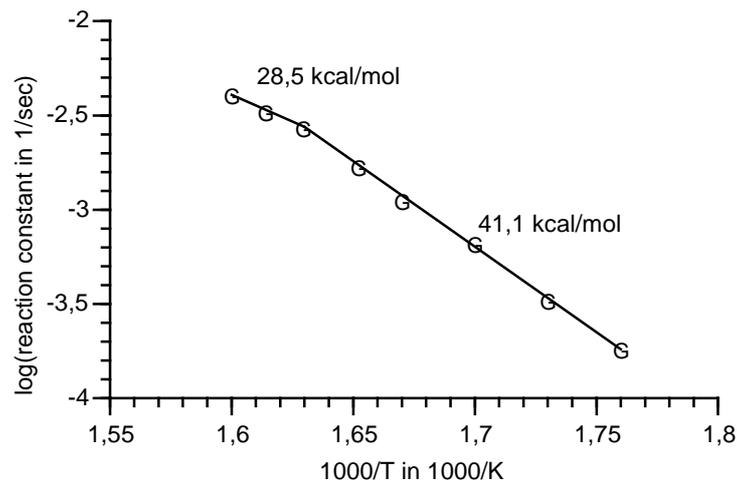


fig. 4.3. Arrhenius plot of thermal degradation of yellow pine [10].

## 5. Transformations and decomposition of wood

### 5.1. Introduction

At a phase transformation, the free energies of 2 coexisting phases at the transformation temperature are the same, but when the first derivatives of their free energies are not the same, above and below the equilibrium temperature, there is a latent heat, or a discontinuity of the enthalpy, the entropy and volume, what is known as a first order transformation. When these first derivatives are continuous, but the second derivatives of the free energies are different below and above the transformation temperature, showing then thus discontinuities in the thermal expansion coefficient, the heat capacity (specific heat) and the compressibility, the transformation is known as a second order transformation (e.g. a glass-rubber transition).

### 5.2. First order transformations

Examples of first order transformations of wood-material are the changes as: melting, crystallization, depolymerization, degradation, dehydration and some types of plasticize and hardening. Transformations of wood components are mentioned e.g. in [7], but are based on highly degraded material. Wet hemicellulose is therefore supposed to soften at about 55 °C and lignin at 120 °C and there also are other structural changes in this temperature range. First, the structure of lignin is altered and then transverse shrinkage of wood-components begins (at 70 °C) and next the lignin starts losing weight. As a transition temperature of cellulose 210 °C is given. The changes in the range 120 to 210 °C are: initial decomposition of lignin and degradation of hemicellulose; hemicellulose starts to decrease and cellulose begins to increase (by the reaction with hemicellulose); bonded water is freed (140 °C); Lignin “melts” and begins to re-harden; rapid weight loss of hemicellulose and then of the lignin; cellulose dehydrates. Above 210 °C, cellulose crystallinity decreases and recovers; cellulose decomposition and weight loss starts above 280 °C (formation of Carbohydrates); crystalline ordering changes (at about 225 °C) crystallites start to melt also above 280 °C; dissociation starts above 500 °C and dominates above 700 °C; hemicellulose completely degrades; wood is carbonized.

The mentioned transformation temperatures have no general meaning because in [12] much higher temperatures are given (see fig. 5.1), indicating higher molecular weights of the sample material.

Wood does not follow these transformations of the degraded components. As shown before, wood is not a heterogeneous composition and will not show transformations of the components, but is a homogeneous composite and shows one intermediate transition point (see e.g. fig. 5.1) of the co-polymer depending on the composition. It can be seen in fig. 5.1, that the bend down of line 1 of wood, the onset of the transition peak of wood,

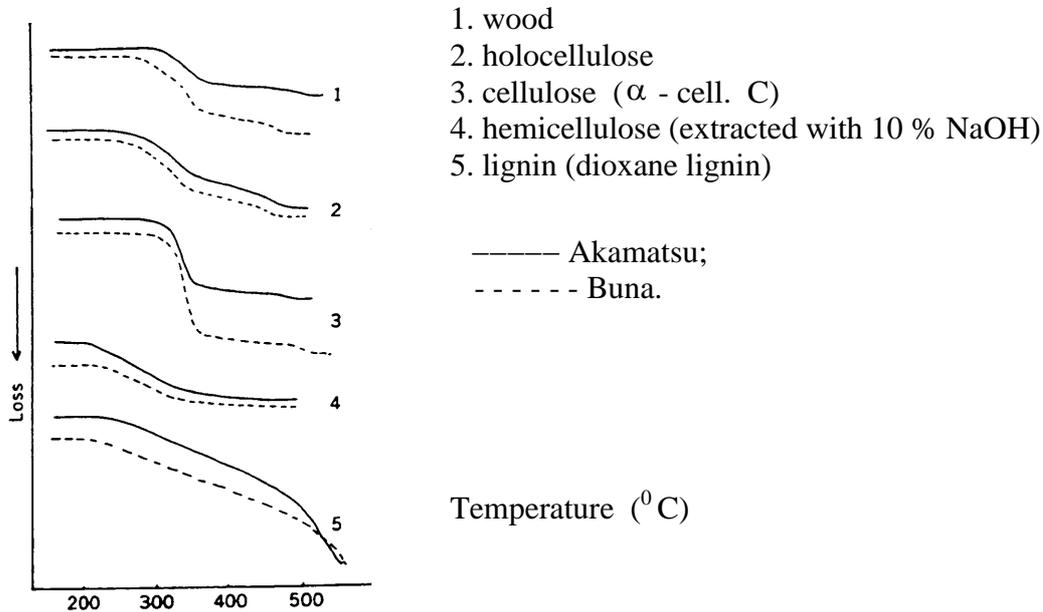


fig. 5.1. TG curve of wood and wood components [12].

(that is proportional to the slope of the line), is not influenced by the onset and transformation of the components. The composite wood shows a higher crystalline melting point than is mentioned for the components. a higher crystalline melting point than is mentioned for the components. The dynamic DTA and DSC tests, [12], did show the endothermic melting peak to be at about 380  $^{\circ}$ C, a higher crystalline melting point than is mentioned for the components, occurring at the high temperatures where also depolymerization and degradation occurs (failure of the chain oxygen linkages).

Decomposition thus is necessary to get “melting” and this “melting”-process can better be regarded as a process of endothermic decomposition.

It can be concluded that first order like transformations of wood only occur at high temperatures and have a not noticeable influence on time dependent behavior at common temperatures (as also follows from 4).

### 5.3 Second order transformations

Second order transformations, that may show at the transition temperature a “step increase” of the thermal expansion coefficient, the heat capacity and the compressibility, should e.g. be detectable for wood by a fall down of the modulus of elasticity. For a real glass-rubber transition, the stiffness (or rigidity) diminishes more than 3 orders (and the strengths more than 2 orders). However wood, as highly oriented, cross-linked, filled and crystalline composite can be expected to show a leather transition and to remain elastic (potential-elastic, not rubber-elastic) and only may show a reduction of the stiffness of less than one order in the stiff direction.

#### 5.3.1. Change of the thermal expansion coefficient

The thermal expansion coefficient  $\alpha$  can only be measured for dry wood, because else temperature changes cause changes of m.c. and cause higher deformations than by thermal expansion. The measurements on dry wood of Schaffer, between  $0^{\circ}$  and  $270^{\circ}$  C, did not show a sudden increase of the thermal expansion coefficient. Dry wood thus does not show a transformation up to the highest temperatures of degradation.

### 5.3.2. Change of the heat capacity

The heat capacity of wood, or specific heat (as ratio of the capacity to the capacity of water at  $15^{\circ}$  C), is known e.g. between  $0^{\circ}$  and  $110^{\circ}$  C [9]. It is independent of the species and specific gravity. It slightly increases with temperature and the dependency of the moisture content follows the additive rule of the specific heats  $c$  of the water content and of dry wood content:

$$c_m = \omega \cdot c_w + (1 - \omega) \cdot c_0 = \omega + (1 - \omega) \cdot 0.324 = 0.324 + 0.676 \cdot \omega = (\omega_0 + 0.324) / (1 + \omega_0)$$

where  $\omega$  is the moisture content based on the wet weight and  $\omega_0$  on the oven-dry weight;  $c_0$  is the specific heat of dry wood and  $c_w = 1$ , is the specific heat of water. There thus also is no indication of any “discontinuity” (or quick change) in this temperature range (nor due to water). The usually assumed glass-transition of wet wood around  $50^{\circ}$  to  $80^{\circ}$  C thus is not indicated and is rejected. Around this temperature, a common second relaxation process may become noticeable, after a long delay time, at sufficient high stresses, by the time-stress equivalence, (see fig. 4.3 and [2] pg. 79). The glass state, determining this mechanism, is confirmed by the perfect Arrhenius plot of the damping peaks (or loss modulus peaks) at thermodynamically loading (comprising the whole moisture content range). For a glass transition, a WLF-type equation should apply instead of the Arrhenius equation. Wet wood thus also does not show a transformation (below  $110^{\circ}$  C).

### 5.3.3. Change of the strength and modulus of elasticity

According to the constant temperature dependency of the modulus of elasticity, dry wood (m.c. = 0) does not show any transformation up to the highest temperatures where degradation occurs. The same follows from the constant temperature dependency of the strength for the ultimate load bearing bonds. The compression strength at 0 % m.c. is measured between  $-180^{\circ}$  to  $+280^{\circ}$  C to be linearly dependent on the temperature. This linear decrease with the temperature of the strength is due to the positive constant entropy term of the activation energy what is common for glassy behavior. The same applies for the bending strength, measured between  $-180^{\circ}$  and  $+130^{\circ}$  C, and for the tensile strength, measured between  $+20^{\circ}$  and  $280^{\circ}$  C. One investigation (of Schaffer), did show a kink in the straight lines for the modulus of elasticity, the compression- and the tensile strengths around  $+200^{\circ}$  C, showing the influence of damage by the initial quick m.c. change. The common decrease of the strength with the moisture content is a property of the activation volume. This effect is recoverable, as for most moisture dependent properties (at sufficient low stresses).

The moisture content has no influence on the tensile strength at low temperatures ( $-180^{\circ}$  to  $\sim +20^{\circ}$  C). As discussed in [2] pg. 51, there is a change of the dominating strength determining process at  $-8^{\circ}$  C, where below the determining process shows an activation volume that is not dependent on the m.c. for tension and follows  $\lambda_0 \approx \lambda_1 \cdot \omega_m \cdot T_0$  for compression, explaining the curved decrease of the compression strength at a m.c. above fiber saturation (given e.g. between  $-100^{\circ}$  and  $+20^{\circ}$  C in fig. 4.5.3, and between  $20^{\circ}$  to  $100^{\circ}$  C in fig. 4.5.1 of [2]), and shows no “step” change of the strength or “sudden” change of the temperature dependency in this temperature range thus shows no transformation. The same follows from the shear strength, measured for wet wood e.g. between  $20^{\circ}$  and  $170^{\circ}$  C,

and from the strengths perpendicular to the grain measured up to 100 °C. The modulus of elasticity also is measured, in the temperature range of - 150 to + 280 °C, to be linearly dependent on the temperature for dry wood (m.c. ~ 2 %).

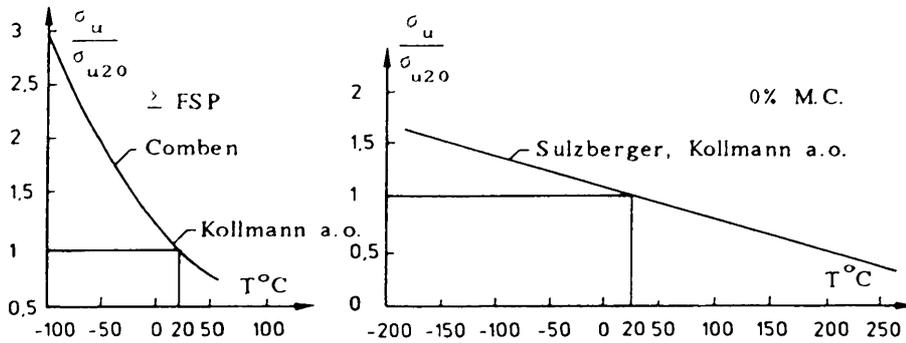


fig. 5.2. Compressive strength // [12] of wood in the whole temperature range according to theory and measurement (see [2] pg. 52).

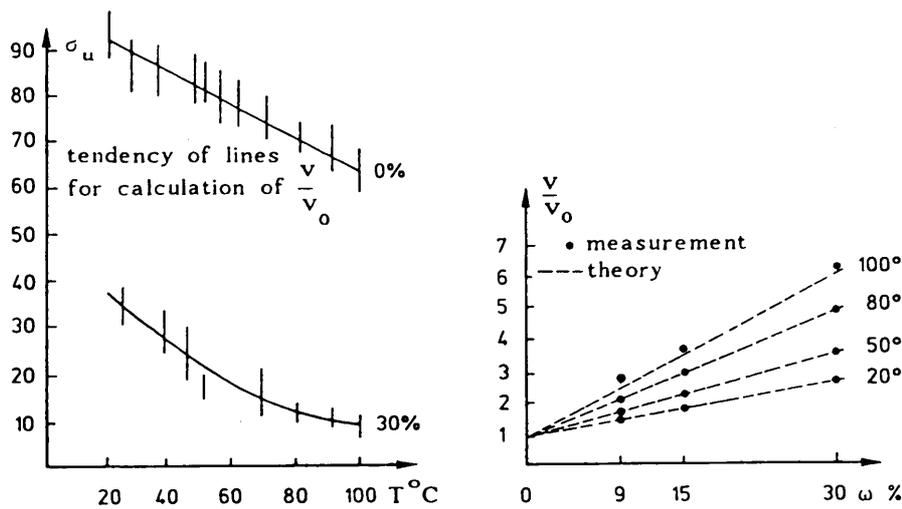


fig. 5.3. Compression strength and activation volume

Because the modulus of elasticity is proportional to the activation energy (by the form of the energy barrier), the linear temperature dependence is caused by the constant positive entropy term (see [2] pg. 33). This predicts a similar m.c. dependency as for the strength (see 5.3.3 and [2]).

Thus, the strength and modulus of elasticity don't indicate, in common circumstances, a transformation of load bearing bonds in the whole temperature and moisture content ranges of un-degraded wood.

### 5.3.4. Changing loading, moisture content and temperature

Softening (similar to glass transition) of wood is possible at high temperatures and moisture contents (m.c.) due to high loading. The influence of m.c. is known from manufacturing densified wood. Pressing wood of 26 % m.c. at 26 °C is as easy as pressing wood of 6

% m.c. at 160 ° C. By the time-stress equivalence, the softening temperature of wood is also strongly reduced by high loading.

A type of a leather-like transformation of wet wood is possible by a cycling load or (see [2]) by a cycling m.c. change. This is not a real glass-transition that only depends on its transition temperature, but may occur at any temperature and is dependent on the loading level that should be above the long-term strength. The transformation is not possible for tension in grain direction and at low moisture contents, but is measured in compression (Y.M Ivanov) and in torsion (Becker and Noack) and the other loading cases [2].

Repeated compressional loading of small clear compression specimens (1x1x2 cm<sup>3</sup>) at a stress level above the long-term strength did show, besides the visco-elastic strain, a strong increase of the elastic strain. Thus, a strong decrease of the modulus of elasticity. This elastic strain may become of higher order with respect to the initial strain, when pure central loading of the specimen remains possible in the test. If this is no longer possible, instantaneous compressional failure occurs. The applied stress is thus a fatigue load of the repeated central loading. The behavior is according to a damage equation or to a structural change equation like eq.(6.5.23) of [2], and there is a delay time and an exponential increase of the elastic and viscoelastic strain. Visco-elastic strain is caused by side bond breaking and bond reformation in a shifted position. The mechano-sorptive effect is a special form of this mechanism where there is a alternate shrinking and swelling with slip in adjacent layers by the moisture content changes, what is fully explained in [2] chapter 7 for all histories of high moisture content changes. At high stresses, there is an additional effect

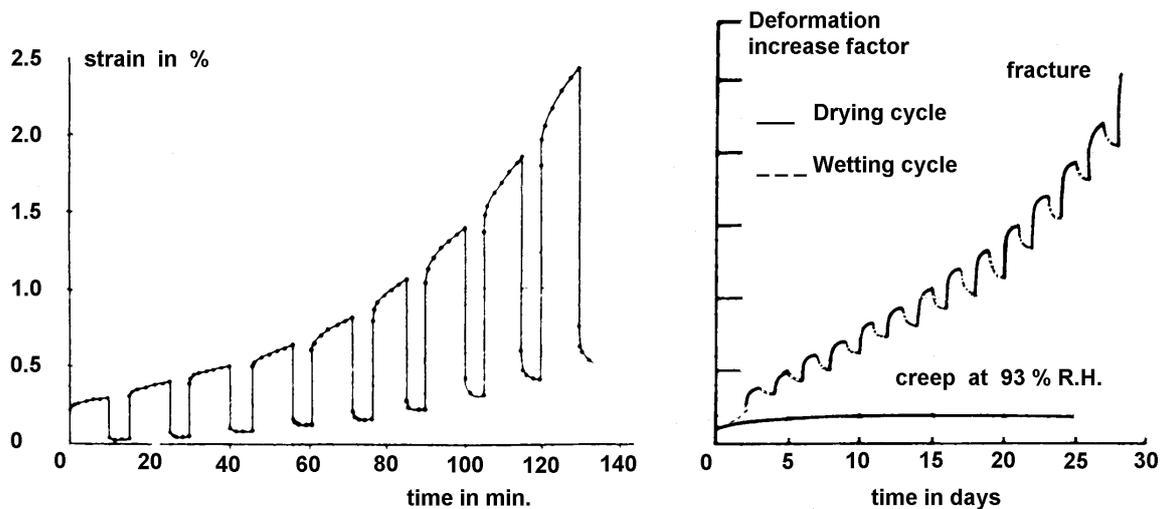


fig. 5.4. High elastic strain and mechanosorptive strain ([18]-left, [17]-right)

of high visco-elasticity and of diminished bond reformation causing the decrease of the modulus of elasticity due to damage by fatigue.

An other way to obtain a high elastic strain is given in chapter 8 of [2]. Creep and relaxation compression tests on small clear specimens at a high stress level, with small changing moisture contents, did show, in the delay time before the high elastic state in compression, a strong increase of the activation volume and thus a much higher compressional creep and already a high elastic state for bending movement of the compression specimen. This shows that side bond breaking starts only in certain planes and changing moisture content tests should be done in combined bending and compression for parameter estimation.

For wood, the high elastic state is the result of a strength mechanism, decreasing the side bonds and it is not a glass transformation, although the deformation is partial recoverable. The real glass transformation is discussed in B(2010).

## 6. Conclusions

Conclusions about phase transformations discussed in chapter 2, are given in 2.9.

Based on the theory of molecular kinetics, a discussion and theoretical derivation and correction is given in 2 of the old qualitative linear rheological models. As a consequence, new theory in 2 is derived about: nucleation and heterogeneous nucleation (2.5), with the right "Tammann Hesse" (2.6), and other empirical nucleation equations (2.5); the general diffusion equation of transformations (2.3); the reaction order (2.4); the activation volume parameters (2.5); the power law (2.5); and the empirical power law rate equations (2.8).

- Conclusions about transformations in wood, discussed in 3 to 5, are as follows:

- The activation enthalpy, found by thermogravimetry, is only indicative because of: the gas lost; the combustion of these gasses, determining also the reaction within the specimen; the successive and simultaneous reactions, giving overlapping peaks; the assumed wrong equation of only one process and wrong reaction order (different from order one); the structural transitions in wood that only are possible after the previous, or at the same time acting decomposition reactions; the strong influence of the molecular weight and dimensions of the sample and of the heating rate; the neglect of the strong influence or the extend of the reaction  $y$  on the enthalpy  $H$ , so that  $H - cy$  is measured and reported to be  $H$ ; the many influences causing peaks that are characteristic of the test and sample holder assembly and laboratory and not of the sample.

A thermo-mechanical verification of the found enthalpies thus is always necessary.

- Thermo-gravimetric and differential scanning calorimetric measurements of wood in nitrogen show that there is a peak, at about  $380^{\circ}\text{C}$ , of endothermic melting and degradation of the crystallites and at the same time a peak of exothermic decomposition and depolymerization that interacts and overlaps the melting. The apparent activation enthalpies of both peaks are 43 to 49 kcal/mol, according to the DSC-method. From the TG-method, lower values from 23 to 30 kcal/mol for the equivalent peaks are found, showing, that there is a process of weight loss and a process of bond breaking without weight loss.

- Isothermal TG measurements just below  $380^{\circ}\text{C}$ , did show that two processes are acting, and by the changing driving force, due to the extent of the reaction, of one of the processes, an apparent changing total activation energy between 35.4 and 56.3 kcal/mol was found, see table 3.1. The lower value agrees with the value found at still lower temperatures of the accelerated aging process of 4.2. The lower enthalpy values of 23 to 30 kcal/mol, of the dynamic TG method with respect to 35 to 56 kcal/mol of the isothermal TG method is also due to a too high heating rate with respect to the long delay time of the processes.

- The found enthalpy values from thermogravimetry agree with those obtained by thermo-mechanical methods of creep and strength as given in [2].

- It can be concluded that first order transformations of wood only occur at high temperatures and have a not noticeable influence on time dependent behavior at common temperatures as also follows from chapter 4.

- The powder collapse tests show the important influence of the molecular weight and moisture content on the softening temperature. Because of the low molecular weight of the degraded sample material and the other type of bonds, the behavior is not comparable with that of wood.

- It is clearly shown that wood is not a heterogeneous composition but a co-polymer, (a homogeneous composite), and thus will not show separate transition peaks of the different

components, but one intermediate transition point. Thus, cellulose-, hemicellulose- and lignin- peaks, etc. don't exist in wood.

- Thus, peaks due to previous testing; water movement; temperature history and damage etc.; should not be associated with transitions of components, as is done.
- Dielectric dispersion gives no information on the mechanical behavior of wood because wood is dielectric neutral. The dielectric measurements only give information on the special loose water-structures at the free surfaces of pores etc. in wood, as also follows from the low activation energies.
- The internal friction thus does not show the multi-peaked dielectric behavior of the “multi-transitions model”, but the real, right value of internal friction, following from mechanical testing, shows a constant loss tangent,  $\tan(\delta)$ , and constant logarithmic decrement  $\Delta$  ( $\approx \pi \cdot \tan(\delta)$ ), at common temperatures, in the whole, technical frequency range of about  $10^{-5}$  to  $10^{+4}$ , (depending on the loading level). This constant value of  $\tan(\delta)$  is explained by the theory (see [2], pg. 96 to 100), as a consequence of a property of the activation volume. The same property also explains the time-stress equivalence.
- Measured aging on wood of temples, loaded between 400 to 1300 years, did show a negligible decrease of strength and stiffness during 1300 years, despite of loading and climate changes. Accelerated “aging” tests at high temperatures did show a still smaller decrease, because there was no mechanical loading. Also for this structural change process, the time-stress equivalence applies.
- For the structural use of wood, transformations play no role. At common temperatures, loading levels and moisture contents there is no action of any transformation and there thus also is no aging effect or change of crystallinity, chemical changes, or change of concentration of flow units (determining creep). There also is no indication of second order transformations because there is no sudden change on a temperature plot of: the thermal expansion coefficient; the heat capacity; the strength and the modulus of elasticity. The only process that matters is the damage process at high loading. Stress is the only driving force then, because the chemical driving forces are negligible.
- Softening of wood is possible at higher temperatures and high moisture contents, by high changing loading or by changing moisture content at sufficient high loading. Preliminary tests and parameter estimations are given in [2]. More investigations are necessary to obtain the parameters of this special mechano-sorptive effect. Because unprotected wood in buildings undergoes the maximal moisture content change during the year, the mechano-sorptive effect, together with the softening effect at higher loading and by long term cycling, is determining for creep and safety and is the only effect that needs to be investigated further.
- Conclusions about the new rubber theory are given in appendix A - 4.
- Conclusions about the new theory of glass transition and annealing are given in appendix B - 4.
- All aspects of strength and time dependent behavior of materials are fully explained by the acting physical and chemical processes, thus by statistical mechanics and reaction kinetics. The correlation of the theory with the measurements is about one, for the processes in each specimen (each given structure). It thus is a deception to use the old qualitative linear rheological model of liquids and soft solids, that even does not apply for these materials and only locally and meaninglessly may fit some data, and thus is not able to predict behavior.

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## APPENDIX A

### Replacement of the classical phenomenological ‘Rubber Theory’ by the exact equilibrium theory of molecular deformation kinetics

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## 1. Introduction

Although linear visco-elasticity does not exist for structural materials, there is, due to the standard computer applications, still a fall back to models based on linear behavior and on linear spectra of relaxation times based on the flexible chain theory. These models are extrapolated to the totally different, non-linear cases of rubbers, glasses and crystalline materials and even are supposed to be able to describe transformations. Even the free chain model of Zimm [16] is proposed as such for the glassy and crystalline material wood, although it only may apply for flow of very dilute solutions. Wood remains glassy and does not show a real glass transition or real melting below its high temperatures of decomposition. A real glass transition temperature of wood thus does not exist. For wood, the process of side bond reduction, causing softening, is only possible at combined high temperatures and moisture contents with a high loading close to the level of damage and decomposition.

That spectra of relaxation times cannot exist is shown before in publications and at COST-workshops. Besides the theoretical impossibility of such an existence, also the simple test of e.g. zero relaxation, after a relaxation test, shows that only one non-linear deformation kinetics process is acting within a very wide time range. Zero relaxation occurs when the applied strain and stress are lowered to such a level that the internal stress on the sites is zero. This proves the impossibility of the existence of a spectrum [2] that predicts that there always is relaxation at any strain that is applied. This further shows that none of the other methods (chain models, power laws, general functions, etc.), based, or implicitly based, on the existence of spectra, is able to explain or predict any behavior and thus is nothing more than an arbitrary, only partially applicable fitting procedure.

For valid conclusions on wood behavior, it is necessary to show when, and for what material, there is any possibility of assumptions of linearity or chain-like behavior and thus any applicability of the phenomenological rubber theory. This is done here by a simple derivation of this "theory" to show its basic suppositions and the consequences. Because this analysis leads to a rejection of this "theory", the real explanation of rubber behavior by the exact physical theory, (statistical mechanics and the equilibrium theory [2] of deformation kinetics), is also discussed. This general theory is shown, in many publications, to explain fully all aspects of time dependent behavior without the need of the invalid extrapolation of the free chain model of a very dilute solution to dense rubbers and without the

need of an incorrect and inconsistent phenomenological model of increase of free volume as cause of the glass transition [3], [2].

From the given derivations it is shown that the explanation of rubber behavior by deformation kinetics removes the existing serious contradictions of the, therefore rejectable, chain models. Of course, these models, based on the behavior of isolated flexible molecules, only were supposed to apply for liquid-like behavior in the terminal zone of very dilute uncross linked polymers and can not apply for undiluted material in the glass-, or in the plateau zone, nor for cross-linked networks and thus certainly not for structural materials like wood.

## 2. Discussion of the classical rubber theory

### 2.1. Basis hypothesis of the theory (Rouse; Zimm; etc.)

The "rubber" theory is based on the Brownian motion of isolated flexible chains at higher temperatures above glass transition, thus deals with very dilute solutions where a separated long molecule is surrounded by solvent. The driving force of these Brownian motions is the thermal energy that is regarded to be opposed by viscous forces of the hydrodynamic resistance of the solvent. At dynamic loading, the force in phase of the Brownian displacements causes energy storage and in phase with the velocity causes energy dissipation. At very high frequencies (many orders above the measuring frequency of the rubber state), there will mainly be bond stretching or elastic behavior (glassy rigidity), while for low frequencies there is time for chain movement within a period showing the mentioned strain and velocity in phase with the stress (in rubber behavior).

The theory however does not deal with the behavior at higher frequencies and short-range relationships and the prediction of this theory of infinite rigidity and infinite loss at infinite high frequencies is invalid. The theory is limited to the low frequency chain statistics that any point on the chain backbone separated by 50 or more chain atoms will be related to each other in space according to a Gaussian distribution of vectors (distance according to a random walk). The root-mean-square distance,  $s$ , between 2 points separated by  $q$  monomeric units ( $q > 50$  chain atoms) is:

$$s = a\sqrt{q},$$

where "a" is about the monomer distance. Distortion of these 2 distance points by a shear stress in the solvent will be opposed by the restoring force due to the diffusion back to this configuration by the Brownian motion resulting to a spring constant of:  $3kT/qa^2$ , (the "entropy spring" of a chain segment in liquids and soft solids), where  $3kT$  is the mean thermal energy ( $T$  = absolute temperature;  $k$  = Boltzmann's constant). By Rouse it is assumed, as model, that the friction along the chain can be concentrated on these distance points (called segment junctions) in order that only the movements of these points have to be regarded.

It thus is assumed that there is no hydrodynamic interaction for smaller motions between the junctions (limiting the model to low frequencies). The motions of all segment junctions can be expanded into modes (like a vibrating string) and each mode corresponds to a dis



fig. 2.1. characteristic modes of motion of a flexible chain molecule

crete contribution to the relaxation spectrum  $H$ , from which all experimental visco-elastic functions can be derived, leading, according to Rouse, to:

$$H = mkT \sum_{p=1}^{N'(\leq N/5)} \tau_p \quad (1)$$

predicting a line spectrum, where  $\tau$  is a relaxation time;  $N$  is the number of junctions of each molecule of  $q$  monomers, and "m" is the number of polymer molecules per cc, or, with  $\rho$  = polymer density;  $M$  = molecular weight;  $N_0$  = Avogadro's number:  $m = \rho N_0/M$ . To keep the series in eq.(1) convergent  $p$  must be smaller than about  $p < N/5$ .

Then, using this bound, the term:  $\sin^2(p \pi/2(N+1))$  in the Rouse model can be approximated by:  $\sin^2(p \pi/2(N+1)) \approx (p \pi/2N)^2$  and  $\tau_p$  becomes:

$$\tau_p = a^2 q^2 N^2 \zeta_0 / (6\pi^2 p^2 kT) \quad (2)$$

where,  $\zeta_0$  is the friction coefficient per monomer. Thus per junction the friction is  $q\zeta_0$  (where the friction is assumed to be concentrated on the junctions by the Rouse model).

The magnitudes of "q", "a" and "N" need not to be known. However:  $Nq = Z$ , the degree of polymerization of the polymer, what is known and  $q (> \sim 50)$  determines the high-frequency limit of application of the random walk statistics of the model.

Because the influence of the short relaxation times is ignored in the model, the applicability and verification of the theory only is possible for behavior after longer times (or at lower frequencies).

## 2.2. Relaxation spectra

Linear visco-elastic behavior always can be described by mechanical models involving Hookean springs and Newtonian dashpots. For a single linear visco-elastic Maxwell element the shear rigidity is:

$$G(t) = G_i \exp(-t/\tau_i) \quad (3)$$

giving for  $n$  parallel elements:

$$G(t) = \sum_{i=1}^n G_i \exp(-t/\tau_i) \quad (4)$$

Increasing the number without limit, a continuous spectrum results with the infinitesimal contribution  $F d\tau$  or  $H d(\ln \tau)$  with  $H = F\tau$  on the  $\ln(\tau)$  scale, giving:

$$G(t) = G_e + \int_{-\infty}^{\infty} H \cdot \exp(-t/\tau) d(\ln(\tau)) \quad (5)$$

where  $G_e = 0$ , for this case of uncross-linked polymers.

For dynamic loading the complex stress-strain ratio:  $G^* = G' + iG''$  gives for:

$$G'(\omega) = \sum_{i=1}^n G_i \omega^2 \tau_i^2 / (1 + \omega^2 \tau_i^2) \quad (6)$$

and for  $G''$ :

$$G''(\omega) = \sum_{i=1}^n G_i \omega \tau_i / (1 + \omega^2 \tau_i^2) \quad (7)$$

The dissipative effects of alternating stress also can be described by the ratio of stress in phase with the strain rate  $d\gamma(t)/dt = i\omega\gamma_0 \cdot \exp(i\omega t)$ , divided by the strain:

$$\gamma(t) = \gamma_0 \cdot \exp(i\omega t) \text{ or: } \eta^* = G^*/i\omega = \eta' - i\eta'', \text{ where } \eta' = G''/\omega \text{ and } \eta'' = G'/\omega.$$

Because  $\tau_i$  in eq.(3), (4), (6) and (7) is:  $\tau_i = \eta_i / G_i$ ,  $\eta'$  may approach the steady-state flow viscosity  $\eta$  because according to eq.(7):  $\eta' = (G''/\omega)_{\omega \rightarrow 0} = \sum G_i \tau_i = \sum \eta_i = \eta$

$$\eta'(\omega) = \sum_{i=1}^n \eta_i / (1 + \omega^2 \tau_i^2) \quad (8)$$

This of course only applies for polymers showing a steady-state flow viscosity.

In the same way as done for  $G(t)$  is for an infinite Maxwell model:

$$G' = G_e + \int_{-\infty}^{\infty} (H\omega^2 \tau^2 / (1 + \omega^2 \tau^2)) d(\ln(\tau)) \quad (9)$$

$$G'' = \int_{-\infty}^{\infty} (H\omega \tau / (1 + \omega^2 \tau^2)) d(\ln(\tau)) \quad (10)$$

$$\eta' = \int_{-\infty}^{\infty} (H\tau / (1 + \omega^2 \tau^2)) d(\ln(\tau)) \quad (11)$$

The steady-flow viscosity for an uncross-linked polymer follows from this equation by:  $\omega = 0$  or:

$$\eta = \int_{-\infty}^{\infty} H\tau \cdot d(\ln(\tau)) \quad (12)$$

Substitution of eq.(1) in (12) and using eq.(2) gives:

$$\tau_p = 6(\eta - \eta_s) / (\pi^2 p^2 mkT) \quad (13)$$

where  $\eta$  is the total viscosity and  $\eta_s$  is the viscosity of the solvent and because  $m$  is known from the molecular weight, time dependent properties are known from easily measurable quantities.

### 2.3. Relaxation spectrum of Rouse

Inserting eq.(1) in eq.(5), (6) and (8) gives:

$$G(t) = mkT \sum_{p=1}^{N/5} \exp(-t / \tau_p) \quad (14)$$

$$G'(\omega) = mkT \cdot \sum_{p=1}^{N/5} \omega^2 \tau_p^2 / (1 + \omega^2 \tau_p^2) \quad (15)$$

$$\eta'(\omega) = mkT \cdot \sum_{p=1}^{N/5} \tau_p / (1 + \omega^2 \tau_p^2) \quad (16)$$

For low frequencies,  $(\omega\tau)^2 \ll 1$ , wherefore the theory applies, eq.(15) becomes:

$$G'(\omega)/\omega^2 = mkT \cdot \sum \tau_p^2 = mkTc_1 \quad (15')$$

and eq.(16):

$$G''(\omega)/\omega = \eta' = mkT \cdot \sum \tau_p = mkTc_2 \quad (16')$$

giving finite values of  $c_1$  and  $c_2$  by the convergent series, e.g. by using eq.(2), what is possible by the sufficient small upper value of  $p < N/5$ .

From eq.(15') and eq.(16') follows in this region:

$$\text{Log}(G') = C_1 + 2 \cdot \log \omega \quad (15'')$$

$$\text{and: } \text{Log}(G'') = C_2 + \log \omega \quad (16'')$$

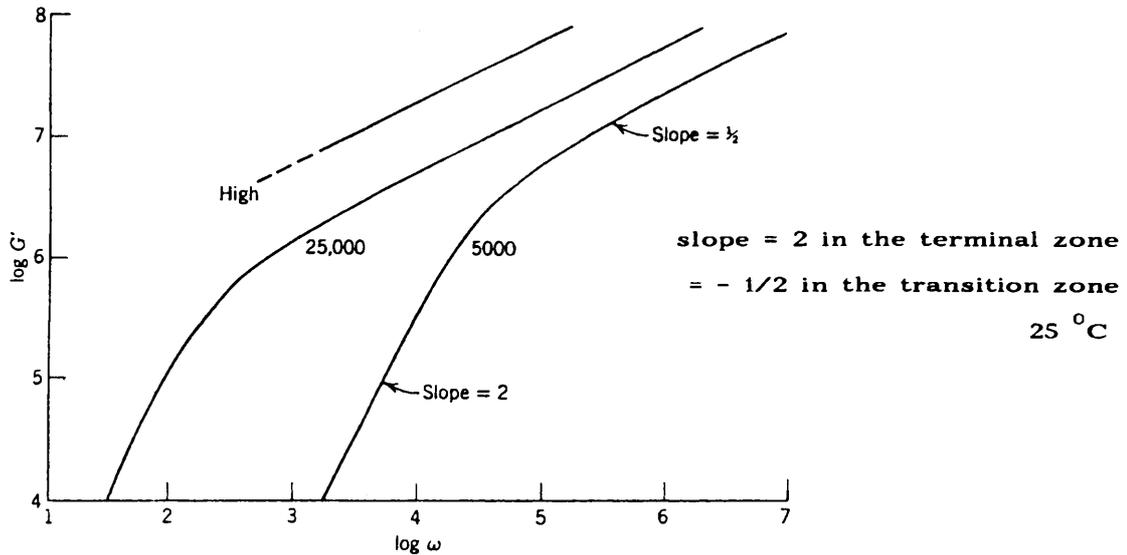


Fig. 2.3. Prediction of  $G'$  by the Rouse model for undiluted polyisobutylenes where for low molecular weights (5000 and 25000)  $\eta$  is proportional to  $M^2$  and for high molecular weights  $\zeta_0$  is independent of the molecular weight [8].

---- Entanglements determine the terminal zone for high molecular weights.

and the derivation shows that always, for all uncross-linked polymers, the slope of the logarithmic plot of  $G'$  is 2 and is 1 for the loss modulus  $G''$ .

As can be seen in fig. 2.6.1 and 2.6.2, this never applies. The reason is that converging of the series only may apply at a steep descent, thus in the terminal zone for uncross-linked polymers by the cut off to  $N/5$  used in  $\tau_p$  and by the limiting longest relaxation time due to the limiting value of  $\eta'$ .

The limiting forms at moderately short times or higher frequencies depend on the model.

According to eq.(2),  $\tau_p$  has, according to Rouse, the form of:  $\tau_p = c_3/p^2$ , giving for

eq.(15), with  $x = p/\sqrt{\omega c_3}$ :

$$G'(\omega) = mkT \int \left( \frac{1}{1+p^4/\omega^2 c_3^2} \right) dp = mkT \sqrt{\omega c_3} \int_b^{\infty} \left( \frac{1}{1+x^4} \right) dx = mkT c_4 \sqrt{\omega} \quad (15''')$$

leading to a slope of 1/2 at a double log-plot (see fig. 2.3).

In general, with the arbitrary power law  $\tau_p = c_3/p^\alpha$ , is found in the same way:

$$G' = mkT c_4 (\omega)^{1/\alpha}.$$

This gives with  $\alpha = 1.5$  the Zimm value of the slope, (see 2.4).

Except for the 3 longest relaxation times of the line spectrum eq.(1), being too far apart, the other contributions to the spectrum are closely enough spaced to approximate this as a continuous spectrum leading to:

$$H = (aqNm / 2\pi) \cdot (\zeta_0 kT)^{1/2} \cdot \tau^{-1/2} \quad (17)$$

or, in terms of steady-flow viscosity:

$$H = (\sqrt{6} / 2\pi) (mkT (\eta - \eta_s))^{1/2} \cdot \tau^{-1/2} \quad (18)$$

where N, as too high value, is inserted as upper value of p in stead of N/5. This result thus is not right and because of the limitation of N/5 and the applicability for  $\tau < \tau_{p=3}$ , (corresponding to  $H > 1.5mkT$ ), eq.(17) and (18) only may apply over about 3 decades of time scale (or 1.5 decades of H-values), as confirmed by the measurements, what is far too less for an explanation of the total behavior.

#### 2.4. Hydrodynamic interaction, or extension of Zimm

Zimm introduced hydrodynamic interaction between the moving sub-molecules based on the calculation of steady-flow viscosity of dilute solutions. This leads to a different expression for  $\tau_p$  than given by eq.(1), that however can be written analogous to eq.(13):

$$\tau_p = 6(\eta - \eta_s) / (\pi^2 \lambda_p^2 mkT) \quad (19)$$

where  $\lambda_p$  are numeric values whose first few values ( $p = 1, 2, 3, 4$ ) are:

1.2, 2.1, 2.9, 3.67, (in stead of the 1, 2, 3, 4 -values in the Rouse equation) leading to somewhat smaller values by eq.(19) than given by eq.(13) and leading to a continuous spectrum of:

$$H = (a^2 qNm / 5) \cdot (kT)^{1/3} \cdot \eta_s^{2/3} \cdot \tau^{-2/3} \quad (20)$$

showing a slope of  $-2/3$  on logarithmic scales.

Alternatively, eq.(19) can be given, comparable to eq.(13), like:

$$\tau_p = 0.7 \cdot 6 \cdot (\eta - \eta_s) / (\pi^2 p^\alpha mkT) \quad (19')$$

where  $\alpha \approx 1.6$  for the first 4 terms, descending to  $\alpha \approx 1.5$  for high values of p.

Although the Zimm theory should provide a better calculation (at high molecular weights) of the intrinsic viscosity (= the limiting value of the viscosity  $\eta$  when the polymer concentration approaches zero), visco-elastic data of e.g. dilute solutions of polystyrene etc., in the range and conditions where the theory applies (e.g. in the terminal zone), don't show a behavior according to the Zimm theory but do show a behavior close to the Rouse eq.(18) despite the neglect of hydrodynamic interaction and internal viscosity (= intramolecular steric effects) in this Rouse equation. The explanation of this apparent contradiction is given by the deformation kinetics approach that is able to describe the whole behavior precisely. As will be shown in 3.3, the determining deformation kinetics equation of the visco-elastic behavior at longer times can be expanded into a row that is identical to the row of the Rouse equation. Thus, the Rouse line spectrum represents one special non-linear process. There thus is no restriction of an application to only dilute solutions, as is the basis of the chain models. This single process explains why at zero relaxation, after a relaxation test, the spectrum is not present. Because the "spectrum" only exists as expanded

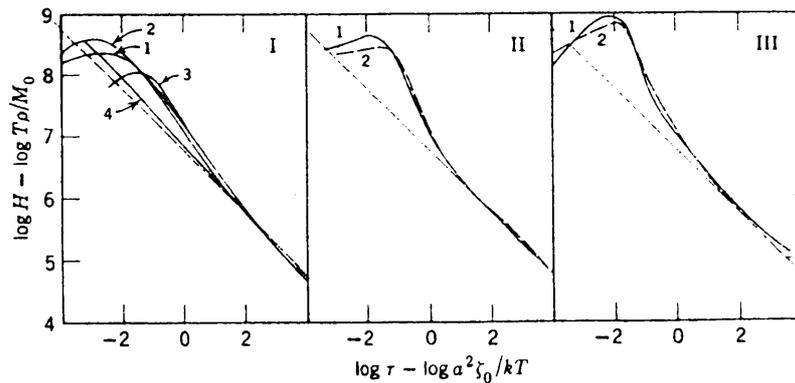


Fig. 2.4. Relaxation spectra of polymers reduced to one curve at slope  $-1/2$   
 I: 1, methacrylate; 2, polyisobutylene; 3, polyhexene; 4, polyurethane rubber  
 II: 1, polyvinyl acetate; 2, polymethyl acrylate.  
 III: Hevea rubber: 1, unvulcanized and 2, vulcanized.  
 From I to III there is an increase in rotational freedom of the backbone [8].

terms of one process, it does not exist when this single process is not acting (as in the zero relaxation test, see 3.4).

Thus diffusion of activated segments is the basic mechanism that explains the behavior and the chain model should be rejected because it strictly only should apply for separated chains in dilute solutions, according to the assumed basic mechanism, while it shows a better experimental agreement for undiluted polymers outside the basic assumptions of this chain model.

The explanation of the Rouse equation as an expansion of one non-linear deformation kinetics process also explains why this line spectrum (that seems physical improbable, but exists as terms of the row expansion of the exact equation) gives better results than a continuous spectrum (that does not exist). Further, it explains why the theory also can be applied to undiluted polymers, using only one friction coefficient for all types of coordinated motions. These motions, represented by the separate terms, are in fact the expanded terms of one process with one relaxation time, thus one friction coefficient.

In the same way, the slip of the entanglement couplings, showing a second bond breaking deformation kinetics process, can be represented by a group of modes (= expanded terms) with another friction coefficient as is applied in the chain models by using a second ladder network behind the first.

The experimental determination of  $H$  for undiluted polymers shows a slope between  $-1/2$  to over  $-2/3$ . However, the slope is mostly close to  $-1/2$  in the time scale where the theory should be applicable (the terminal zone at steady-flow-viscosity) and thus is not  $-2/3$  as predicted by Zimm. The steeper slope, occurring at shorter times, fig. 2.4, certainly can not be explained by the Zimm theory because  $\eta_s$ , the solvent viscosity in a dilute solution, now, by the absence of solvent, would presumably represent an effective local viscosity far smaller than the real macroscopic viscosity.

In fig 2.4., in the range where the slope of the curve of  $\log(G')$  against  $\log(\omega)$  is  $2/3$ , applies:  $\log(G') = \log(G_1') + 0.67\log(\omega/\omega_1)$ .

Because  $G'' \approx (\pi/2) \cdot dG'/d(\log(\omega))$ , and therefore:

$d(\log(G'))/d(\log(\omega)) \approx (2/\pi) \tan(\delta)$  is:  $(2/\pi) \tan(\delta) \approx 2/3$ , or  $\tan(\delta) \approx 1$  and  $G' \approx G''$ .

This last relation may apply near the midpoint of glass-transition and explains why the slope here is about 2/3 and not at the terminal zone as predicted by the Zimm-model. The Zimm model further only could be right for very dilute solutions approaching the limiting value of zero concentration. The different slopes in fig. 2.4 show in reality that still an other additional process is acting. This again shows that an explanation or a description cannot follow from chain models or arbitrarily power law models.

## 2.5. Ladder networks

In dilute solutions, polymer molecules can be represented by springs (with spring constant  $3kT/(qa^2)$ ) moving in a viscous medium (with friction coefficient  $\zeta_0$ ). This model is analogous to the ladder network in electrical network theory. When the lumped springs and dashpots are uniformly distributed along the length, the mechanical model becomes exactly analogous to an electrical model of an inductive transmission line, for which the

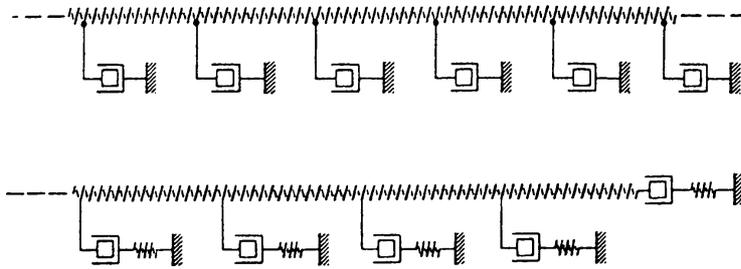


Fig. 2.5.1. Ladder networks of Blizard (above) and Marvin (below).

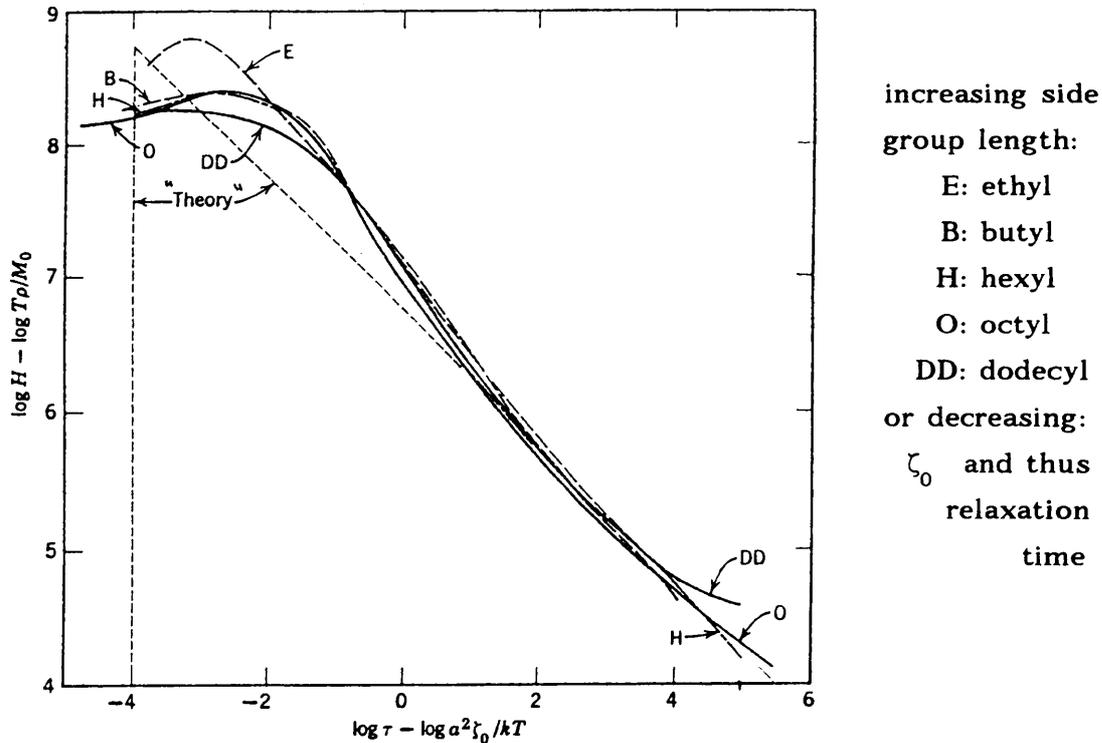


Fig. 2.5.2. Relaxation spectra reduced to the Rouse triangle (type III of fig. 2.6.1). The cut-off at the min. relaxation time is due to the Marvin model [8].

frequency dependence of the impedance (= complex relaxation modulus  $G^*$ ) is well known giving for an undiluted polymer with molecular weight  $M$ :

$$G^* = G' + iG'' = (C_1 / M) \left( (iC_2 M^2 \omega)^{1/2} \coth(iC_2 M^2 \omega)^{1/2} - 1 \right) \quad (21)$$

At high frequencies, eq.(21) gives the limiting value:

$$G' = G'' = C_1 (C_2 \omega / 2)^{1/2} \quad (22)$$

identical with the Rouse theory and at low frequencies the limiting values are:

$$G' = C_1 C_2^2 M^3 \omega^2 / 45 \quad (23)$$

$$G'' = C_1 C_2 M \omega / 3 \quad (24)$$

also according to the Rouse theory, when:  $C_1 = \rho RT / 2$  and  $C_2 = a^2 \zeta_0 / (6M_0^2 kT)$ , where  $\rho$  = polymer density,  $R$  = gas constant,  $M_0 = M/Z$  = monomeric molecular weight.

Using additional springs below the dashpots (see fig. 2.5.1), Marvin obtained a slightly different  $G^*$  leading to the same eq.(22) at high frequencies and slightly different values at low frequencies. However there is an abrupt change from eq.(22) to the properties of a single Maxwell element as limiting value of the glass value of  $G$ . The spectrum thus is a triangle (see fig. 2.5.2) giving only a qualitative description of the behavior neglecting also the flat plateau at small times. As to be expected for this liquid-like behavior, there hardly is an influence of side group packing that varies greatly going from ethyl to the dodecyl ester.

A thorough study in the past of ladder networks with both lumped and distributed parameters, has shown that a continuous dynamic modulus function as eq.(21) corresponds to a discontinuous relaxation spectrum with discrete lines, so that the series expressions eq.(6) to (8) or (14) to (16) are equivalent to eq.(21). This confirms again the explanation of the behavior according to the Rouse model being a row expansion of the deformation kinetics equation.

## 2.6. Modified spectra for cross-linked networks

It should be emphasized that the theory for isolated flexible molecules is not supposed to apply for visco-elastic behavior in the glassy, or in the plateau zones, nor for cross-linked networks and is not able to describe this behavior. This of course is evident because short chain units cannot be randomly coiled and have the Gaussian distribution of configurations and thus cannot behave as entropy springs. This also follows from fig. 2.6.1, where the storage modulus is given of all typical polymeric systems, above glass transition (except curve IV), with the uncross-linked polymers on the left and the cross-linked on the right. The glassy state, curve IV and the cross-linked polymers, curve V, VI and VII, and even the uncross-linked polymers curve I to III, don't show the steep slope 2 (of fig. 2.3) as predicted by the chain model, eq.(15'').

Thus, chain models don't apply for solids and thus certainly not for structural materials, also not above glass transition.

The storage modulus  $G'(\omega)$  is about the mirror image of the relaxation modulus  $G(t)$  with respect to the modulus axis  $G'(\omega) = G'(1/t) \approx G(t)$ . Thus the behavior after long times is the same as at low frequencies.

In the same way a first impression of the creep compliance  $J(t)$  follows from a mirror image reflected in the time axis:  $J(t) \approx 1/G(t)$ . For the same reason that  $G'(\omega)$  resembles  $G(t)$  plotted backwards,  $J'(\omega)$  resembles  $J(t)$  reflected in the compliance axis. For uncross-linked polymers, this applies for the recoverable part:  $J(1/t) \approx J(t) - t/\eta$ .

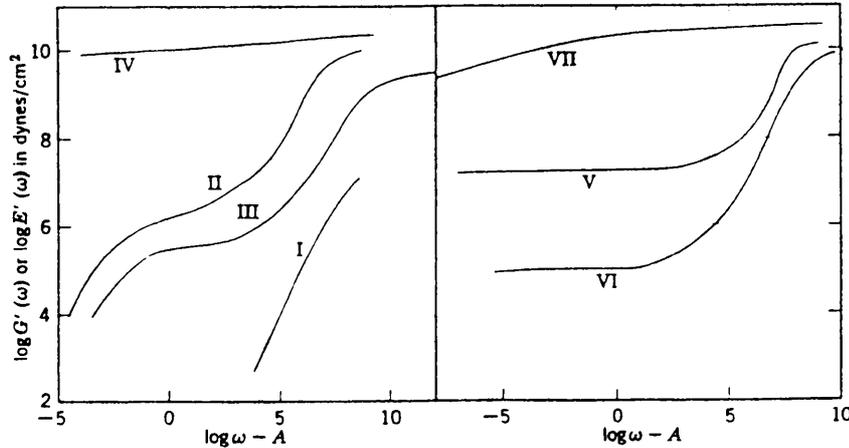


Fig. 2.6.1. Storage modulus  $G'$  of all typical polymeric systems [8]. The arbitrary horizontal shifts  $A$  of: I to VII are: -3; -1; 0; -7; 0; 0; 2. The deformation is shear except for curves V and VII, which are extension.

The types of polymers of fig. 2.6.1 to 2.6.3 are:

I: a low-molecular-weight polyisobutylene, the only one type that probably may show as limiting behavior a quasi-linear visco-elasticity.

II: and III: uncross-linked polymers of high molecular weight (long chains) that by its lengths will have a probability of local side bonding connecting the chains, what is called entanglement coupling in the classical model. Curves II and III show the glass transition in 2 stages by the 2 types of bonds. The first stage of descent of the curve, at high frequencies or short times, is regarded to show motion of chain segments between the entanglement coupling points. The nearly horizontal plateau zone, is regarded as an "equilibrium" modulus that is reached, showing the rubber like elasticity as also is seen for the lightly cross-linked networks V and VI at low frequencies or long times. The bond breaking of the bonds between the chains, is regarded in the classical model as slipping of the coupling points showing the second stage of a steep descent of the curve, representing the terminal zone. Type III (poly-n-octyl methacrylate) has large side groups, decreasing the modulus.

At long times or low frequencies and at equilibrium in the plateau zone  $G$  or  $G'$  should vanish according to the classical theory because of the resumption of random average configurations by the macromolecular coils in the deformed state. This is not the case and also  $G''$  in fig. 2.6.2, (similar to H of fig. 2.6.3), shows still finite values. Further, at high frequencies, the perfect elastic behavior is predicted by the classical theory and  $G''$  should become zero. It is seen in fig. 2.6.2 that this is not the case, but that on the contrary,  $G''$  increases enormously and becomes many orders higher at high frequencies showing the same values as for crystalline material VII or amorphous material in the glass state IV (showing thus the same time dependent processes).

IV: The glassy state of an amorphous polymer with high molecular weight, (the only one here below glass transition) that only shows local readjustments as is explained by de-

formation kinetics [2]. These processes explain the time dependent behavior as creep of structural materials (like wood, concrete, steel, etc.).

V: A lightly vulcanized rubber giving a lightly cross-linked network.

VI: A soft gel, that, as V, is lightly cross-linked but now by crystallites as links. At long times, or low frequencies, the lightly cross-linked networks V and VI, are regarded to approach an "equilibrium" rubber-like shear modulus. However fig. 2.6.2 shows that this is not true because  $G''$  and  $H$  of V and VI don't vanish. Thus the plateau zone represents a common process of the same type as found in the glassy and crystalline polymers IV and VII, what is explained by deformation kinetics [2].

VII: A highly crystalline polymer with a matrix of crystallites (that shows no change of crystallinity). As mentioned before, the rather flat spectra  $H$  of IV, VII and V and VI at long times (see fig. 2.6.3) can not be explained by linear spectra but are explained by one non-linear deformation kinetics process. It is easy to show by a test that the spectra do not really exist (see 3.4) but that the spectral lines are the expanded terms of one non-linear process (see 3.3).

The plots of  $H$  in fig. 2.6.3 show similar shapes to those of  $G''$  in fig. 2.6.2, reflected in the modulus axis. (In the same way the retardation spectrum  $L$  resembles the loss compliance  $J''$  reflected in the compliance axis).

Several modifications of the series of  $H$  (for cross-linked networks) by several characteristic modes of several types of linked strands (using bond rotations in stead of the simplified model of entropy springs) and networks (or series of networks) only give qualitative descriptions of the behavior at transition, e.g. with square root (Rouse; Bueche), linear, and square dependence of  $J''$  on  $t$ , all roughly in accordance with the range of the measurements of the

fig. 2.6.2. Loss modulus  $G''$  of the 7 polymers described above [8]

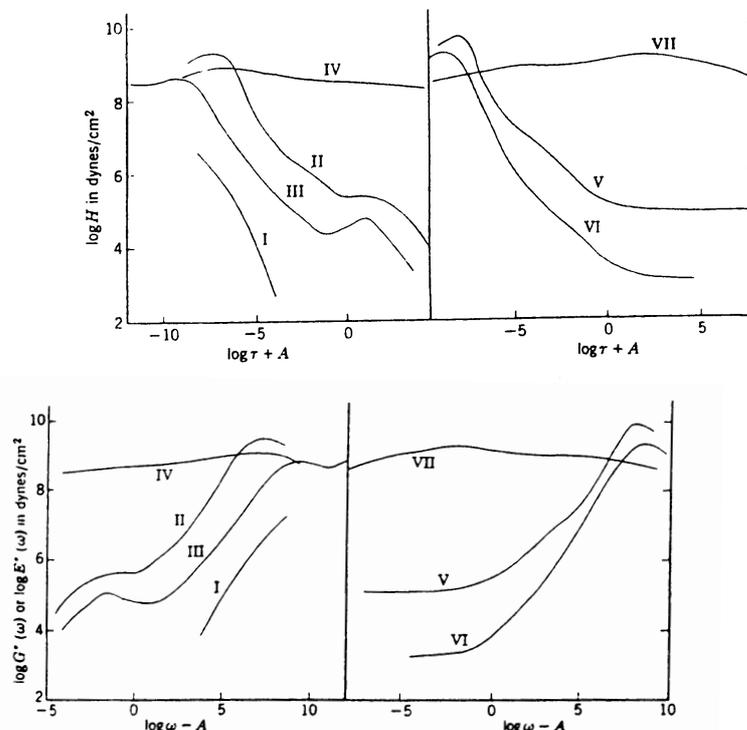


fig. 2.6.3. The relaxation spectrum "H" of the 7 polymers described above.

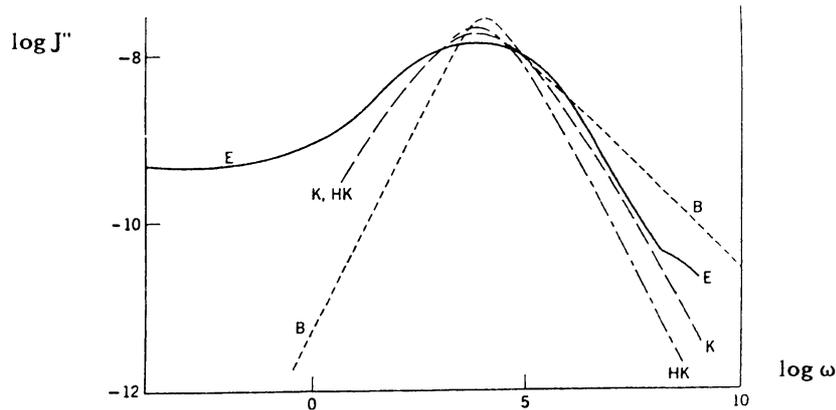


Fig. 2.6.4. Loss compliance (E) of polymer V with predictions of Bueche (B), Kirkwood (K) and Hammerle-Kirkwood (HK) models [8] Type II polymers

None of these models however is able to explain for instance the horizontal line E (fig. 2.6.4) at low frequencies. This “constant” value is common for structural materials and is explained in [2] by a property of the activation volume, that also causes the stress-time equivalence. Real fits of the whole behavior thus are not possible by the chain and power law models. This is evident because the very long relaxation times can not be explained by extrapolation of the model to motions of large groups of strands of large dimensions, while physics, shows, [2], that the behavior is explained by the very local movement of small flow units (segmental jumps, or dislocations movements in crystals, etc.).

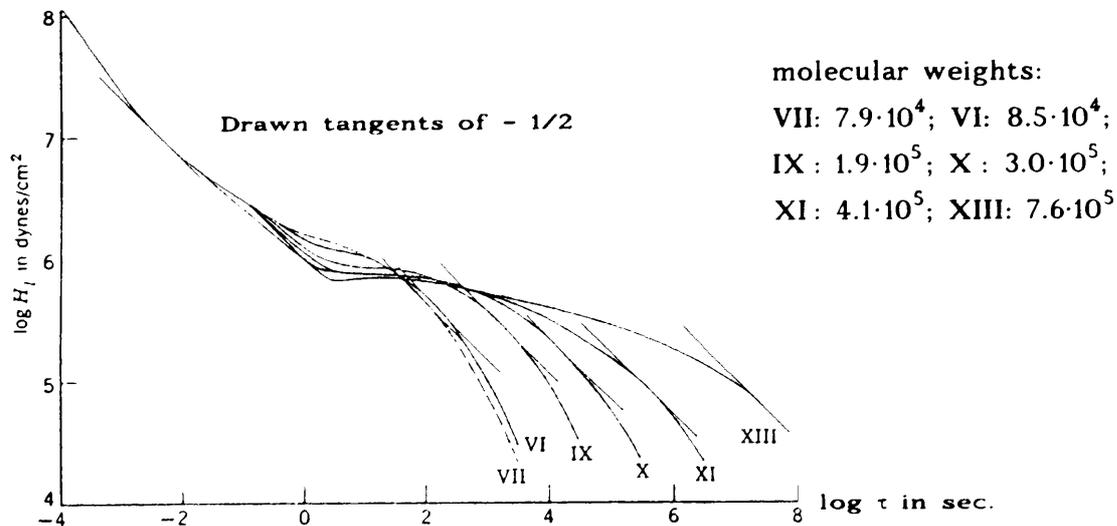


Fig. 2.6.5. Relaxation spectrum for polyvinyl acetates reduced to 75 0 C [8] The influence of “entanglement” coupling in uncross-linked polymers

### 3. Explanation of rubber behavior by deformation kinetics

#### 3.1. Introduction

The properties of liquid-like materials usually are determined at flow of the material. For cross-linked polymers that are not able to flow, creep- or relaxation tests can be done for a first parameter estimation. The deformation kinetics equations, explaining these processes, are given in [2]. By a simple test of zero relaxation after a relaxation test (see 3.4), it can be shown that only one non-linear process is acting in a wide time interval of many decades and thus a spectrum of relaxation times does not exist.

Thus none of the used other methods (as free chain models, power laws, general functions, etc.), that are based, or implicitly based, on the existence of linear spectra, is able to explain this behavior. Thus the apparent relaxation spectrum, (that is nothing more than the derivative of the measured  $G$  or  $G'$  curve), is explained by one non-linear process [2]. It will be shown in 3.3, that the Rouse spectrum is identical to a row-expansion of such a non-linear molecular kinetics process, that is not a structural change process and thus surely not a transformation.

### 3.2. Stress relaxation

According to [2], the constitutive equation for this case is identical to that of a system of parallel non-linear Maxwell elements. Mostly the relaxation times of the elements are far apart and all Maxwell elements act like springs, with spring constant  $K_2$ , and only one is noticeable as such during the test providing a non-linear three-element model with one Maxwell element and a parallel spring [2].

The total stress is  $\sigma = \sigma_v + \sigma_2$ , where  $\sigma_2 = K_2 \varepsilon$  is the stress on the free spring and  $\sigma_v$  is the stress on the Maxwell element:  $\sigma_v = K_1(\varepsilon - \varepsilon_v)$ , thus  $\sigma_2 = K_2 \varepsilon = \sigma - \sigma_v$ . Above glass transition, all Maxwell elements may flow and the stress after relaxation after long time approaches its minimum value that can be zero. The strain rate  $\dot{\varepsilon}$  of the viscous strain  $\varepsilon_v$  of one process (= one Maxwell element) follows:

$\dot{\varepsilon} = \dot{\varepsilon}_1 + \dot{\varepsilon}_v = 0$ , because for relaxation  $\dot{\varepsilon} = 0$  or:

$$\dot{\varepsilon} = \frac{\dot{\sigma}_v}{K_1} + D \cdot \sinh(\phi \sigma_v) = 0 \quad (25)$$

or:

$$\dot{\varepsilon}_v = D \cdot \sinh(\phi K_1 (\varepsilon - \varepsilon_v)) \quad (26)$$

$$\text{or: } d\varepsilon_v \cdot \left( e^{-\phi K_1 (\varepsilon - \varepsilon_v)} + e^{-3\phi K_1 (\varepsilon - \varepsilon_v)} + e^{-5\phi K_1 (\varepsilon - \varepsilon_v)} + \dots \right) = \frac{D}{2} dt \quad (27)$$

giving as solution:

$$\frac{e^{-\phi \sigma_v}}{\phi K_1} + \frac{e^{-3\phi \sigma_v}}{3\phi K_1} + \frac{e^{-5\phi \sigma_v}}{5\phi K_1} + \dots - \frac{e^{-\phi \sigma_{v0}}}{\phi K_1} - \frac{e^{-3\phi \sigma_{v0}}}{3\phi K_1} - \frac{e^{-5\phi \sigma_{v0}}}{5\phi K_1} - \dots = \frac{Dt}{2} \quad (28)$$

As will be shown below, eq.(28) may be interpreted as terms of a spectrum that is a similar line spectrum as the Rouse theory, explaining the better results in rubber theory by using this line spectrum than by using a continuous spectrum. (Although a line spectrum is physically impossible, it does exist as expanded terms of the physical right equation).

Eq.(28) can be written:

$$\frac{1}{\phi K_1} \cdot \text{arc coth}(e^{\phi \sigma_v}) - \frac{1}{\phi K_1} \cdot \text{arc coth}(e^{\phi \sigma_{v0}}) = \frac{Dt}{2} \quad (29)$$

$$\text{or: } -\sigma_v \phi = \ln \left( \tanh \left( \frac{D}{2} t \phi K_1 + \text{arctanh} \left( e^{-\phi \sigma_{v0}} \right) \right) \right)$$

$$\text{or: } -\sigma_v \phi \approx \ln \left( \tanh \left( \frac{D}{2} t \phi K_1 + e^{-\phi \sigma_{v0}} \right) \right) \quad (30)$$

or at the start of the process when  $\tanh(x) \approx x$ :

$$-\sigma_v \phi \approx \ln \left( \frac{D}{2} t \phi K_1 + e^{-\phi \sigma_{v0}} \right) \approx \ln \left( 1 + \frac{D}{2} t \phi K_1 e^{\phi \sigma_{v0}} \right) - \phi \sigma_{v0} \quad (31)$$

$$\text{or: } \sigma_v \phi \approx \sigma_{v0} \phi - \ln \left( 1 + \frac{t}{t'} \right)$$

At longer times eq.(31) becomes:

$$\sigma_v \phi \approx \sigma_{v0} \phi - \ln(t / t') \quad (\text{or: } \sigma \phi \approx \sigma_0 \phi - \ln(t / t')) \quad (32)$$

where  $t'$  is the delay time ( $t' \approx 2 / (D e^{\phi \sigma_{v0}} \phi K_1)$ ) showing the linear behavior on log-time scale. Further an extension of this straight line to  $\sigma_v = 0$ , shows that the intersect with this time axis gives  $t'' = 2 / (D \phi K_1)$ , being the relaxation time based on the straight part of the line. Thus:  $\ln(t'' / t') = \phi \sigma_{v0}$ .

For very long times eq.(30) becomes:

$$-\sigma_v \phi \approx \ln \left( \tanh \left( \frac{D}{2} \phi K_1 t \right) \right)$$

giving the curve of  $\sigma_v$  approaching  $\sigma_v = 0$  (or:  $\varepsilon_v \rightarrow \varepsilon_{v\infty} = \varepsilon$ )

### 3.3. Explanation of the Rouse spectrum

It is easy to show by the simple test of zero relaxation (see [2] or 3.4) that a spectrum as physical reality does not exist. However, the really acting single non-linear process can be expanded mathematically into a row, showing in some tests the same behavior as if there is a line spectrum (i.e. the expanded terms).

As given before, the integration of eq.(26) gives:

$$\frac{e^{-\phi \sigma_v}}{\phi K_1} + \frac{e^{-3\phi \sigma_v}}{3\phi K_1} + \frac{e^{-5\phi \sigma_v}}{5\phi K_1} + \dots - \frac{e^{-\phi \sigma_{v0}}}{\phi K_1} - \frac{e^{-3\phi \sigma_{v0}}}{3\phi K_1} - \frac{e^{-5\phi \sigma_{v0}}}{5\phi K_1} - \dots = \frac{D}{2} (t - t_0)$$

This equation shows that the time  $t_e$  to reach the end state of total relaxation, or  $\sigma_v = 0$ , is:

$$t_e = \frac{2}{nD} \left( 1 + \frac{1}{3} + \frac{1}{5} + \frac{1}{7} + \dots \right) \rightarrow \infty$$

where  $n = \phi K_1$ . Thus, the time to reach equilibrium in the end state is infinite. The Rouse equation for the relaxation time also shows an infinite sum of the row of relaxation times and cut offs of this row are used to have a converging row.

Because the first term of the Rouse row provides the main contribution to the spectrum and the viscosity, eq.(28) should be comparable with the Rouse equation at  $t = t_r$ , the relaxation time of Rouse. With  $t_0 \approx 0$  and thus omitting the small terms (with  $\phi \sigma_{v0}$ ) this eq.(28) becomes:

$$\left( \frac{e^{-\phi \sigma_v}}{\phi K_1} + \frac{e^{-3\phi \sigma_v}}{3\phi K_1} + \frac{e^{-5\phi \sigma_v}}{5\phi K_1} + \dots \right) \cdot \frac{2}{D} = t_r = \sum_{p=1}^{N/5} \tau_p \quad \text{with } \tau_p = \frac{c_3}{p^2} \quad (\text{see at eq.(15'''))$$

Thus:

$$\frac{e^{-\phi\sigma_v}}{\phi K_1} \cdot \left( 1 + \frac{e^{-2\phi\sigma_v}}{3} + \frac{e^{-4\phi\sigma_v}}{5} + \dots \right) = \frac{c_3 D}{2} \left( 1 + \frac{1}{2^2} + \frac{1}{3^2} + \dots \right) \quad (38)$$

giving:  $c_3 = 2 / (D\phi K_1 e^{\phi\sigma_v}) = t'' / e^{0.14} = t'' / 1.15$  or the longest relaxation time of Rouse is proportional and of the same order of the relaxation time  $t''$  of the falling curve as can be expected. Further is:

$$1 + \frac{e^{-2\phi\sigma_v}}{3} + \frac{e^{-4\phi\sigma_v}}{5} + \dots = 1 + \frac{1}{2^2} + \frac{1}{3^2} + \dots$$

or in general:  $\phi\sigma_v = (\ln\{p^2 / (2p - 1)\}) / (2p - 2)$ , for every:  $p = 2, 3, 4, 5, \dots$ , giving:

p	2	3	4	5
$\phi\sigma_v$	~ 0.14	~ 0.14	~ 0.14	~ 0.13

or  $\phi\sigma_v \approx 0.14$  because the first few terms determine nearly the total behavior.

The value of  $\phi\sigma_v$  quickly decreases at a small change of the power of the row. For instance for a 5% difference, when the power is 1.9 instead of 2,  $\phi\sigma_v \approx 0.11$ ,

p	2	3	4	5
$\phi\sigma_v$	~ 0.11	~ 0.12	~ 0.11	~ 0.11

according to:  $\phi\sigma_v = (\ln\{p^{1.9} / (2p - 1)\}) / (2p - 2)$ , for every:  $p = 2, 3, 4, 5, \dots$

For a power of 1.7,  $\phi\sigma_v$  is about 0.05 and at the power of 1.6, close to the Zimm value, the weighted mean value of  $\phi\sigma_v$  is very small close to equilibrium and in fig. 2.6.5 it is seen that there is a steeper slope, approaching the Zimm value at the longest times, near equilibrium, by the lower  $\phi\sigma_v$ . Thus the chosen cutoff of the spectrum explains the success of the use of power laws in the terminal zone (fig. 2.6.4). For linear visco-elasticity, a similar expansion is not possible and the Rouse spectrum thus cannot represent a linear process.

### 3.4. The non-existence of spectra of relaxation times

The response of a stress relaxation test cannot be described by a linear visco-elastic process or by a spectrum of (infinite) linear relaxation processes. It is necessary to use the exact description. As solution of the exact equation, an expansion of the potential energy barrier can be used, leading to a line spectrum of elementary non-linear reactions. However, the spectral values of the "lines" are so far apart from each other, that they act as separate processes and not as a spectrum. In any experiment therefore, only one or two elementary processes are detectable. According to the constitutive equations, these two processes can be represented by a system of parallel (non-linear) Maxwell elements. Because the relaxation times are far apart, only one or two Maxwell elements are noticeable in a test, while others (if present) flow too fast to be loaded or flow too slow to be noticeable and thus act as springs, within the time range of the test.

The whole relaxation behavior, including the delay time (of no decrease of stress) at the start, the linear stress decrease on the log-time scale and the bend off to equilibrium at the end of a process can be represented by one non-linear Maxwell element and a parallel spring (fig. 3.4) with a non-linear dashpot according to deformation kinetics, representing one relaxation time. If the straight relaxation line (on log- time scale) is kinked, there is a second process acting with a long delay time [2].

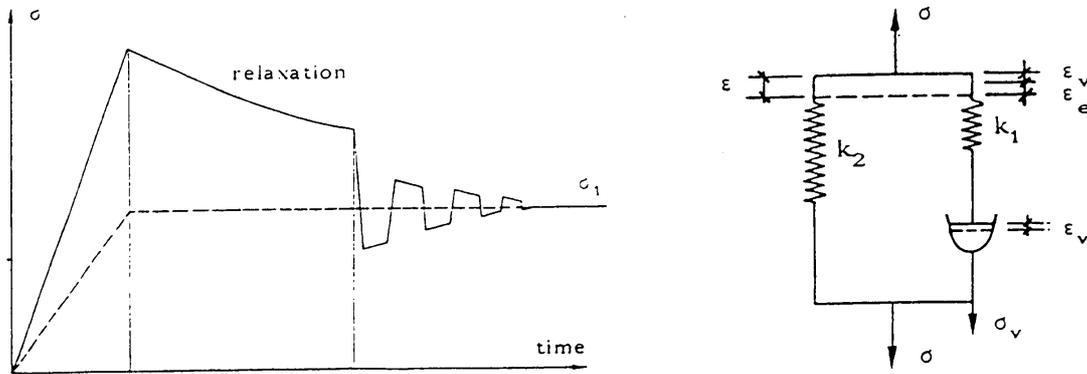


Fig. 3.4. Zero relaxation by zero internal stress [2]

To show that there is only one relaxation time acting in a long time range and not a spectrum, the following test was done in [2]. In a relaxation test on wood, after a few hours of relaxation at the working strain level, (enough for a parameter estimation), the internal stress was made zero by reducing the load on the specimen to a level of about 0.6 times the initial strain (depending on the time of testing and to be found by a searching procedure see fig. 3.4 and [2]). At this stress level, the stress on the dashpot (and spring of the Maxwell element) is zero in fig. 3.4 and the total stress (in the free spring) remains perfectly constant showing no further relaxation or change during the next 24 hours (the time of this test in [2]). This behavior is not possible if there exists a continuous spectrum. The stress always should change then. But also a discrete spectrum cannot explain this behavior. In order to explain e.g. the measured constant value of the loss tangent or relaxation spectrum of wood and of other glasses, by a discrete linear viscoelastic spectrum, the adjacent relaxation times  $\tau$  can not differ more than by one order:  $\tau_{i+1} \leq 10\tau_i$  (see [2], pg. 97). The zero relaxation test however shows that loading one order of time longer than the first relaxation period (of the first element) does not show any change of stress, while it should have been of the same relative order. This perfect constancy of the stress shows the next relaxation time to be many orders higher than the first one (in stead of one order higher) and there thus is no contribution of a spectrum or a line spectrum.

### 3.5. Viscosity equations

When a material may show viscous flow, the direct measurement of the viscosity is appropriate for parameter estimation. At flow, all sites are used and there is no change of the concentration and the stress is constant and the rate of change of the elastic strain is zero. Thus in eq.(25) is  $\dot{\sigma}_v = 0$  and  $\dot{\epsilon} = \dot{\epsilon}_v$ .

For no structural change, eq.(26') applies or:

$$\dot{\varepsilon} = \frac{\dot{\sigma}_v}{K_1} + A \cdot \sinh(\phi \sigma_v) = A_1 \cdot \sinh(\phi_1 \sigma_1) \quad (39)$$

If there are more processes acting (visualized as a system of parallel non-linear flowing Maxwell elements) the total stress  $\sigma$  is:  $\sigma = \sigma_1 + \sigma_2 + \sigma_3 + \dots$ , or:

$$\sigma = \frac{1}{\phi_1} \operatorname{arcsinh}\left(\frac{\dot{\varepsilon}}{A_1}\right) + \frac{1}{\phi_2} \operatorname{arcsinh}\left(\frac{\dot{\varepsilon}}{A_2}\right) + \frac{1}{\phi_3} \operatorname{arcsinh}\left(\frac{\dot{\varepsilon}}{A_3}\right) + \dots$$

The viscosity then is:  $\eta = \sigma / \dot{\varepsilon}$  or:

$$\eta = \frac{\operatorname{arcsinh}(\dot{\varepsilon}/A_1)}{\dot{\varepsilon}\phi_1} + \frac{\operatorname{arcsinh}(\dot{\varepsilon}/A_2)}{\dot{\varepsilon}\phi_2} + \frac{\operatorname{arcsinh}(\dot{\varepsilon}/A_3)}{\dot{\varepsilon}\phi_3} \quad (40)$$

Because relaxation times of the processes are far apart from each other, only a few terms, are noticeable together in the viscosity equation. All very small values of the variable "x" in  $\operatorname{arcsinh}(x)$  act as one Newtonian process and all very large values are not noticeable. For very large values of x is:  $(\operatorname{arcsinh}(x))/x \approx 0$  and these processes thus are not acting. Because of this eq.(40) applies for no more than 3 processes. For very small values of x is:  $(\operatorname{arcsinh}(x))/x = 1$  and processes with this property act as Newtonian or are quasi Newtonian in the range of measuring.

Eq.(40) then becomes:

$$\eta = \frac{1}{\phi_1 A_1} + \frac{\operatorname{arcsinh}(\dot{\varepsilon}/A_2)}{\dot{\varepsilon}\phi_2} + \frac{\operatorname{arcsinh}(\dot{\varepsilon}/A_3)}{\dot{\varepsilon}\phi_3} \quad (40')$$

It further is possible that the second term may be non-linear, as given in eq.(40'), for high strain rates  $\dot{\varepsilon}$ , while it may become quasi Newtonian at low rates, being  $1/(\phi_2 A_2)$ . For larger values of x,  $\operatorname{arcsinh}(x) \approx \ln(2x)$  and eq.(40') thus becomes:

$$\sigma \approx \frac{1}{\phi_1 A_1} \dot{\varepsilon} + \frac{\operatorname{arcsinh}(\dot{\varepsilon}/A_2)}{\phi_2} + \frac{1}{\phi_3} \ln\left(\frac{2\dot{\varepsilon}}{A_3}\right) \quad (40'')$$

As will be shown below, eq.(40') and (40'') hold for solutions and for finely milled rubbers, while eq.(40) holds for solid plastic systems. Linear visco-elastic behavior thus does not exist for structural materials, even not above glass transition.

### 3.6. Solutions of high polymers

The equations above should contain, for a solution, one extra term of the flow units of the solvent molecules. The solvent molecules generally flow more easily than the high polymer solute molecules. Thus, dilute solutions only will show one process of shearing of the solvent molecules. If this solvent is Newtonian, then real linear behavior can be expected to be possible and measurable.

Eq.(40') becomes for solutions:

$$\eta = \frac{1}{\phi_0 A_0} + \frac{1}{\phi_1 A_1} + \frac{\operatorname{arcsinh}(\dot{\varepsilon}/A_2)}{\dot{\varepsilon}\phi_2} + \frac{\operatorname{arcsinh}(\dot{\varepsilon}/A_3)}{\dot{\varepsilon}\phi_3} \quad (40''')$$

where  $\eta_0 = 1/\phi_0 A_0$  is the viscosity of the solvent.

The following quantities are often used for solvents:

The relative viscosity  $\eta_{rel}$  is by definition:  $\eta_{rel} = \eta / \eta_0$

The specific viscosity is given by:  $\eta_{sp} = \eta_{rel} - 1$

The intrinsic viscosity is defined as:  $\eta_{\text{int}} = \eta_{\text{sp}} / c$ , where  $c$  is the concentration.

The limiting intrinsic viscosity  $[\eta]$ , (for low concentration approaching zero), is:

$$[\eta] = \lim(\eta_{\text{int}})_{c \rightarrow 0}$$

The inherent viscosity,  $\eta_{\text{inh}}$ , is defined as  $(\ln(\eta_{\text{rel}}))/c$ .

For small terms and very small concentrations, this is equal to  $[\eta]$  according to:

$$\begin{aligned} \frac{1}{c} \ln\left(\frac{\eta}{\eta_0}\right) &= \frac{1}{c} \ln\left(1 + \frac{1}{\phi_1 A_1 \eta_0} + \frac{\text{arcsinh}(\dot{\epsilon}/A_2)}{\dot{\epsilon} \phi_2 \eta_0} + \frac{\text{arcsinh}(\dot{\epsilon}/A_3)}{\dot{\epsilon} \phi_3 \eta_0}\right) \approx \\ &\approx \frac{1}{c} \left(\frac{1}{\phi_1 A_1 \eta_0} + \frac{\text{arcsinh}(\dot{\epsilon}/A_2)}{\dot{\epsilon} \phi_2 \eta_0} + \frac{\text{arcsinh}(\dot{\epsilon}/A_3)}{\dot{\epsilon} \phi_3 \eta_0}\right) \approx (\eta_{\text{int}})_{c \rightarrow 0} \approx [\eta] \end{aligned} \quad (41)$$

what holds for low concentrations and small relative viscosities of the groups or:

$$\sum \frac{\text{arcsinh}(\dot{\epsilon}/A_i)}{\dot{\epsilon} \phi_i \eta_0} \ll 1 \quad (42)$$

These equations can be used as first approximations for parameter estimation. At the end the exact equations for  $\eta_{\text{inh}}$  and  $\eta_{\text{sp}}$  should be used:

$$\frac{\ln(\eta_{\text{rel}})}{c} = \frac{1}{c} \ln\left(1 + \frac{1}{\phi_1 A_1 \eta_0} + \frac{\text{arcsinh}(\dot{\epsilon}/A_2)}{\dot{\epsilon} \phi_2 \eta_0} + \frac{\text{arcsinh}(\dot{\epsilon}/A_3)}{\dot{\epsilon} \phi_3 \eta_0}\right) \quad (43)$$

$$\text{and: } \ln(\eta_{\text{sp}}) = \ln\left(\frac{1}{\phi_1 A_1 \eta_0} + \frac{\text{arcsinh}(\dot{\epsilon}/A_2)}{\dot{\epsilon} \phi_2 \eta_0} + \frac{\text{arcsinh}(\dot{\epsilon}/A_3)}{\dot{\epsilon} \phi_3 \eta_0}\right) \quad (44)$$

For small rates, if applicable, eq.(43) becomes:

$$\frac{\ln(\eta_{\text{rel},0})}{c} = \frac{1}{c} \ln\left(1 + \frac{1}{\phi_1 A_1 \eta_0} + \frac{1}{\phi_2 A_2 \eta_0} + \frac{1}{\phi_3 A_3 \eta_0}\right) \quad (43')$$

and at high rates:

$$\frac{\ln(\eta_{\text{rel},\infty})}{c} = \frac{1}{c} \ln\left(1 + \frac{1}{\phi_1 A_1 \eta_0}\right) \quad (43'')$$

In materials science and wood science, there still is a belief (against facts) in the existence of linear visco-elastic relaxation spectra (thus spectra being independent of the stress, ignoring e.g. the time-stress equivalence).

To discuss the consequences of such an assumption it first is necessary to study the cases where there is a possibility of existence of any Newtonian behavior as for liquids, soft solids and crystals or metals near melting. Further solutions can be regarded for stages between the liquid- and the solid state. Of course, the solvents of these solutions should behave as Newtonian (thus should consist of short bulky rotational molecules) in order to have any possibility of linear behavior.

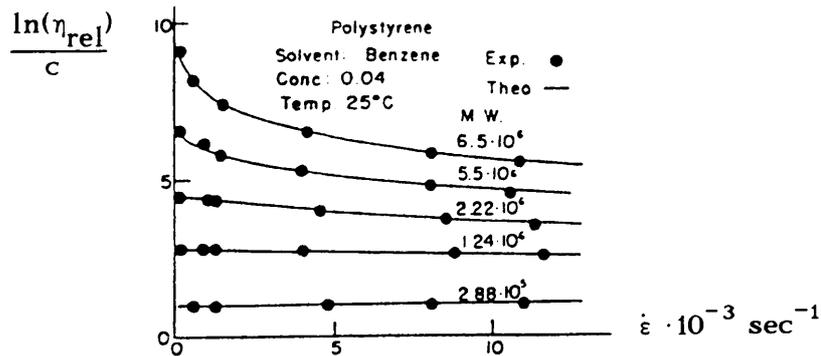


Fig. 3.6.1. The effect of molecular weight of polystyrene solved in benzene,  $c = 0.04$  g/100 cc; temperature  $25\text{ }^{\circ}\text{C}$ ;  $\eta_0 = 6.01 \cdot 10^{-3}$  poise [ 17]. The theoretical curves are according to eq.(43).

In fig. 3.6.1, measured viscosities by a capillary viscometer are given of a dilute solution. It is seen by the straight line parallel to the  $\dot{\epsilon}$ - axis, that only the shortest chain, with molecular weight of  $0.288 \cdot 10^6$ , behaves as (quasi) Newtonian (with  $\eta_{\text{rel}}/c = 1.08 \text{ cm}^3/\text{g}$ ), within the strain rate interval of the measurement. At the molecular weights of  $1.24 \cdot 10^6$  to  $2.22 \cdot 10^6$ , two types of flow units are present, one linear and one non-linear as follows from the negative slope, which increases with increasing molecular weight. Three types of flow units are acting at the fractions with molecular weights of  $5.5 \cdot 10^6$  to  $6.5 \cdot 10^6$  as follow from the sharp increase of the curvature at low values of  $\dot{\epsilon}$ , that increases at increasing molecular weight.

For the fit of the curve in fig. 3.6.1, of the solution of polystyrene with molecular weight  $6.5 \cdot 10^6$  the parameters are:  $1/\phi_1 A_1 \eta_0 = 0.168$ ;  $1/A_2 \eta_0 = 0.0795$ ;  $1/A_3 \eta_0 = 1.57$ ;  $1/\phi_2 = 2,01 \text{ N/m}^2$ ;  $1/\phi_3 = 0.00129 \text{ N/m}^2$ .

Comparable results are found for other solutions. For a polystyrene solution in cyclohexane, for instance, two types of flow units are present at a molecular weight: m.w. =  $2.4 \cdot 10^6$  and three types at m.w. =  $5.2 \cdot 10^6$  at  $65\text{ }^{\circ}\text{C}$ , while this occurs at m.w. =  $5.2 \cdot 10^6$ , respectively m.w. =  $9.2 \cdot 10^6$  at  $35\text{ }^{\circ}\text{C}$ . At  $35\text{ }^{\circ}\text{C}$  there only are 2 types of flow units acting (below the highest tested m.w. =  $9.2 \cdot 10^6$ , while at  $65\text{ }^{\circ}\text{C}$  the third kind of flow unit acts above m.w. =  $5.2 \cdot 10^6$ .

As can be seen in fig. 3.6.1, Newtonian behavior only is possible, in the given strain rate range, in dilute solutions of low molecular weight solutes in Newtonian solvents. At increasing molecular weight the probability of formation of stronger types of bonds increases. This also is the case for higher concentrations and when molecules unfold in poor solutions at higher temperatures. These stronger bond types are not acting through the Newtonian solvent and thus are non-Newtonian.

In fig. 3.6.2., the influence of the concentration on  $\eta_{\text{inh}}$  is given for the highest m.w. and highest temperature tested, thus showing the influence on all three kinds of flow units. The equation of  $\eta_{\text{inh}}$  is given by eq.(43) and the parameter

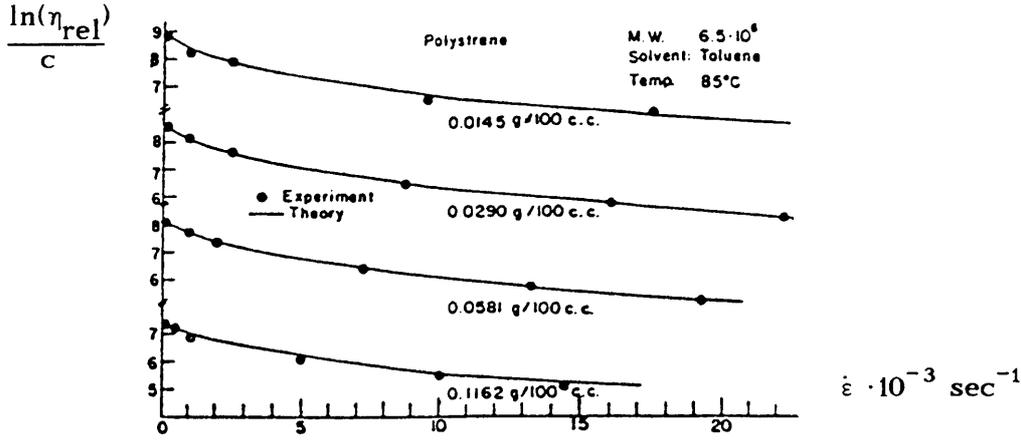


Fig. 3.6.2. Effects of concentration on  $\eta_{inh}$  of polystyrene solved in benzene, molecular weight:  $6.5 \cdot 10^6$ ; temperature  $85^\circ \text{C}$ ;  $\eta_0 = 2.99 \cdot 10^{-3}$  poise [17] The theoretical curves are according to eq.(43).

estimation of the fit shows that the terms  $1/A_i \eta_0$  are independent of the concentration:

$$\begin{aligned} \frac{1}{A_i \eta_0} &= \frac{A_0 \phi_0}{A_i} = \frac{(kT/h) N_0 \exp(-E'_0/kT) \cdot (\lambda_0 / N_0 kT)}{(kT/h) N_i \exp(-E'_i/kT)} = \\ &= \frac{\lambda'_0}{N_0 k} \exp\left(\frac{-(E'_0 - E'_i)}{kT}\right) \end{aligned} \quad (45)$$

and it appears that  $N_i = N_0$  and  $\lambda_0 = \lambda'_0 T$  and  $H'_0 = H'_1$ . Thus, flow of the solvent is determining and the internal stress on the dilute is such that this flow-rate is followed with the same apparent parameters. In eq.(45) is  $N_0$  independent of the concentration because the concentration of the solvent is  $\sim 1$  and the number of activated sites  $N_0$  is not influenced by the small dilute concentration.

The curve fitting further shows that  $1/(c \phi_1 A_1 \eta_0)$  is independent of the concentration  $c = c'N$ . Because  $A_1 \eta_0$  is independent of the concentration according to eq.(45),  $c \phi$  also has to be independent of the concentration and of  $N$ . Thus:

$$c \phi_1 = c' N \lambda_1 / (NkT) = c' \lambda_1 / kT = c' \lambda'_1 / k \quad (46)$$

This needs not be the case in a poor solvent for the second and third process ( $i = 2,3$ ) where  $N_i$  is a function  $N_i(c)$  of the concentration  $c$  that is more than linearly increasing with  $c$ :

$$c \phi_i = c \lambda_i / kTN_i = c \lambda_i / (kTN_i(c)) \quad (47)$$

showing that another process is acting causing the increase of flow units. This only is possible if a no bonded (folded) flow unit unfolds, and makes bonds with its neighbours. Because  $1/\phi$  is larger in a good solvent than in a poor one, the polymer molecule extends more in a good solvent. Consequently, the polymer in a good solvent also has more probability of making strong bond types with the neighbours (as confirmed by the test data, see fig. 3.6.3).

As last property, it can be seen that the slope of the curves in fig. 3.6.2 is constant irrespective of the concentrations. It follows from eq.(41) of these curves, that the inherent viscosity,  $\eta_{inh} = (\ln(\eta_{rel}))/c \approx (\eta_{rel} - 1)/c$  for dilute solutions, is:

$$\eta_{inh} \approx \frac{1}{c\phi_1 A_1 \eta_0} + \sum \frac{\operatorname{arcsinh}(\dot{\epsilon} / A_i)}{c \dot{\epsilon} \phi_i \eta_0}$$

Because  $c\phi_1 A_1 \eta_0$  is constant, the difference of  $\eta_{inh}$  for 2 concentrations  $c_1$  and  $c_2$  is:

$$\begin{aligned} \eta_{inh,1} - \eta_{inh,2} &= \sum \frac{\operatorname{arcsinh}(\dot{\epsilon} / A_{i,1})}{c_1 \dot{\epsilon} \phi_{i,1} \eta_0} - \sum \frac{\operatorname{arcsinh}(\dot{\epsilon} / A_{i,2})}{c_2 \dot{\epsilon} \phi_{i,2} \eta_0} = \\ &= \frac{1}{n} \left( \sum \operatorname{arcsinh}(\dot{\epsilon} / A_{i,1}) - \sum \operatorname{arcsinh}(\dot{\epsilon} / A_{i,2}) \right) \approx \\ &\approx \frac{1}{n} \left( \sum \ln(2\dot{\epsilon} / A_{i,1}) - \sum \ln(2\dot{\epsilon} / A_{i,2}) \right) = \\ &\frac{1}{n} \sum \ln(A_{i,1} / A_{i,2}), \end{aligned}$$

independent of  $\dot{\epsilon}$ , as necessary for a vertical shift of the curve, without a change of the form of the curve, at a change of concentration. Thus:

$A_{i,2} / A_{i,1} = \left( \exp(S'_{i,1} - S'_{i,2}) / k \right) (N_{i,1} / N_{i,2})$ , proportional to:  $N_{i,1} / N_{i,2}$  and  $N_i$  is known from the shifts of the curves depending on the concentration  $c$ .

Further is  $n$  constant or:

$$n = c \dot{\epsilon} \phi_i \eta_0 = c' N_i \dot{\epsilon} \phi_i / A_0 \phi_0 = c' \dot{\epsilon} \lambda'_i h / k \lambda_0 \exp(-E'_0 / kT) \quad (48)$$

In fig. 3.6.3., the influence of the solvent on  $\eta_{inh}$  is given. Benzene and toluene are good solvents for polystyrene, while butanone is a poor one. The values of  $1/A_2 \eta_0$  are about the same for the three solvents, while  $1/\phi_2$  for the first two are higher by a factor 3.7 with respect to butanone. Thus the mechanism is the same with the same activation energy and only  $\phi_2$  is influenced by a good or poor solvent and for a poor solvent  $1/\phi_2$  is not constant (as applies for a good solvent) but increases with temperature (by unfolding) and the time temperature equivalence thus does not exist. For solutions with a higher m.w. the influence on the third flow unit can be seen. However at the highest m.w. of  $5.2 \cdot 10^6$  measured, of the butanone solutions, the third process was hardly measurable (and if present it shows a  $1/\phi_3$  of about zero. For tests in changing temperature conditions, the structural change equations should be used. These equations are given in the main section about transformations, aging, etc.

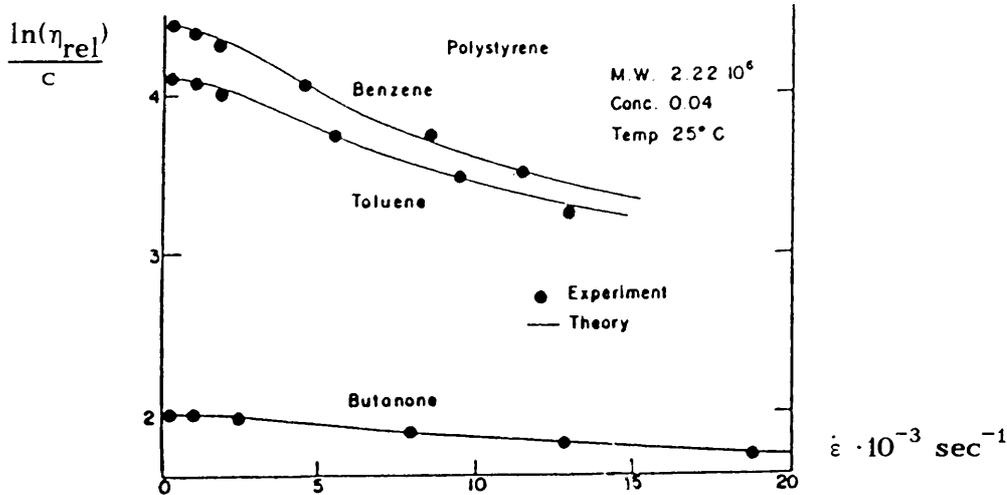


Fig. 3.6.3. Effects of solvent on  $\eta_{inh}$  of polystyrene solved in benzene and toluene molecular weight:  $2.22 \cdot 10^6$ ; temperature  $25^\circ \text{C}$ ;  $c = 0.04 \text{ g}/100 \text{ cc}$ , [6]. The theoretical curves are according to eq.(43).

In fig. 3.6.4, the influence of the temperature is given on  $\eta_{inh}$  and  $[\eta]$ . Reduced curves of  $\eta$  were obtained by using reduced shear rates:  $\eta_0 \dot{\epsilon}$  for m.w. =  $2.22 \cdot 10^6$  as well as  $9.2 \cdot 10^6$  (thus for both processes 2 and 3).

In principle the  $\eta_0$ -reduced curve:  $\eta/\eta_0$  versus  $\eta_0 \dot{\epsilon}$  is:

$$\frac{\eta}{\eta_0} = 1 + \frac{1}{\phi_1 A_1 \eta_0} + \sum \frac{\text{arcsinh}(\dot{\epsilon} \eta_0 / A_i \eta_0)}{\phi_i \eta_0 \dot{\epsilon}} \quad (49)$$

This means that  $1/A_1 \phi_1 \eta_0$ ;  $1/\phi_1$  and  $1/A_i \eta_0$  are independent of the temperature. From eq.(45) follows:  $1/A_1 \phi_1 \eta_0 = (\lambda'_0 N_1 / \lambda'_1 N_0) \cdot \exp(-(E'_0 - E'_1)/kT)$ . This is independent of the temperature when:  $\exp(-(E'_0 - E'_1)/kT)$  is independent of the temperature. Thus when:  $\exp(-(H'_0 - H'_1)/kT + (S'_0 - S'_1)/k) = \exp((S'_0 - S'_1)/k)$  or:  $H'_0 = H'_1$ .

Thus, this reducibility shows that the activation heat for the flow process of the polymeric flow units is the same as that for the solvent. The processes are determined by activation of the solvent molecules. As mentioned before, from eq.(45) it also follows that  $H'_0 = H'_1$  is necessary for the constancy of  $1/A_i \eta_0$ , independent of the temperature. Further, eq.(46) gives the constant  $\phi_i$  independent of the temperature. In fig. 3.6.5, experimental values of  $[\eta]$  at  $65^\circ$ ,  $50^\circ$ , and  $35^\circ \text{C}$  are given. It is seen that, for a poor solvent, a reduced curve is not obtained. The higher the temperature, the larger is the limiting intrinsic viscosity  $[\eta]$ . In this case,  $1/\phi_2$  increases with temperature, although it should be independent of the temperature. This thus indicates the increase of "N" and thus that in a poor solvent the polymers unfold with increasing temperature while they fold with decreasing temperature. The non-reducibility is due to the change of the structure by unfolding of the molecules with increasing temperature.

When  $\eta_0$ , of the solvent, becomes negligible, reducibility is obtained by multiplication of  $\dot{\epsilon}$  with:  $\exp(H'_i/kT)$ , as follows from reaction kinetics.

This is the case for concentrated solutions and for solids. Both types of reductions are measured for instance at flow of a dilute solution, a concentrated solution and a pure solid of X-518 GR-S rubber (24 % styrene and 76 % butadiene copolymer).

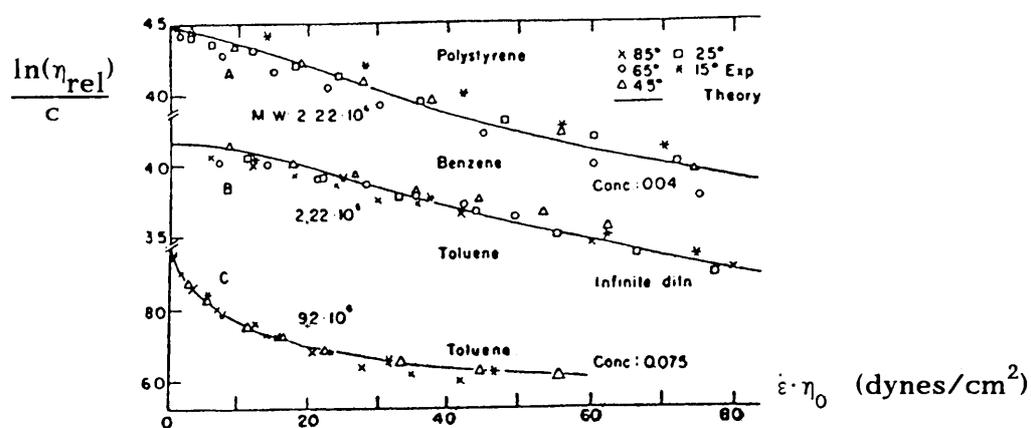


Fig. 3.6.4. Effects of temperature on  $\eta_{inh}$  of polystyrene, solved in good solvents. Curve A: m.w. =  $2.22 \cdot 10^6$ ; solvent benzene;  $c = 0.04 \text{ g}/100 \text{ cc}$ .

Curve B: m.w. =  $2.22 \cdot 10^6$ ; solvent toluene; infinite dilution.  
 Curve C: m.w. =  $9.2 \cdot 10^6$ ; toluene;  $c = 0.075$  g/100cc, [15].  
 The theoretical curves are according to eq.(43).

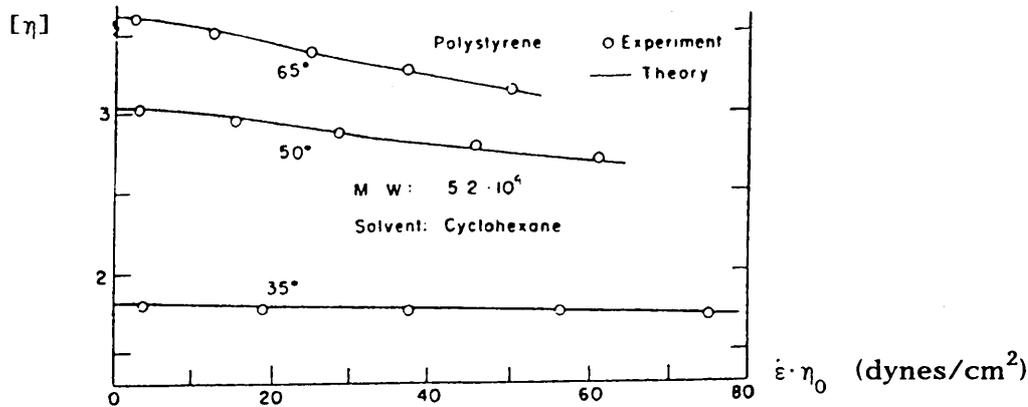


Fig. 3.6.5. Effects of temperature on  $\eta_{inh}$  for polystyrene solutions, in a "poor" solvent [17]; polystyrene m.w. =  $5.2 \cdot 10^4$ ; solvent cyclohexane; concentration, infinite dilution. The theoretical curves are according to eq.(43).

The reduced shear rate for the concentrated solution and the solid is:

$\dot{\epsilon}_r = \dot{\epsilon} \exp(H_i / RT)$  and the activation heats are: 3.9 and 9.75 kcal/mol, respectively for the 10 % and 50 % polymer solutions. The activation heat (activation enthalpy) of the solid (100 % concentration) is 12.5 kcal/mol.

The activation heat 3.9 kcal-mol of the 10% (dilute) solution is about that of the solvent and for this case the curve thus shows the  $\eta_0$  - reduction explained before.

The rate equation of flow of the 50 % solution follows exactly:

$$\sigma = \frac{\text{arcsinh}(\dot{\epsilon}/A_1)}{\phi_1} + \frac{\text{arcsinh}(\dot{\epsilon}/A_2)}{\phi_2} \quad (50)$$

and acts as the pure (100 %) solid polymer, also showing the 2 non-linear groups according to eq.(50).

For dilute solutions  $H_i$  (equal to the solvent value) and  $\phi_i$  (in the case of no unfolding) are independent of the concentration. For concentrated solutions  $1/\phi_1$  and  $1/\phi_2$ , increase with concentration as do the activation heats  $H_i$  and the reduced values:  $A_i \exp(H_i/RT)$ . As mentioned before, because  $1/\phi = NkT/\lambda$ , the increase with concentration shows that there is an increase of the concentration of flow units  $N$  by unfolding and bond formation between a flow unit and its neighbours. The increase of the reduced  $A$ -value:  $A_i \exp(H_i/RT) = (kT/h)N_i \exp(S_i/R)$  with increasing concentration, if more than linearly, indicates that also the activation entropy  $S_i$  increases with concentration as can be expected by the increase of bonds between a flow unit and its neighbour with increasing concentration. Because now the solvent is not determining any more (as is the case for the  $\eta_0$  - reduction) the solvent molecules are able to flow only when the bonding between the solute molecules flow. In highly concentrated solutions, the solvent molecules move together with the polymer flow units. Thus, the 50 % solution behaves like the pure solid (see 3.7).

The before mentioned reducibility by division by  $\eta_0$  or, what is the same, the equality of the activation heats of the polymer and solvent  $H_1 = H_0$  and the temperature and concentra-

tion independent  $\phi_1$ , shows that the solvent determines the process and the rate determining step for the solute unit involves the jump of the solvent molecule. The solvent molecule must move in the opposite direction, while the flow unit moves forward to fill out the space vacated by the solvent molecule. The same occurs in the diffusion of a large molecule in a solvent of small molecules. This has been tested. A foreign molecule similar in structure and length as the monomeric unit, dissolved in the monomer has a translatory friction coefficient  $\zeta_1 = kT/D_0$ , where  $D_0$  is the diffusion constant at vanishing concentration of this low-molecular weight component ( $D_0$  is measured by transpiration, absorption-desorption or radio-activity of tagged molecules). Far above the glass temperature  $T_g$  (e.g. in rubber)  $\zeta_1$  is the same as  $\zeta_0$ , the friction coefficient of the monomeric unit of the polymer backbone. Thus activated units move as if there is no chain and essential is the kinetics, where the entropy is determined by the entropy of random mixing and the enthalpy is calculated in terms of the nearest neighbour bonds and their bond strengths as in a regular solution model (in stead of a flexible chain model). However, when  $T_g$  is approached, the kinetics of the determining process is quite different because then  $\zeta_0 \gg \zeta_1$  showing that now the scale of activation is reduced to that of the side bonds and now the attached chain units prevent free moving of activated monomeric parts of the backbone.

### 3.7. Undiluted solid polymers

Chain models are also applied for the description of the behavior of solids. This however is not possible, as shown before, and follows from the exact description and explanation of the behavior in the following.

As discussed before, linear visco-elastic behavior will show, on the plot of  $\eta$  versus  $\dot{\epsilon}$ , a straight line parallel to the  $\dot{\epsilon}$ -axis (line 1 of fig. 3.7.1). Because this never is the case for solids, an extrapolation of the  $\eta$  versus  $1/\dot{\epsilon}$  plot should be made to the zero value of  $1/\dot{\epsilon}$  giving the apparent Newtonian value  $\eta_\infty$  because the other terms in eq.(40') or, the here determining, eq.(51), are zero then.

$$\eta = \frac{1}{\phi_1 A_1} + \frac{\text{arcsinh}(\dot{\epsilon}/A_2)}{\dot{\epsilon} \phi_2} = \eta_\infty + \frac{\text{arcsinh}(\dot{\epsilon}/A_2)}{\dot{\epsilon} \phi_2} \quad (51)$$

It is possible that this extrapolation gives a negligible value of  $\eta_\infty$  (indicating no Newtonian behavior) while at low rates a good fit of eq.(51) is possible. This indicates that non-Newtonian behavior may become Newtonian according to:

$$\dot{\epsilon} = A \sinh(\phi \sigma) \approx A \phi \sigma = \sigma / \eta.$$

As mentioned before this only may apply for very short molecules and not for structural materials because  $\sigma_0 \phi$  then mostly is constant and a low initial stress  $\sigma_0$  will cause a high value of  $\phi$  and the rate  $\dot{\epsilon}$  still follows the non-linear sinh-dependence of  $\sigma$ .

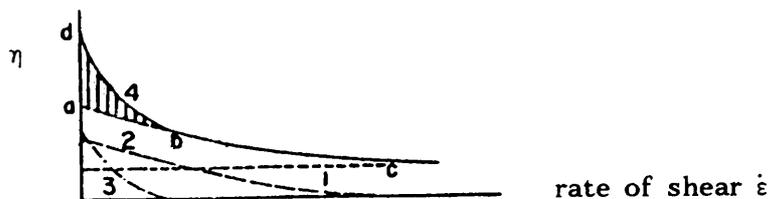


Fig. 3.7.1. Viscosity dependent of  $\dot{\epsilon}$ . Curve 1:  $\eta = 1/A_1 \phi_1$ .  
Curve 2:  $\eta = (\text{arcsinh}(\dot{\epsilon}/A_2))/(\dot{\epsilon} \phi_2)$ .

Curve 3:  $\eta = (\text{arcsinh}(\dot{\epsilon} / A_3)) / (\dot{\epsilon} \phi_3)$ .

Curve 4 is the sum of curve 1 to 3. Curve abc is the sum of 1 and 2.

Knowing  $\eta_\infty$ , (if any), the fit of the second process should be done at higher rates (line bc in fig. 3.7.1) and extrapolation of this process to low values of  $\dot{\epsilon}$  (line abc in fig. 3.7.1) makes it possible to determine the third process (if any) by the difference of the measurements and line abc (see fig. 3.7.1).

In fig. 3.7.2, a flow curve is given of natural rubber (a masticated rubber of lightly milled crepe). In order to obtain a reduced curve,  $H'$  should be constant and

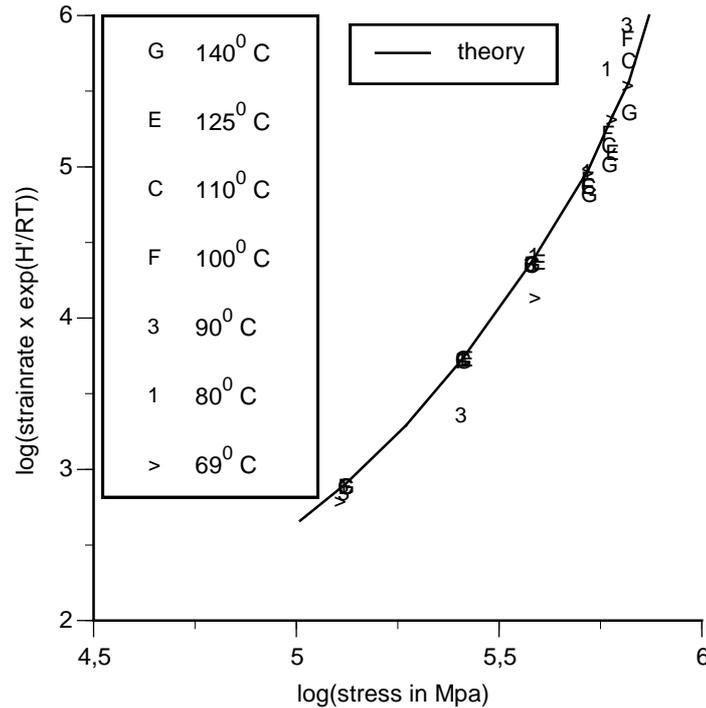


Fig. 3.7.2. Reduced shear rate, dependent on the stress, of rubbers [15]

$\phi = \lambda / (NkT) = \lambda' / (Nk)$  has to be independent of the temperature. As to be expected from the m.w., this material shows (quasi) Newtonian behavior (eq.(51)) at low stresses and shows two non-linear processes, given by eq.(50), at high stresses. Stronger crushed material, with a still lower m.w., did show a Newtonian process, in the whole tested rate range, and followed eq.(51), as to be expected. The higher m.w. causes a longer relaxation time  $1/A$ , while the enthalpy  $H'$  (8 kcal/mol) and activation volume  $\phi$  ( $1/\phi \approx 1.4 \cdot 10^4 \text{ N/m}^2$ ) are the same as for the material with low m.w. This shows the same segmental motions (the same volume  $\phi$  and energy of a jump  $H'$ ), that are more difficult to coordinate by the longer molecules. This causes a high negative activation entropy [2], (i.e. the coordinated segmental motions in long molecules are much less probable).

In fig. 3.7.3, flow behavior is given of polystyrene, measured by the capillary visco-meter at temperatures between 1650 and 2500 C.

The reduced curve of fig. 3.7.3 is obtained by using

$\dot{\epsilon} \eta_s$ , where  $\eta_s$  is the viscosity at small  $\dot{\epsilon}$ , thus  $\eta_s = 1 / (\phi_1 A_1 + 1 / \phi_2 A_2)$ . Thus, eq.(50) becomes:

$$\sigma = \frac{\operatorname{arcsinh}(\dot{\epsilon}\eta_s/A_1\eta_s)}{\phi_1} + \frac{\operatorname{arcsinh}(\dot{\epsilon}\eta_s/A_2\eta_s)}{\phi_2} \quad (50')$$

and represents a reduced curve when  $\phi_1$ ,  $\phi_2$ ,  $\eta_s A_1$ ,  $\eta_s A_2$  are independent of the temperature. Because  $\eta_s A_1 = (1 + A_1\phi_1/A_2\phi_2)/\phi_1$  and  $\eta_s A_2 = (1 + A_2\phi_2/A_1\phi_1)/\phi_2$  is also necessary that  $A_1/A_2$  is independent of the temperature and thus the enthalpy is the same  $H'_1 = H'_2$  what is typical for the possible liquid-like character of rubbery materials where different relaxation times (differing here 2 to 3 orders) are determined by differences in entropy. The behavior of the short bulky polymers may, by the small activation volume parameter, also be quasi-linear visco-elastic in the glass state. The storage and loss

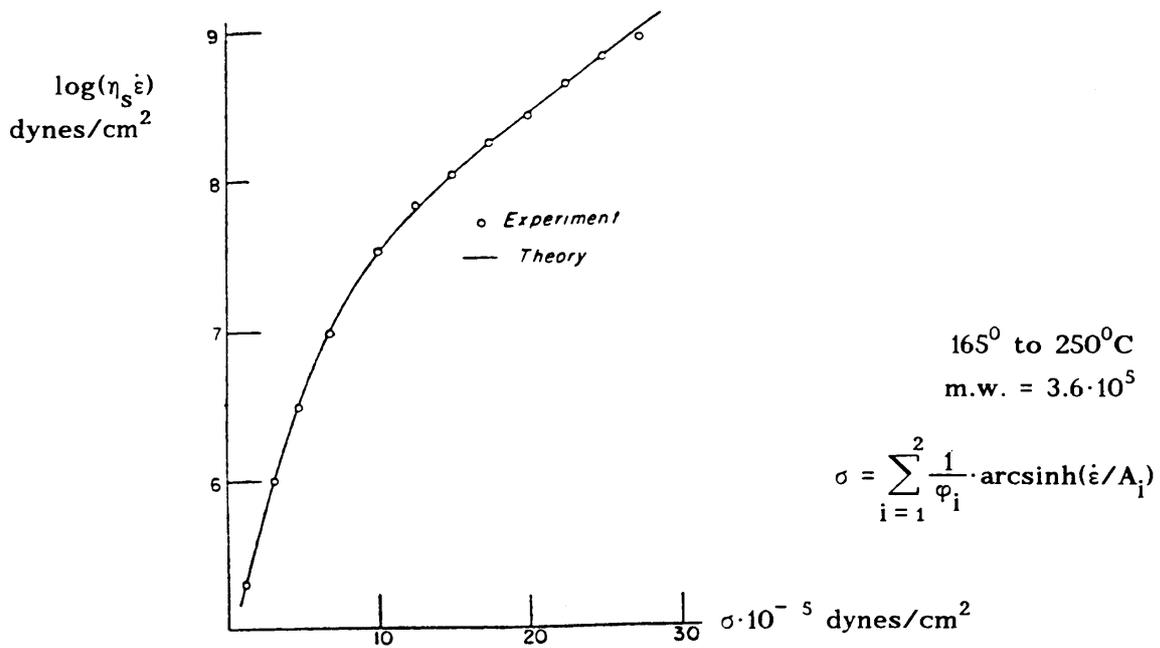


Fig. 3.7.3. Reduced shear rate dependent on the stress of polystyrene, [15].

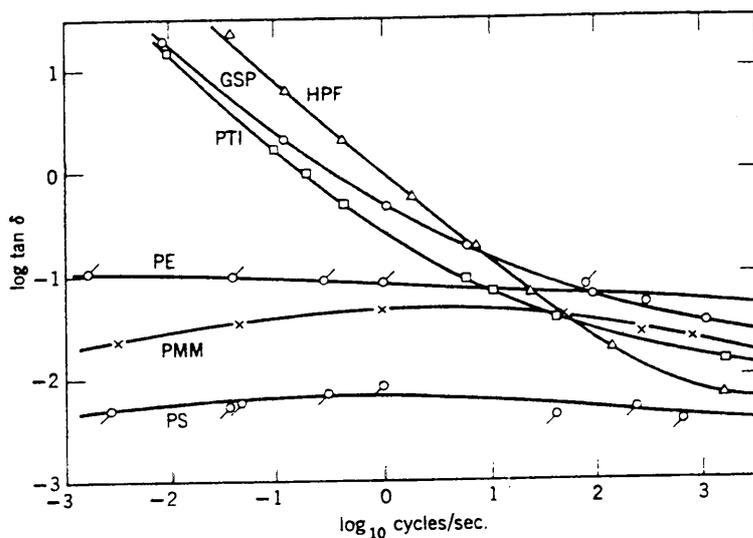


Fig. 3.7.4. Loss tangent - frequency - plot for three glasses of low molecular weight and other polymeric glasses and a crystalline polymer. HPF, hydroxypentamethylflavan; GSP, glycerol sextol phthalate; PTI,

2-phenyl-3-p-tolyindanone; (PS, polystyrene; PMM, polymethyl methacrylate); see [8].

shear modules follow the frequency dependence of a single Maxwell element over the range of 4 logarithmic decades ( $10^{-3}$  to 1 cycle/sec., see fig. 3.7.4). For a Maxwell element,  $\tan(\delta)$  is inversely proportional to the frequency and thus the logarithmic plot of  $\tan(\delta)$  in fig. 3.7.4 shows a slope of - 1. At high frequencies, the figure shows that the behavior is non-linear just like the long polymers where  $\tan(\delta)$  becomes nearly constant, independent of the frequency.

### 3.8. Crystalline materials

Flow of crystalline polymers at high temperatures, that is possible for short molecules, the best can be studied in pure crystalline materials as single and poly-crystalline metals. At flow, secondary (or steady) creep occurs according to the simple equation (50). At this stage, the tertiary creep (e.g. by fracture) is not noticed because of the long delay time of such a structural change process. The steady creep of metals at high temperatures ( $T > 0.45 T_m$ , where  $T_m$  is the melting temperature) is due to self-diffusion. Dislocations are held up by "bad sites" (such as: impurities, alloying elements, crystal imperfections, etc.), causing stress concentration in the neighbourhood. This stress is relieved by diffusion of the neighbours of the bad site, because the activation heat for creep equals that for self-diffusion. Operations as cold working, heat treatment, annealing, etc., introduce certain types of bad sites into the metals. This appears to be the main reason for the occurring of more processes. An example of only one process is given in fig. 3.8.1, of steady creep of pure aluminum and Al-Mg alloys. The equation of the creep curves thus is:

$$\dot{\epsilon} = A \sinh(\phi \sigma) \quad (52)$$

Beside creep tests, also tensile test were conducted for verification of the real molecular parameters, making prediction of behavior possible. Temperature reduction is obtained

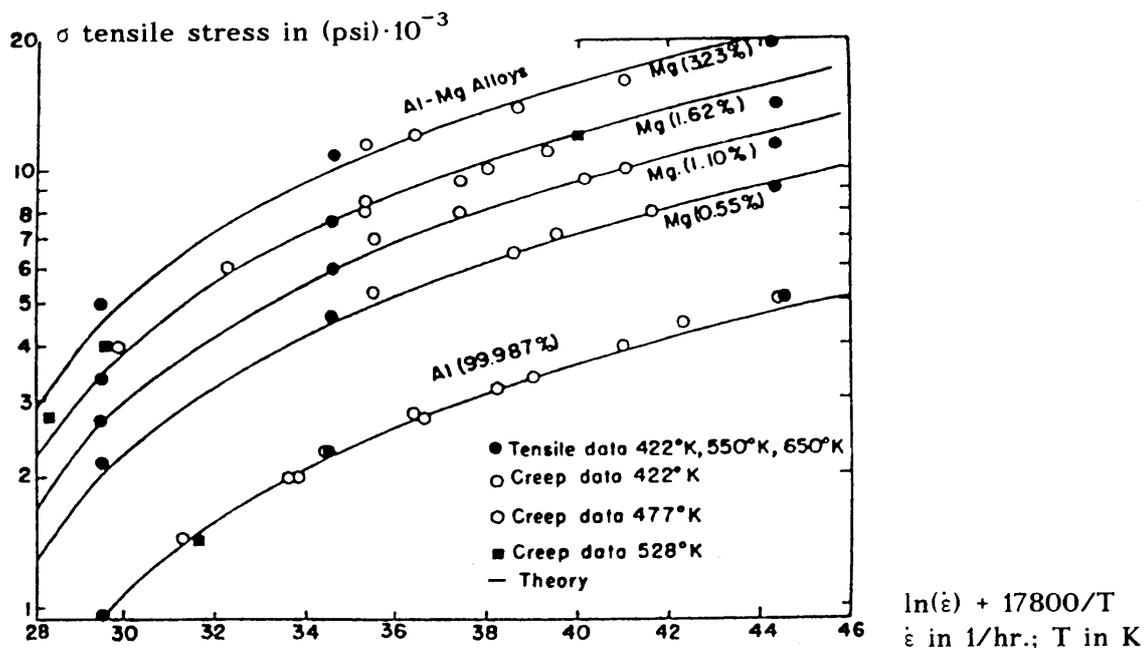


fig. 3.8.1. Steady creep of aluminum and aluminum-magnesium alloys [1]

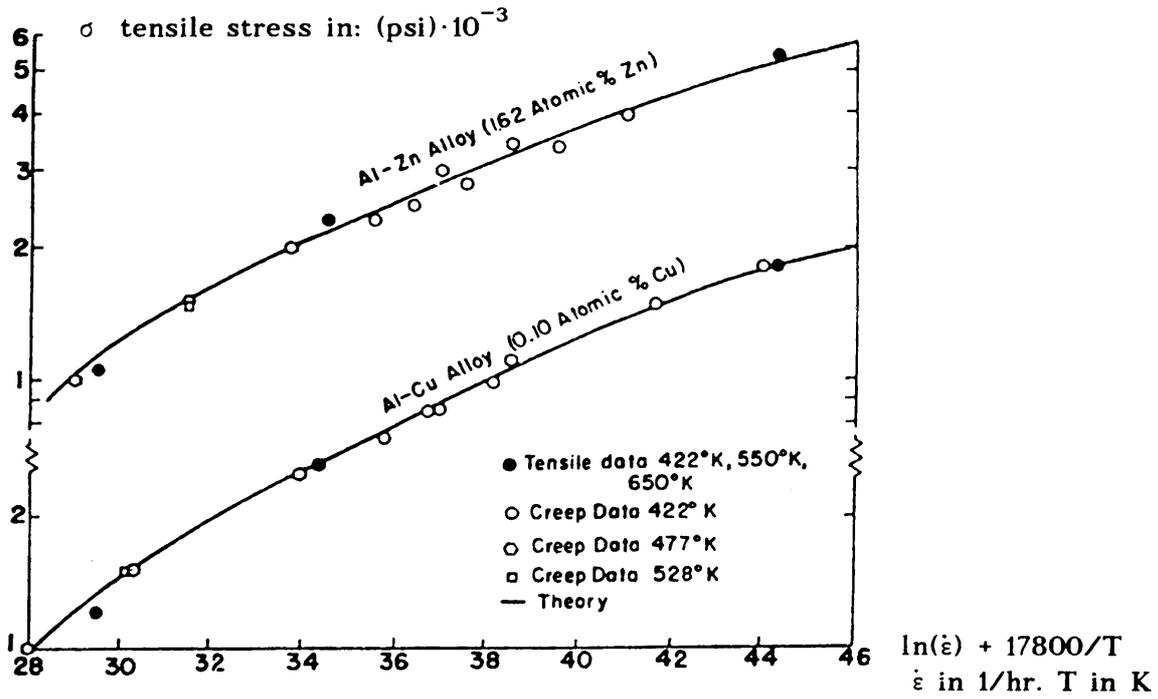


Fig. 3.8.2. Creep curves of Al-Cu and Al-Zn alloys [1].

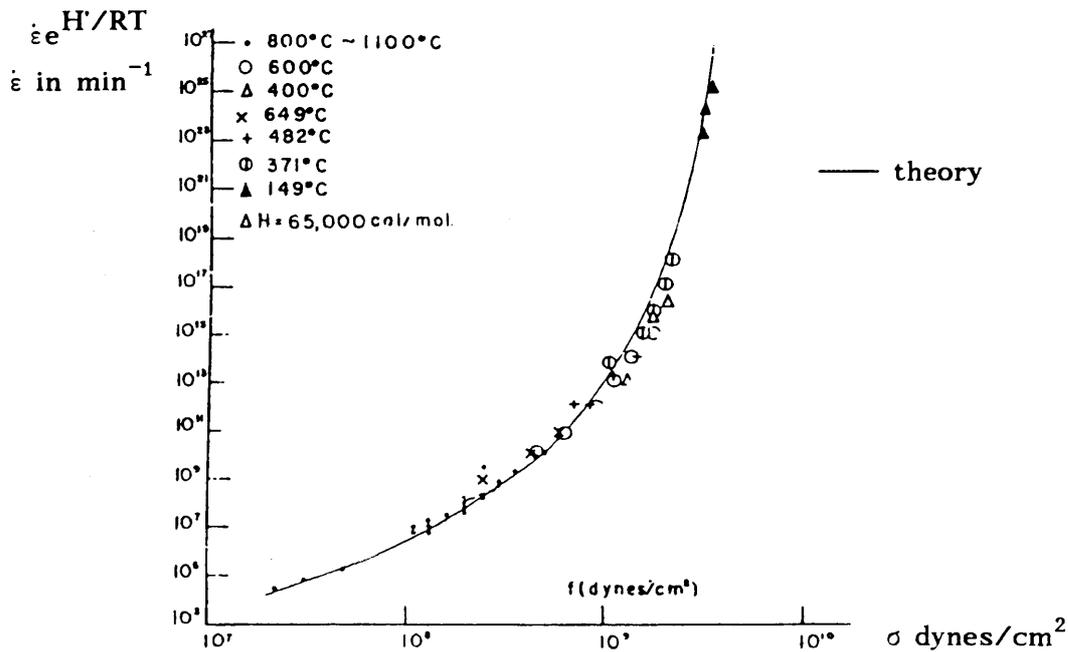


Fig. 3.8.3. Creep curve for polycrystalline nickel.

by multiplication of the rate by  $\exp(H'/RT)$  with  $H' = 35.6$  kcal/mol for aluminum and its alloys. For all curves,  $\phi$  is independent of the temperature. In fig. 3.8.2, creep curves of the alloys Al-Cu and Al-Zn are given that cannot be expressed by one kind of flow unit, but require two kinds according to eq.(50). The same applies for polycrystalline material as e.g. nickel in fig. 3.8.3.

Data of creep of pre-crept Al-Mg alloys did show 3 processes according to eq.(40). Also annealed Al-Mg alloys showed 3 processes with quite different parameters.

It thus appears that structural changes due to previous treatments have a strong influence and separate structural change processes did cause the special additional bad sites. The relaxation times of the 2 processes determining the curves of fig. 3.8.2 to 3.8.3 differ about 5 to 6 orders with respect to each others, showing that a real spectrum does not exist.

The behavior thus is similar to that of all solids and high polymers and because (quasi) linear visco-elasticity only is possible for very short molecules, the general conclusion is that linear visco-elasticity cannot exist in structural materials like wood. The conclusions of RILEM T.C. 112, based on linear behavior, thus are invalid and dangerous because long-term predictions of behavior then are totally wrong.

#### 4. Conclusions

- As shown in [2] and here, the exact equilibrium theory of molecular deformation kinetics, explains precisely (correlation  $\sim 1$ ) all aspects of time dependent behavior like creep, damage, transformations, etc. New is here, that the same constitutive equation with the same molecular parameters as e.g.  $N$ ,  $\lambda$  and  $\lambda_1$  as for creep and damage, is shown here to explain all aspects of the flow behavior (as given in the figures of 3.6, 3.7 and 3.8) as well. The influence on dilute solutions of: the molecular weight; the concentration, or the shift of the  $\eta$  - lines dependent of the concentration and temperature; the effects of good and of poor solvents (that respectively do and don't follow the time-temperature equivalence); etc.; and the influence of the same factors on concentrated solutions, undiluted polymers and solids etc., are all determined and explained by the same parameters and equation. Any other model (as the free chain model or rubber theory or the descriptive linear visco-elastic spectrum, etc.) is far away from only the possibility of representing very roughly one of the mentioned aspects.

- The rubber theory or theory of isolated flexible molecules was meant to apply for polymers that may show a steady-state flow viscosity and thus are not cross-linked. The theory thus was not supposed to apply for visco-elastic behavior in the glassy, or in the plateau zones, nor for cross-linked networks and is not able to describe this behavior. This of course is evident because short chain units can not be randomly coiled and have the Gaussian distribution of configurations and thus also cannot behave like entropy springs. Chain models thus don't apply for, and have nothing to do with (always at least cross-linked) structural materials, even not above glass transition.

- Further, long "isolated" chains of uncross-linked polymers also don't behave according to the free chain theory. At long times or low frequencies  $G$  or  $G'$  should vanish (because of the resumption of random average configurations by the macro-molecular coils in the deformed state). This is not the case and also  $G''$  shows still finite values and thus no equilibrium. At high frequencies, the perfect elastic behavior is predicted and  $G''$  also should be zero. This is also not the case and on the contrary  $G''$  increases and becomes many orders higher at high frequencies, showing the same values as for crystalline and glassy materials and thus showing the same type of process of time dependent behavior.

- The behavior according to the rubber theory only approximately applies for very dilute Newtonian solutions, where isolated chains of not too short molecules (to make chain statistics and coiling possible), and of not too long molecules, (thus of low molecular weight), to prevent entanglement coupling.

This is the case because rubber theory, is based on the Brownian motion of isolated flexible chains at higher temperatures above glass transition, thus deals with a very dilute solution where a separated long molecule is surrounded by solvent.

- As model, the motions of segment junctions of the chain are expanded into modes (like a vibrating string) and each mode corresponds to a discrete contribution to the spectrum  $H$ . To keep the series of the contributions to the response convergent, for finite results, an early, arbitrary, cut-off of the series is used.

- Because therefore the influence of short relaxation times is not regarded in the model, the theory is not general and only is an analog for behavior after long times (or lower frequencies). The theory thus does not deal with higher frequencies and short-range relationships and the prediction of infinite rigidity and infinite loss at infinite high frequencies is invalid.

- Because of the cut-off of the series, to obtain a convergent series for finite responses, the Rouse model predicts, for all materials, a slope of the logarithmic plot of  $G'$  of 2 and a slope of 1 for the loss modulus  $G''$ . As can be seen from the given pictures at 2.6 of all types of polymeric materials, this never applies. Thus, the best linear visco-elastic spectrum is not able to explain time dependent behavior. Only at a steep descent, thus in the terminal zone of uncross-linked polymers, series expansion of the non-linear process shows roughly a similar behavior as a spectrum given by such a converging series.

- Zimm introduced hydrodynamic interaction between the moving sub-molecules based on the calculation of steady-flow viscosity of dilute solutions. However visco-elastic data of e.g. dilute solutions of polystyrene etc., in the range and conditions where the theory should apply (e.g. in the terminal zone), don't show behavior according to the Zimm theory but do show behavior close to the Rouse equation, despite the neglect of hydrodynamic interaction and internal viscosity (= intramolecular steric effects) according to the Rouse equation. This shows that the Zimm model also is not right as also is explained by the exact kinetic theory.

- It is shown in this book that the determining deformation kinetics equation of the visco-elastic behavior at longer times can be expanded into a row that is identical to the row of the Rouse equation. A still later cut-off, near equilibrium at nearly zero stress, at the end of the relaxation process, gives the Zimm value with the slope of  $2/3$ . The Rouse line spectrum thus is a row-expansion of one special non-linear process. There thus is no restriction of an application to only dilute solutions, as is the basis of the chain models. This further explains why at zero relaxation, (when there is no relaxation although the specimen is still loaded after a relaxation test), the spectrum is not present. Because the "spectrum" only exists as expanded terms of one process, it does not exist when this single process is not acting by the zero internal stress on the sites at zero relaxation.

Because the row according to the Rouse model needs an early cut-off of the expanded row, it therefore gives no explanation of the applied row.

- The explanation of the Rouse equation as an expansion of one non-linear deformation kinetics process also explains why this line spectrum (that seems physical improbable, but exists as terms of the row expansion of the exact equation) gives better results than a continuous spectrum (that does not exist).

- Further, it explains why the theory also can be applied to undiluted polymers, using only one friction coefficient for all types of coordinated motions. These motions, represented by the separate terms, are in fact the expanded terms of one process with one relaxation time, thus one friction coefficient.

- The steeper Zimm slope, occurring at shorter times than theoretical possible, in undiluted polymers in stead of in the postulated dilute solutions, certainly can not be explained by the Zimm theory because the internal friction is of higher order with respect to a solvent friction. As shown here, this slope is characteristic for the midpoint of glass-transition.

- The ladder networks of Blizard and Marvin are identical with the Rouse theory with the dense line spectrum approximated by a continuous spectrum. A thorough study in the past of ladder networks with both lumped and distributed parameters, has shown that a continu-

ous dynamic modulus function as eq.(21) corresponds to a discontinuous relaxation spectrum with discrete lines. This confirms again the explanation of the behavior according to the Rouse model being a row expansion of the deformation kinetics equation.

- Several modifications of the series of the spectrum  $H$  (to apply it for cross-linked networks) by arbitrary characteristic modes of linked strands and networks (or series of networks) only give qualitative descriptions of the behavior at transition, e.g. with square root (Rouse; Bueche), linear, and square dependence of  $J''$  on  $\tau$ , all roughly in accordance with the range of the measurements of the different types of polymers. None of these models however is able to explain, or to describe, the flat plateau at small times. This only is possible by reaction kinetics [2].

- Real quantitative reasonable fits of the whole behavior are not possible by the chain and power "models". This is evident because the very long relaxation times can not be explained by extrapolation of the model to motions of large groups of strands of large dimensions, while physics (reaction kinetics) shows that the behavior is explained by the very local movement of small flow units (as side bond breaking and reformation or dislocations movements in crystals, etc.).

- After a sufficient long relaxation test, a lower load level can be found of zero relaxation, showing no relaxation although the specimen still is loaded. This shows that a single non-linear process is acting because the internal stress on the sites can be zero at a lower load level. A spectrum of relaxation times thus cannot exist. This non-linear process is acting in a wide time interval of many decades (e.g. 5 to 6 decades in "crystalline" materials like metals). None of the other methods (chain models, power laws, general functions, etc.), that are based, or implicitly based, on the existence of spectra, is able to explain zero relaxation.

- As shown, Newtonian behavior only is possible in dilute solutions of low molecular weight solutes in Newtonian solvents at the given, not too high strain rates. At increasing molecular weight the probability of formation of stronger types of bonds increases. This also is the case for higher concentrations and when molecules unfold in poor solutions at higher temperatures. These stronger bond types are not acting through the Newtonian solvent and thus are non-Newtonian.

- There appear to be 3 types of bonding for solutions. The first type (of rolling shear) acts through the solvent, in dilute solutions, on short molecules (= low molecular weight) and, (depending on the strain rate), may show linear behavior when the solvent is Newtonian. The second type may become determining for longer molecules (because there is a noticeable local side bonding connecting chains) and shows non-linear behavior but may become quasi Newtonian at low stresses and strain rates in a dilute solution (also because the activation volume parameter of a single, local bond has a small value). The third type shows non-linear behavior and is a more firmly side bonding along some length and may show segmental jumps as flow movement. Thus, the probability of having all 3 types of flow units is larger for the molecules with high molecular weights.

- The reducibility by division by  $\eta_0$  or, what is the same,  $H_1 = H_0$ , or the activation heat of the polymer is equal to that of the solvent and the temperature and concentration independent  $\phi_i$ , or  $N_i = N_0$ , shows that the solvent determines the process and the rate determining step for the solute unit involves the jump of the solvent molecule. The independency of  $\phi$  of the temperature is found to apply generally, for most materials, also below glass transition.

- Thus for dilute solutions  $H_i$  (equal to the solvent value) and  $\phi_i$  (in the case of no unfolding) are independent of the temperature and concentration.

- For a poor solvent  $1/\phi_2$  is not constant (as applies for a good solvent) but increases with temperature (by unfolding) and the time-temperature equivalence thus does not exist. This shows the increase of "N" and thus that in a poor solvent the polymers unfold with increasing temperature while they fold with decreasing temperature. The non-reducibility is due to the change of the structure by unfolding of the molecules with increasing temperature. This has to be described by a separate bond formation process (as is applied for transformations in the main section) to determine the driving force and molecular parameters of the transition.

- The properties of short molecules, as linear behavior, and of solutions, showing  $\eta_0$ -reducibility etc., should not be applied to solids with long molecules as is done.

- Mostly one or two processes act in concentrated solutions and solids and the rate equation of flow is:

$$\sigma = \frac{\text{arcsinh}(\dot{\epsilon} / A_1)}{\phi_1} + \frac{\text{arcsinh}(\dot{\epsilon} / A_2)}{\phi_2}$$

- For concentrated solutions and for solids,  $\eta_0$  becomes negligible and reducibility is obtained by multiplication of  $\dot{\epsilon}$  with:  $\exp(H^*/kT)$ .

- Because now the solvent is not determining any more (as is the case for the  $\eta_0$ -reduction) the solvent molecules are able to flow only when the bonding between the solute molecules flow. In highly concentrated solutions, the solvent molecules move together with the polymer flow units. Thus, the 50 % solution behaves like the pure solid.

- For crystalline materials like metals, steady flow is also due to self-diffusion. Dislocations are held up by bad sites (impurities, alloying elements, crystal imperfections, etc), causing stress concentration in the neighbourhood. This stress is relieved by diffusion of the neighbours of the bad site, because the activation heat for creep equals that for self-diffusion.

- Only when no phase- or other transitions are involved, the time-temperature and time-stress equivalence may apply, as in the glass-state. The reverse statement that this only applies for transitions [16], is shown here to be not true.

- It now clearly is shown that linear visco-elastic behavior does not exist for structural materials like wood, even not above glass transition.

## References

The references [2], [3], [6], [8], [15] and [16] are the same as given in the main part

## Appendix B: Explanation of annealing by molecular kinetics and derivation of the WLF-equation

This Appendix has expired and the theory is published in appended:

**B(2010)** "Theoretical derivation of the WLF- and annealing equations" in:

Journal of Non-Crystalline Solids 356 (2010) p 394–399